Statement of Financial Interests for 2018



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1. If you are a candidate for public office, please indicate the public office you are seeking.

Filer reported none.

Original Page 1 of 36

2. Identify the position you now hold, or have held, which requires you to file a Statement of Financial Interests and provide the required information for that position. If you held more than one public position which requires you to file, identify each position.

Agency Name	Address	Position	Date	Amount of Income
University of Massachusetts Dartmouth (UMASS)	285 Old Westport Rd, North Dartmouth, MA, 02747, US	Associate Provost for Research and Economic Development	09/01/2017	\$100,001 or more

3. Other than the position(s) identified in Question 2, identify every public position you held, and every public agency to which you provided services, at any time during 2018, whether compensated or not, and whether full- or part-time.

Filer reported none.

4. Identify every public position your spouse and/or any dependent child(ren) residing in your household held, and every public agency to which your spouse and/or any dependent child(ren) residing in your household provided services, at any time during 2018, whether compensated or not, and whether full- or part-time.

Public Agency	Public Agency Name	Address	Position	Consultant / Contractor?	Services Provided
State	UMass Dartmouth	285 Old Westport Rd, North Dartmouth, MA, 02747, US	Counselor	N/A	

Private Employment and Leaves of Absence

5. Identify every Business for which you worked as an employee, manager, consultant, or independent contractor at any time during 2018, whether compensated or not, and whether full- or part-time, and provide the required information for each.

Filer reported none.

6. Identify any Business from which you were on a leave of absence at any time during 2018, and provide its address.

Filer reported none.

Original Page 2 of 36

7. Identify every Business for which your spouse and/or any dependent child(ren) residing in your household worked as an employee, manager, consultant, or independent contractor at any time during 2018, whether compensated or not, and whether full- or part-time, and provide the required information for each.

Business Name	Self-employed	Address	Position
Bellevue Counseling LLC	Ø	174 Bellevue Avenue, Newport, RI, 02840, US	

Business Ownership and Transfers

8. Identify each Business of which you were, in whole or in part, an owner, partner, or proprietor, or in which you owned more than 1% of any class of the outstanding stock or similar ownership interest, at any time during 2018, and provide the required information for each.

Filer reported none.

9. Identify each Business of which your spouse and/or any dependent child(ren) residing in your household was, in whole or in part, an owner, partner, or proprietor, or in which your spouse and/or any dependent child(ren) residing in your household owned more than 1% of any class of the outstanding stock or similar ownership interest, at any time during 2018, and provide the required information for each.

Business Name	Address
Bellevue Counseling LLC	174 Bellevue Ave, Newport, RI, 02840, US

10. Identify any stock or similar ownership interest in a Business which you transferred to your spouse and/or any dependent child(ren) residing in your household during 2018, and provide the required information for each.

Filer reported none.

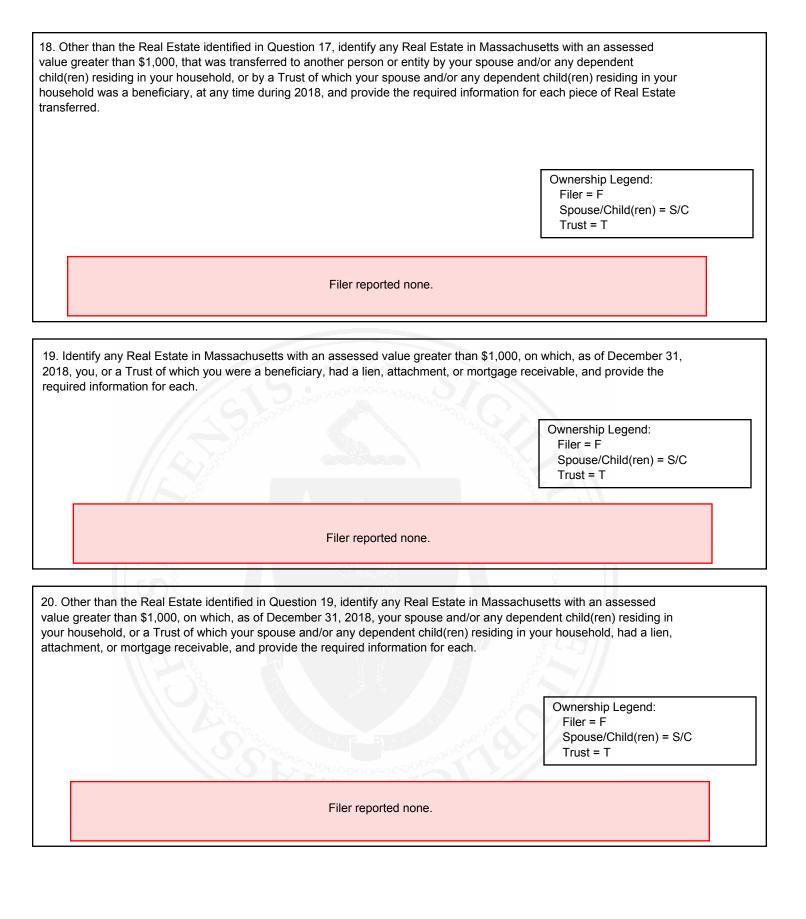
Original Page 3 of 36

11. Identify any Business in which you served as an officer, director, or trustee, at any time during 2018, whether compensated or not, and whether full- or part-time, and provide the required information for each.
Filer reported none.
12. Identify any Business in which your spouse and/or any dependent child(ren) residing in your household served as an officer, director, or trustee, at any time during 2018, whether compensated or not, and whether full- or part-time, and provide the required information for each.
Filer reported none.
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NOTE: If the Filer answered "YES" to a Question in the following section, one or more columns in the Table for that Question may be blank in the following situations: If the Filer indicated that the name of the person and/or the trust was a family member's name or address, the Filer was not required to provide that name. If the Filer indicated that an address was a family member's address, the Filer was not required to provide that address.
Real Estate
13. Identify all Real Estate in Massachusetts which you owned directly or through a Business as of December 31, 2018, and which had an assessed value greater than \$1,000, and provide the required information for each Real Estate holding. Ownership Legend: Filer = F Spouse/Child(ren) = S/C Trust = T
Filer reported none.
14. Other than the Real Estate identified in Question 13, identify all Real Estate in Massachusetts which your spouse and/or any dependent child(ren) residing in your household owned directly or through a Business as of December 31, 2018, and which had an assessed value greater than \$1,000, and provide the required information for each Real Estate holding.
Filer reported none.

Original Page 4 of 36

15. Identify any Trust of which you were a beneficiary, and which owned Real Estate in Mass 31, 2018, with an assessed value greater than \$1,000, and provide the required information f Estate holding.	
	Beneficiary Legend: Filer = F Spouse/Child(ren) = S/C Trust = T
Filer reported none.	
16. Other than the Real Estate identified in Question 15, identify any Trust of which your spouchild(ren) residing in your household was a beneficiary, and which owned Real Estate in Mass 31, 2018, with an assessed value greater than \$1,000, and provide the required information for Estate holding. Filer reported none.	sachusetts as of December
17. Identify any Real Estate in Massachusetts with an assessed value greater than \$1,000, the another person or entity by you, or by a Trust of which you were a beneficiary, at any time durequired information for each Real Estate holding.	
	Ownership Legend: Filer = F Spouse/Child(ren) = S/C Trust = T
	*//
Filer reported none.	

Original Page 5 of 36



Original Page 6 of 36

NOTE: If the Filer answered "YES" to a Question in the following section, one or more columns in the Table for that Question may be blank in the following situations: If the Filer indicated that the name of the person and/or the trust was a family member's name or address, the Filer was not required to provide that name. If the Filer indicated that an address was a family member's address, the Filer was not required to provide that address.

Financial Investments

21. Identify every bond or other security issued by the Commonwealth of Massachusetts or its political subdivisions, agencies, and authorities, which you owned directly or through a Business, as of December 31, 2018, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each such investment.

Ownership Legend: Filer = F Spouse/Child(ren) = S/C Trust = T

Filer reported none.

22. Other than the bonds or other securities identified in Question 21, identify every bond or other security issued by the Commonwealth of Massachusetts or its political subdivisions, agencies, and authorities, which your spouse and/or any dependent child(ren) residing in your household owned directly or through a Business, as of December 31, 2018, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each such investment.

Filer reported none.

23. Identify every bond or other security issued by the Commonwealth of Massachusetts or its political subdivisions, agencies, and authorities, which was owned as of December 31, 2018, by a Trust of which you were a beneficiary, whether directly or through a Business, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each such investment.

Beneficiary Legend: Filer = F Spouse/Child(ren) = S/C Trust = T

Filer reported none.

Original Page 7 of 36

24. Identify every bond or other security issued by the Commonwealth of Massachusetts or its political subdivisions, agencies, and authorities, which was owned as of December 31, 2018, by a Trust of which your spouse and/or any dependent child(ren) residing in your household was a beneficiary, whether directly or through a Business, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each such investment.

Filer reported none.



Original Page 8 of 36

25. Identify every Financial Investment that you owned directly or through a Business as of December 31, 2018, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each.

Ownership Legend: Filer = F Spouse/Child(ren) = S/C Trust = T

Name of Issuer	Owner	Description of Investment	Principal Place of Business or State of Incorporation	Address
Amazon.com, Inc Common Stock(AMZN)	F			
AmeriGas Partners, L.P. Common Stock(APU)	F	S. 6. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1.		
Alphabet Inc Class A Common Stock(GOOGL)	F			
Alphabet Inc Class C Capital Stock(GOOG)	F			
Apple Inc Common Stock(AAPL)	F			
Dick's Sporting Goods Inc Common Stock(DKS)	F			

Original Page 9 of 36

Eaton Vance F Tax-Managed Diversified Equity Income Fund Common Shares of Beneficial Interest, (ETY)	
LGI Homes, Inc. F - Common Stock(LGIH)	
Mastercard F Incorporated Common Stock(MA)	
Netflix, Inc F Common Stock(NFLX)	
PayPal Holdings, F Inc Common Stock(PYPL)	
Rio Tinto Plc F Common Stock(RIO)	
Visa Inc.(V) F	
Willdan Group, F Inc Common Stock(WLDN)	

Original Page 10 of 36

Guggenheim S&P Smallcap 600 Pure Growth ETF(RZG)	F
iShares Currency Hedged MSCI Spain(HEWP)	F
iShares MSCI Australia Index Fund(EWA)	F
iShares MSCI Brazil Capped Index Fund(EWZ)	F
Ishares MSCI Denmark Capped ETF(EDEN)	
iShares MSCI Poland Capped ETF(EPOL)	F
iShares MSCI South Korea Capped Index Fund(EWY)	F Control of the second of the
iShares U.S. Financial Services ETF(IYG)	F

Original Page 11 of 36

iShares U.S. Health Care Providers ETF(IHF)	F			
PowerShares S&P SmallCap Information Technology Portfolio(PSCT)	F			
Schwab U.S. Large-Cap ETF(SCHX)	F			
SPDR Select Sector Fund - Consumer Staples (XLP)	F	15.		
WisdomTree Germany Hedged Equity Fund(DXGE)	F	*		
WisdomTree Japan Hedged Health Care Fund(DXJH)	F			3/
Guam Govt Bus Priv	F	Bond	Guam	1 Aspenwall Ave, Guam, USA, 96910
Chicago GO	F	Bond	Chicago	121 North LaSalle, Chicago, IL, 60602, US

Original Page 12 of 36

Illinois GO	F	Bond	Illinois	401 South Second St, Springfield, IL, 62707, US
Rhode Island HLTH and ED	F	Bond	Rhode Island	82 Smith St, Providence, RI, 02903, US
BE Semiconductor	F	Common Stock	Duiven	6920 AE Duiven, Duiven, Netherlands
Castlight Health, Inc. Class B Common Stock(CSLT)	F	5,30000000		
Ellomay Capital Ltd Ordinary Shares (Israel) (ELLO)	F			
First Choice Healthcare	F	Common Stock	Florida	709 South Harbor City, Melbourne, FL, 32901, US
Smart Global Holdings	F	Common Stock	California	39870 Eureka Drive, Newark, CA, 94560, US
Toyota Motor Corporation Common Stock(TM)	F			

Original Page 13 of 36

iShares MSCI Chile Capped Investable Market Index Fund(ECH)	F			
Ishares MSCI Germany Small Cap ETF(EWGS)	F			
Ishares MSCI India ETF(INDA)	F			
Ishares MSCI India Small Cap ETF(SMIN)	F	300000000000000000000000000000000000000		
iShares MSCI Sweden Index Fund(EWD)	F			
Schwab Fundamental International Small Company Index ETF(FNDC)	F			
Market Vectors Vietnam ETF(VNM)	F	V TY		
Virgin Islands	F Bond		US Virgin Islands	Waterfront Hwy, Charlotte Amalie, VI, 00802, US

Original Page 14 of 36

New Jersey Transit	F	Bond	New Jersey	125 West State St, Trenton, NJ, 08608, US
Wal-Mart Stores, Inc. Common Stock(WMT)	F			
Spotify Technology	F	Common Stock	Stockholm	Regeringsgatan 19, Stockholm/Sweden /SE-111 53
PowerShares QQQ Trust, Series 1(QQQ)	F	1000 co 000 co 0		
iShares MSCI All Peru Capped ETF(EPU)	F			
iShares MSCI Singapore Index Fund(EWS)	F			
iShares S&P India Nifty 50 Index Fund(INDY)	F		H 011	
iShares MSCI Thailand Capped Investable Market Index Fund(THD)	F			

Original Page 15 of 36

Georgia F Bond Georgia Municipal Electric

1470 Riveredge Parkway, Atlanta, GA, 30328, US



Original Page 16 of 36

26. Other than the Financial Investments identified in Question 25, identify every Financial Investment that your spouse and/or any dependent child(ren) residing in your household owned directly or through a Business as of December 31, 2018, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each. For any Financial Investment not included on the drop-down list of publicly traded stock, you must provide the issuer's principal place of business or state of incorporation as well as its address.

Name of Issuer	Description of Investment	Principal Place of Business or Address State of Incorporation
Goldman Sachs Strategic Income Fund Insti Shares(GSZIX)		
Goldman Sachs High Yield Floating Rate Fd Insti Shs(GSFRX)	4615 ···	
Goldman Sachs Large Cap Value Fd Institutional Cl(GSLIX)		
Goldman Sachs U.S. Equity Dividend and Premium Fund Insti Class(GSPKX)		
Goldman Sachs MLP Energy Infrastructure Institutional Shares(GMLPX)	N. I.V.	H/O

Original Page 17 of 36

Vanguard Total World Stock Index ETF(VT) Goldman Sachs International Equity Dividend and Premium Fd Class Insti(GIDHX) Goldman Sachs Emerging Markets Equity Fund Institutional Shares(GEMIX) Abbott Laboratories Common Stock (ABT) The AES Corporation Common Stock (AES) Allstate Corporation (The) Common Stock(ALL)

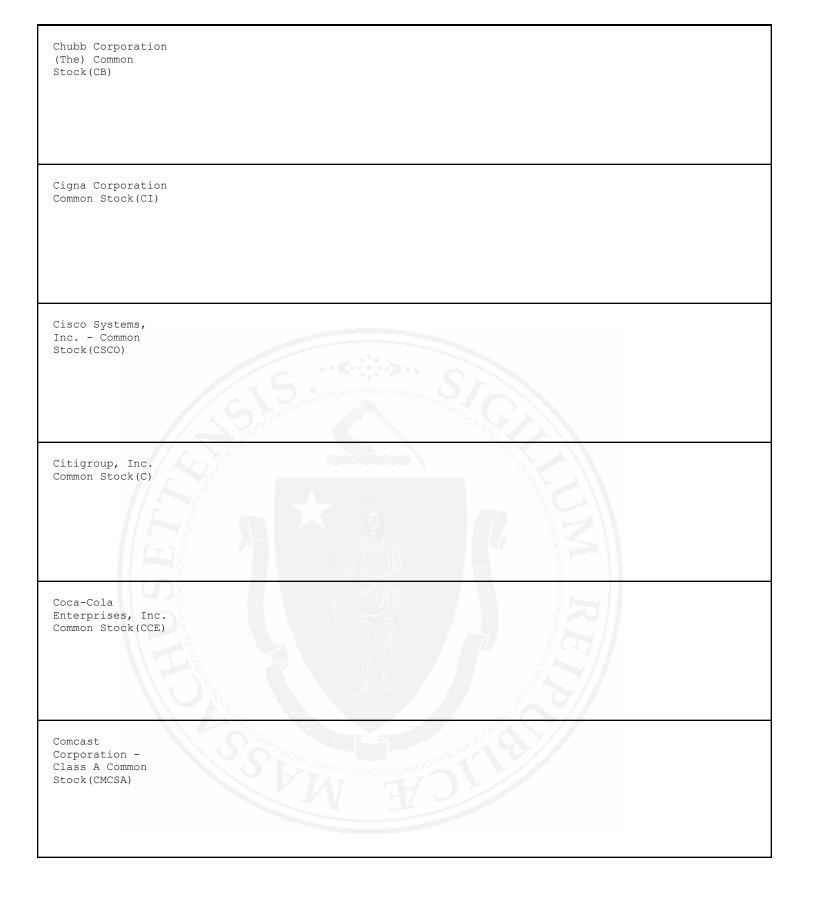
Original Page 18 of 36

Alphabet Inc. -Class A Common Stock(GOOGL) American International Group, Inc. New Common Stock(AIG) Anthem, Inc. Common Stock (ANTM) Apple Inc. -Common Stock (AAPL) Bank of America Corporation Common Stock (BAC) Berkshire Hathaway Inc. New Common
Stock(BRK.B)

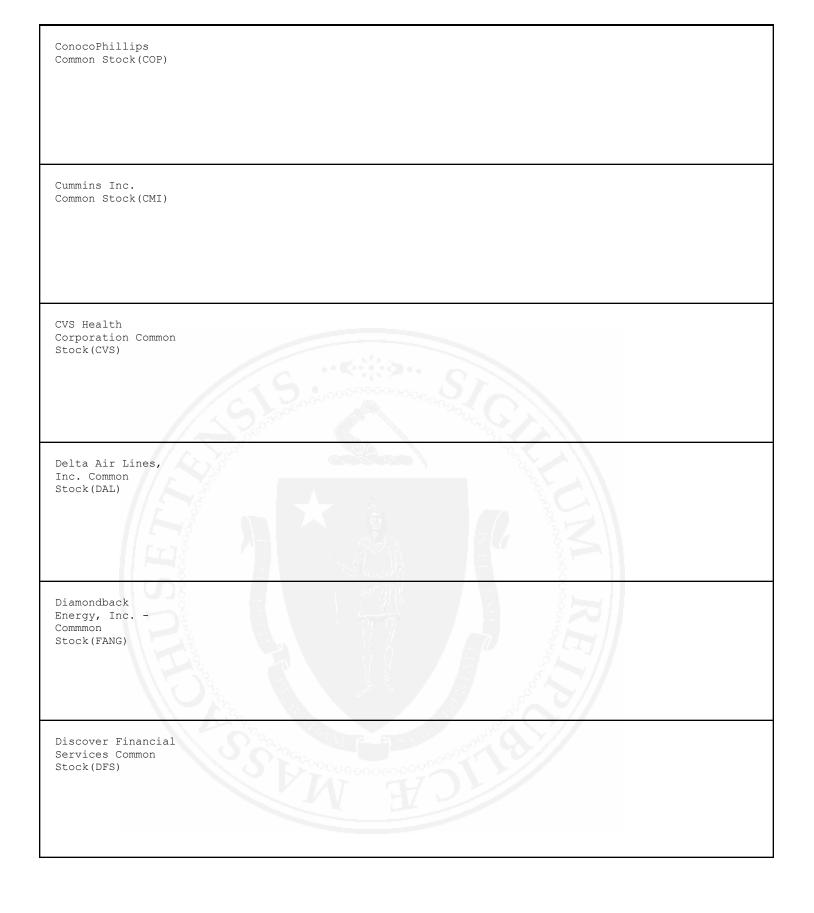
Original Page 19 of 36

BorgWarner Inc. Common Stock(BWA) Capital One Financial Corporation Common Stock(COF) CBS Corporation Class B Common Stock(CBS) Celanese Corporation Celanese Corporation Series A Common Stock(CE) Cemex, S.A.B. de C.V. Sponsored ADR (CX) Chevron Corporation Common Stock(CVX)

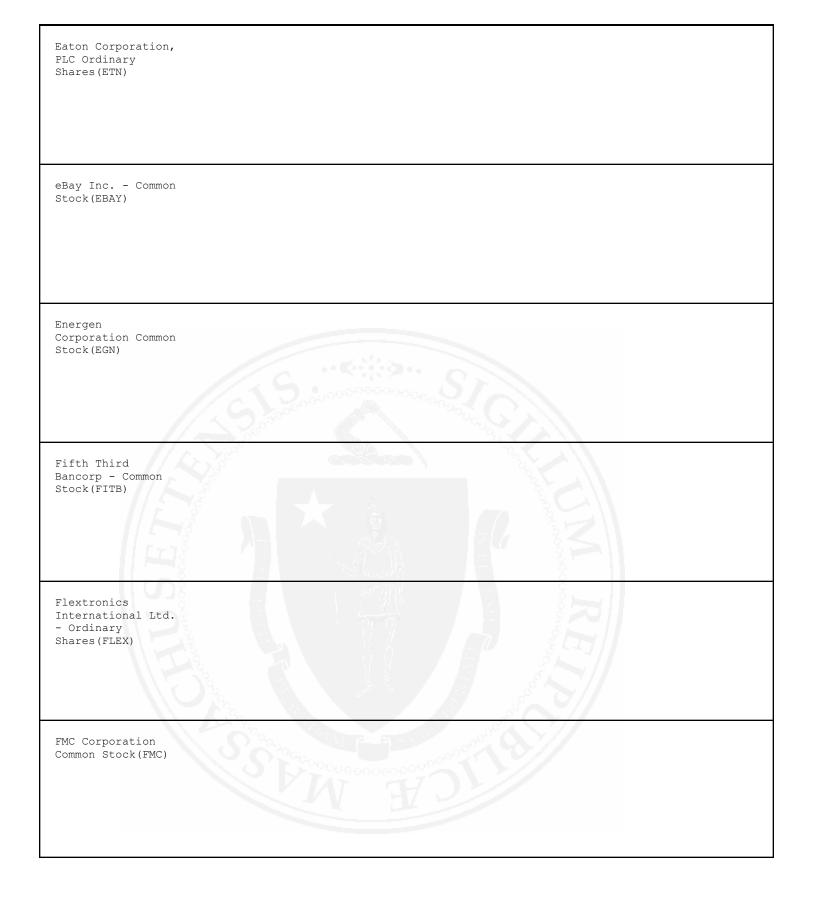
Original Page 20 of 36



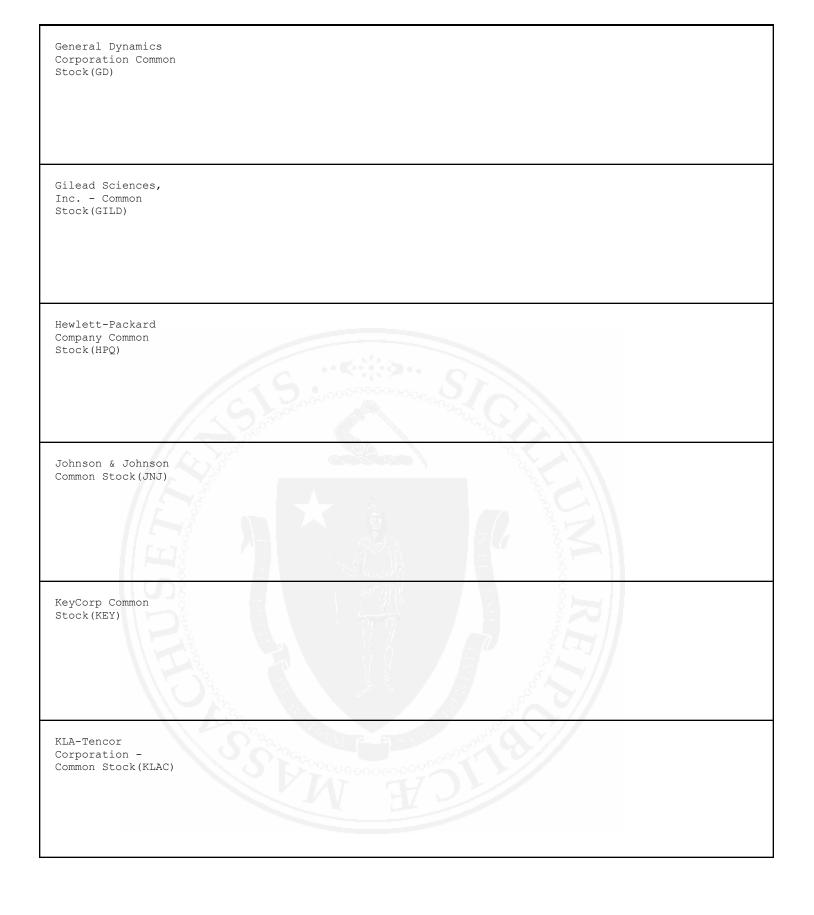
Original Page 21 of 36



Original Page 22 of 36



Original Page 23 of 36



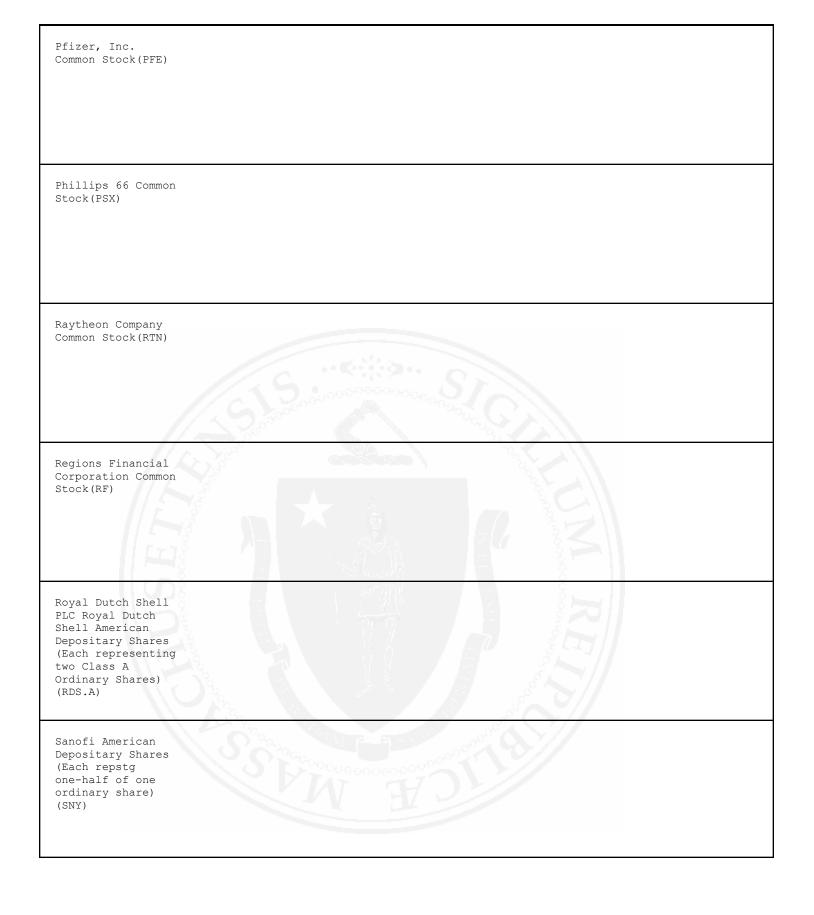
Original Page 24 of 36

Koninklijke Philips N.V. NY Registry Shares (PHG) L-3 Communications Holdings, Inc. Common Stock(LLL) Laboratory Corporation of America Holdings Common Stock(LH) Liberty Global plc - Class C Ordinary Shares (LILAK) Lloyds Banking Group Plc American Depositary Shares (LYG) Marathon Petroleum Corporation Common Stock (MPC)

Original Page 25 of 36

Masco Corporation Common Stock(MAS) Merck & Company, Inc. Common Stock (new) (MRK) Methanex Corporation -Common Stock (MEOH) Microsoft Corporation -Common Stock(MSFT) NetApp, Inc. -Common Stock(NTAP) Oracle Corporation Common Stock(ORCL)

Original Page 26 of 36



Original Page 27 of 36

Southwest Airlines Company Common Stock(LUV) Spirit Aerosystems Holdings, Inc. Common Stock(SPR) Steel Dynamics, Inc. - Common Stock(STLD) Synchrony Financial Common Stock(SYF) TE Connectivity Ltd. New Switzerland Registered Shares (TEL) Home Depot, Inc. (The) Common Stock(HD)

Original Page 28 of 36

Time Warner Inc. New Common Stock(TWX) TJX Companies, Inc. (The) Common Stock(TJX) Twenty-First Century Fox, Inc. - Class A Common Stock (FOXA) United Technologies Corporation Common Stock (UTX) UnitedHealth Group Incorporated Common Stock (DE) (UNH) Wells Fargo & Company Common Stock (WFC)

Original Page 29 of 36

Westrock Company Common Stock(WRK)			
ASML Holding N.V ADS represents 1 ordinary share(ASML)			
Goldman Sachs - Cap growth PCS: non-US equity	Mutual Fund	New York	200 West St, New York, NY, 10282, US
Goldman Sachs - Emily Hardin Loevner: Non-US equity	Mutual Fund	New York	200 West St, New York, NY, 10282, US
	ju-		

27. Identify every Financial Investment that was owned as of December 31, 2018, by a Trust of which you were a beneficiary, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each. For any Financial Investment not included on the drop-down list of publicly traded stock, you must provide the issuer's principal place of business or state of incorporation as well as its address.

Beneficiary Legend:
Filer = F
Spouse/Child(ren) = S/C
Trust = T

Filer reported none.

Original Page 30 of 36

28. Other than the Financial Investments identified in Question 27, identify every Financial Investment that was owned as of December 31, 2018, by a Trust of which your spouse and/or any dependent child(ren) residing in your household was a beneficiary, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each. For any Financial Investment not included on the drop-down list of publicly traded stock, you must provide the issuer's principal place of business or state of incorporation as well as its address.

Name of Issuer	Description of Investment	Principal Place of Business or State of Incorporation	Issuer Address	Name of Trust
Goldman Sachs Core Fixed Income Fund Institutional Shares(GSFIX)				
Goldman Sachs Short Duration Income Fd Inst Cl(GDFIX)	S15.			
Goldman Sachs Short Duration Tax Free Fund Institutional Shares (GSDUX)				
Goldman Sachs Short Duration Government Fd Institutional Shares (GSTGX)			RH	
Goldman Sachs High Yield Floating Rate Fd Insti Shs(GSFRX)				

Original Page 31 of 36

NOTE: If the Filer answered "YES" to a Question in the following section, one or more columns in the Table for that Question may be blank in the following situations: If the Filer indicated that the name of the person and/or the trust was a family member's name or address, the Filer was not required to provide that name. If the Filer indicated that an address was a family member's address, the Filer was not required to provide that address.

Debts and Mortgages

29. Identify all mortgages, including home equity and reverse mortgage loans, on your Primary Residence, on which more than \$1,000 was owed as of December 31, 2018, where the creditor (person who loaned you the money) is <u>not</u>, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great-grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative, and provide the required information for each.

Creditor Name	Creditor Address	Mortgage Term	Interest Rate (%)	Termination Year
WEBSTER BANK	137 BANK ST, WATERBURY, CT, 06702, US	10	3	2022

30. Did you have a mortgage, including a home equity or reverse mortgage loan, on any property <u>OTHER</u> than your Primary Residence, on which more than \$1,000 was owed as of December 31, 2018, which you were obligated to pay and where the creditor (person who loaned you the money) is <u>NOT</u>, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great-grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative?

Obligor Legend:
Filer = F
Spouse/Child(ren) = S/C
Trust = T

Filer reported none.

31. Identify all mortgages, including home equity and reverse mortgage loans, <u>other</u> than any mortgage on your Primary Residence or any mortgage identified in response to Question 30, on which more than \$1,000 was owed as of December 31, 2018, and which your spouse and/or any dependent child(ren) residing in your household were obligated to pay and where the creditor (person who loaned the money to your spouse and/or dependent child(ren) residing in your household) is <u>not</u>, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great-grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative, and provide the required information for each.

Filer reported none.

Original Page 32 of 36

32. Identify all non-mortgage debts of more than \$1,000 that you owed as of December 31, 2018, IF the person to whom you owed the debt is <u>not</u> , by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great-grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative, and provide the required information for each.	
Filer reported none.	
33. Identify all non-mortgage debts of more than \$1,000 that your spouse and/or any dependent child(ren) residing in your household owed as of December 31, 2018, if the person to whom your spouse and/or any dependent child(ren) residing in your household owed the debt is not, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great-grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative, and provide the required information for each.	
Filer reported none.	
34. Identify non-mortgage debts of more than \$1,000 which you owed and which were forgiven at any time during 2018, excluding debts forgiven by a person who is, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great-grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative, and provide the required information for each. Filer reported none.	
35. Identify non-mortgage debts of more than \$1,000 that were owed by your spouse and/or any dependent child(ren) residing in your household and were forgiven at any time during 2018, excluding debts forgiven by a person who is, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great-grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative, and provide the required information for each.	
Filer reported none.	

Original Page 33 of 36

Reimbursments, Gifts, and Honoraria				
36. Identify any Reimbursements for expenses in excess of \$100 provided to you at any time during 2018 by any legislative agent or executive agent (lobbyist).				
Filer reported none.				
36.a Identify any Reimbursements for expenses in excess of \$100, other than those identified in response to Question 36, you received at any time during 2018 from any person having a direct interest in legislation, legislative action, or a matter before a governmental body.				
Filer reported none.				
37. Identify any Reimbursements for expenses in excess of \$100 provided to your spouse and/or any dependent child(ren) residing in your household at any time during 2018 by any legislative agent or executive agent (lobbyist). Filer reported none.				
Filer reported none.				
37.a Identify any Reimbursements for expenses in excess of \$100, other than those identified in response to Question 37, provided to your spouse and/or dependent child(ren) residing in your household at any time during 2018 by any person having a direct interest in legislation, legislative action, or a matter before a governmental body.				
Filer reported none.				
38. Identify any Gifts and/or Honoraria worth more than \$100 provided to you at any time during 2018 by any person having a direct interest in legislation, legislative action, or a matter before a governmental body.				
Filer reported none.				

Original Page 34 of 36

39. Identify any Gifts and/or Honoraria worth more than \$100 provided to your spouse and/or any dependent child(ren) residing in your household at any time during 2018 by any person having a direct interest in legislation, legislative action, or a matter before a governmental body.

Filer reported none.

NOTE: If the Filer answered "YES" to a Question in the following section, one or more columns in the Table for that Question may be blank in the following situations: If the Filer indicated that the name of the person and/or the trust was a family member's name or address, the Filer was not required to provide that name. If the Filer indicated that an address was a family member's address, the Filer was not required to provide that address.

Blind Trusts

40. Did you, your spouse and/or any dependent child(ren) residing in your household during 2018, own anything that you have not reported on this Statement of Financial Interests because it was held in a Blind Trust during 2018?

Filer reported none.



Original Page 35 of 36



I, Alex Fowler, certify under the pains and penalties of perjury that:

I made a diligent effort to obtain the required information concerning myself and my spouse and/or any dependent child(ren); and

The information provided on this form is true and complete, to the best of my knowledge.

Submitted: 04/28/2019

Did your spouse and/or any dependent child(ren) residing in your household decline to disclose information which is necessary to complete this form fully and accurately?

The following are the specific Question(s) which I decline to answer in whole or in part because I assert that the information is privileged by law:

Please explain the basis of your claim of privilege:

IMPORTANT:

- 1. No DESIGNATED PUBLIC EMPLOYEE shall be allowed to continue in their duties or to receive compensation from public funds unless they have filed a Statement of Financial Interests with the State Ethics Commission.
- 2. The State Ethics Commission does not accept a faxed or emailed copy of a Statement of Financial Interests for filing.
- 3. If you were required to amend your Statement of Financial Interests last year, we encourage you to carefully review your 2018 filling before submitting.

Original Page 36 of 36