

Statement of Financial Interests for 2019



CONTACT INFORMATION

Last Name:

First Name and Middle initial:

Fowler

Alex

Work Phone Number:

Other Phone:

(508) 999-8933

Work Email:

Other Email:

afowler@umassd.edu

Primary Residence Address:

Redacted

Contact mailing address

Redacted

You indicated that you did have a spouse residing in your household during 2019.

You indicated that you had no dependent child(ren) residing in your household at any time during 2019.

Candidacy and Public Service

1. If you are a candidate for public office, please indicate the public office you are seeking.

Filer reported none.

2. Identify the position you now hold, or have held, which requires you to file a Statement of Financial Interests and provide the required information for that position. If you held more than one public position which requires you to file, identify each position.

Agency Name	Address	Position	Date	Amount of Income
University of Massachusetts Dartmouth (UMASS)	285 Old Westport Rd, North Dartmouth, MA, 02747, US	Associate Provost for Research and Economic Development	09/01/2017	\$100,001 or more

3. Other than the position(s) identified in Question 2, identify every public position you held, and every public agency to which you provided services, at any time during 2019, whether compensated or not, and whether full- or part-time.

Filer reported none.

4. Identify every public position your spouse and/or any dependent child(ren) residing in your household held, and every public agency to which your spouse and/or any dependent child(ren) residing in your household provided services, at any time during 2019, whether compensated or not, and whether full- or part-time.

Public Agency	Public Agency Name	Address	Position	Consultant / Contractor?	Services Provided
State	UMass Dartmouth	285 Old Westport Rd, North Dartmouth, MA, 02747, US	Counselor	N/A	

Private Employment and Leaves of Absence

5. Identify every Business for which you worked as an employee, manager, consultant, or independent contractor at any time during 2019, whether compensated or not, and whether full- or part-time, and provide the required information for each.

Filer reported none.

6. Identify any Business from which you were on a leave of absence at any time during 2019, and provide its address.

Filer reported none.

7. Identify every Business for which your spouse and/or any dependent child(ren) residing in your household worked as an employee, manager, consultant, or independent contractor at any time during 2019, whether compensated or not, and whether full- or part-time, and provide the required information for each.

Business Name	Self-employed	Address	Position
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Bellevue Counseling LLC	<input checked="" type="checkbox"/>	174 Bellevue Avenue, Newport, RI, 02840, US	
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Business Ownership and Transfers

8. Identify each Business of which you were, in whole or in part, an owner, partner, or proprietor, or in which you owned more than 1% of any class of the outstanding stock or similar ownership interest, at any time during 2019, and provide the required information for each.

Filer reported none.

9. Identify each Business of which your spouse and/or any dependent child(ren) residing in your household was, in whole or in part, an owner, partner, or proprietor, or in which your spouse and/or any dependent child(ren) residing in your household owned more than 1% of any class of the outstanding stock or similar ownership interest, at any time during 2019, and provide the required information for each.

Business Name	Address
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Bellevue Counseling LLC	174 Bellevue Ave, Newport, RI, 02840, US
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10. Identify any stock or similar ownership interest in a Business which you transferred to your spouse and/or any dependent child(ren) residing in your household during 2019, and provide the required information for each.

Filer reported none.

Service as an Officer, Director, or Trustee

11. Identify any Business in which you served as an officer, director, or trustee, at any time during 2019, whether compensated or not, and whether full- or part-time, and provide the required information for each.

Filer reported none.

12. Identify any Business in which your spouse and/or any dependent child(ren) residing in your household served as an officer, director, or trustee, at any time during 2019, whether compensated or not, and whether full- or part-time, and provide the required information for each.

Filer reported none.

NOTE: If the Filer answered "YES" to a Question in the following section, one or more columns in the Table for that Question may be blank in the following situations: If the Filer indicated that the name of the person and/or the trust was a family member's name or address, the Filer was not required to provide that name. If the Filer indicated that an address was a family member's address, the Filer was not required to provide that address.

Real Estate

13. Identify all Real Estate in Massachusetts which you owned directly or through a Business as of December 31, 2019, and which had an assessed value greater than \$1,000, and provide the required information for each Real Estate holding.

Ownership Legend:

Filer = F

Spouse/Child(ren) = S/C

Trust = T

Filer reported none.

14. Other than the Real Estate identified in Question 13, identify all Real Estate in Massachusetts which your spouse and/or any dependent child(ren) residing in your household owned directly or through a Business as of December 31, 2019, and which had an assessed value greater than \$1,000, and provide the required information for each Real Estate holding.

Filer reported none.

15. Identify any Trust of which you were a beneficiary, and which owned Real Estate in Massachusetts as of December 31, 2019, with an assessed value greater than \$1,000, and provide the required information for each such Trust and Real Estate holding.

Beneficiary Legend:

Filer = F

Spouse/Child(ren) = S/C

Trust = T

Filer reported none.

16. Other than the Real Estate identified in Question 15, identify any Trust of which your spouse and/or any dependent child(ren) residing in your household was a beneficiary, and which owned Real Estate in Massachusetts as of December 31, 2019, with an assessed value greater than \$1,000, and provide the required information for each such Trust and Real Estate holding.

Filer reported none.

17. Identify any Real Estate in Massachusetts with an assessed value greater than \$1,000, that was transferred to another person or entity by you, or by a Trust of which you were a beneficiary, at any time during 2019, and provide the required information for each Real Estate holding.

Ownership Legend:

Filer = F

Spouse/Child(ren) = S/C

Trust = T

Filer reported none.

18. Other than the Real Estate identified in Question 17, identify any Real Estate in Massachusetts with an assessed value greater than \$1,000, that was transferred to another person or entity by your spouse and/or any dependent child(ren) residing in your household, or by a Trust of which your spouse and/or any dependent child(ren) residing in your household was a beneficiary, at any time during 2019, and provide the required information for each piece of Real Estate transferred.

Ownership Legend:
Filer = F
Spouse/Child(ren) = S/C
Trust = T

Filer reported none.

19. Identify any Real Estate in Massachusetts with an assessed value greater than \$1,000, on which, as of December 31, 2019, you, or a Trust of which you were a beneficiary, had a lien, attachment, or mortgage receivable, and provide the required information for each.

Ownership Legend:
Filer = F
Spouse/Child(ren) = S/C
Trust = T

Filer reported none.

20. Other than the Real Estate identified in Question 19, identify any Real Estate in Massachusetts with an assessed value greater than \$1,000, on which, as of December 31, 2019, your spouse and/or any dependent child(ren) residing in your household, or a Trust of which your spouse and/or any dependent child(ren) residing in your household, had a lien, attachment, or mortgage receivable, and provide the required information for each.

Ownership Legend:
Filer = F
Spouse/Child(ren) = S/C
Trust = T

Filer reported none.

NOTE: If the Filer answered “YES” to a Question in the following section, one or more columns in the Table for that Question may be blank in the following situations: If the Filer indicated that the name of the person and/or the trust was a family member’s name or address, the Filer was not required to provide that name. If the Filer indicated that an address was a family member’s address, the Filer was not required to provide that address.

Financial Investments

21. Identify every bond or other security issued by the Commonwealth of Massachusetts or its political subdivisions, agencies, and authorities, which you owned directly or through a Business, as of December 31, 2019, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each such investment.

Ownership Legend:

Filer = F

Spouse/Child(ren) = S/C

Trust = T

Filer reported none.

22. Other than the bonds or other securities identified in Question 21, identify every bond or other security issued by the Commonwealth of Massachusetts or its political subdivisions, agencies, and authorities, which your spouse and/or any dependent child(ren) residing in your household owned directly or through a Business, as of December 31, 2019, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each such investment.

Filer reported none.

23. Identify every bond or other security issued by the Commonwealth of Massachusetts or its political subdivisions, agencies, and authorities, which was owned as of December 31, 2019, by a Trust of which you were a beneficiary, whether directly or through a Business, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each such investment.

Beneficiary Legend:

Filer = F

Spouse/Child(ren) = S/C

Trust = T

Filer reported none.

24. Identify every bond or other security issued by the Commonwealth of Massachusetts or its political subdivisions, agencies, and authorities, which was owned as of December 31, 2019, by a Trust of which your spouse and/or any dependent child(ren) residing in your household was a beneficiary, whether directly or through a Business, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each such investment.

Filer reported none.



25. Identify every Financial Investment that you owned directly or through a Business as of December 31, 2019, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each.

Ownership Legend:
 Filer = F
 Spouse/Child(ren) = S/C
 Trust = T

Name of Issuer	Owner	Description of Investment	Principal Place of Business or State of Incorporation	Address
Amazon.com, Inc. - Common Stock (AMZN)	F			
Alphabet Inc. - Class A Common Stock (GOOGL)	F			
Alphabet Inc. - Class C Capital Stock (GOOG)	F			
Apple Inc. - Common Stock (AAPL)	F			
Eaton Vance Tax-Managed Diversified Equity Income Fund Common Shares of Beneficial Interest, (ETY)	F			
LGI Homes, Inc. - Common Stock (LGIH)	F			

Mastercard Incorporated Common Stock (MA)	F
Netflix, Inc. - Common Stock (NFLX)	F
PayPal Holdings, Inc. - Common Stock (PYPL)	F
Visa Inc. (V)	F
Willdan Group, Inc. - Common Stock (WLDN)	F
Guggenheim S&P Smallcap 600 Pure Growth ETF (RZG)	F
iShares MSCI Spain Capped Index Fund (EWP)	F
iShares MSCI Australia Index Fund (EWA)	F

iShares MSCI Poland Capped ETF (EPOL)	F			
iShares MSCI South Korea Capped Index Fund (EWY)	F			
PowerShares S&P SmallCap Information Technology Portfolio (PSCT)	F			
Schwab U.S. Large-Cap ETF (SCHX)	F			
SPDR Select Sector Fund - Consumer Staples (XLP)	F			
Guam Govt Bus Priv	F	Bond	Guam	1 Aspenwall Ave, Guam, USA, 96910
Chicago GO	F	Bond	Chicago	121 North LaSalle, Chicago, IL, 60602, US
Illinois GO	F	Bond	Illinois	401 South Second St, Springfield, IL, 62707, US

Rhode Island ST TUR	F	Bond	Rhode Island	82 Smith St, Providence, RI, 02903, US
Castlight Health, Inc. Class B Common Stock (CSLT)	F			
Ellomay Capital Ltd Ordinary Shares (Israel) (ELLO)	F			
First Choice Healthcare	F	Common Stock	Florida	709 South Harbor City, Melbourne, FL, 32901, US
Smart Global Holdings	F	Common Stock	California	39870 Eureka Drive, Newark, CA, 94560, US
iShares MSCI Chile Capped Investable Market Index Fund (ECH)	F			
Ishares MSCI Germany Small Cap ETF (EWGS)	F			
Ishares MSCI India ETF (INDA)	F			

Ishares MSCI India Small Cap ETF (SMIN)	F			
iShares MSCI Sweden Index Fund (EWD)	F			
Schwab Fundamental International Small Company Index ETF (FNDC)	F			
Market Vectors Vietnam ETF (VNM)	F			
Virgin Islands	F	Bond	US Virgin Islands	Waterfront Hwy, Charlotte Amalie, VI, 00802, US
New Jersey Transit	F	Bond	New Jersey	125 West State St, Trenton, NJ, 08608, US
Wal-Mart Stores, Inc. Common Stock (WMT)	F			
Spotify Technology	F	Common Stock	Stockholm	Regeringsgatan 19, Stockholm/Sweden/ SE-111 53

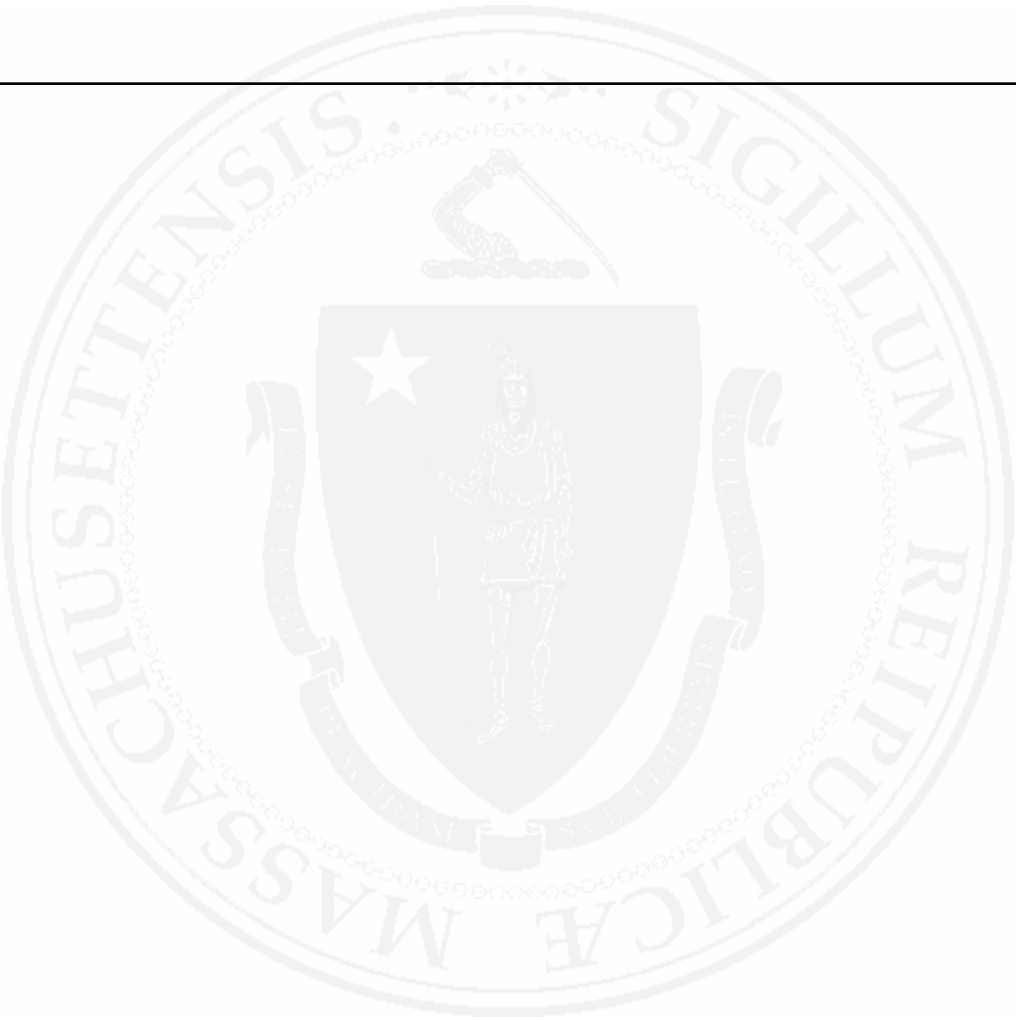
PowerShares QQQ Trust, Series 1 (QQQ)	F			
iShares MSCI Singapore Index Fund (EWS)	F			
iShares S&P India Nifty 50 Index Fund (INDY)	F			
iShares MSCI Thailand Capped Investable Market Index Fund (THD)	F			
Georgia Municipal Electric	F	Bond	Georgia	1470 Riveredge Parkway, Atlanta, GA, 30328, US
AMC Entertainment Holdings, Inc. Class A Common Stock (AMC)	F			
Alnylam Pharmaceuticals, Inc. - Common Stock (ALNY)	F			
CVS Health Corporation Common Stock (CVS)	F			

Eaton Vance Tax Advantaged Dividend Income Fund Common Shares of Beneficial Interest (EVT)	F
Ford Motor Company Common Stock (F)	F
The Kraft Heinz Company - Common Stock (KHC)	F
Macy's Inc Common Stock (M)	F
Shopify Inc. Class A Subordinate Voting Shares (SHOP)	F
Telefonica SA Common Stock (TEF)	F
Global X FTSE Greece 20 ETF (GREK)	F
Guggenheim Frontier Markets ETF (FRN)	F

Guggenheim MSCI Emerging Markets Equal Country Weight ETF(EWEM)	F
iShares Emerging Markets Dividend Index Fund Exchange Traded Fund(DVYE)	F
iShares MSCI Austria Capped Investable Market Index Fund(EWO)	F
Ishares MSCI Germany Small Cap ETF(EWGS)	F
iShares MSCI Germany Index Fund(EWG)	F
Ishares MSCI India ETF(INDA)	F
Ishares MSCI India Small Cap ETF(SMIN)	F
iShares MSCI Japan Index Fund(EWJ)	F

iShares MSCI United Kingdom ETF (EWU)	F
iShares MSCI Taiwan Index Fund (EWT)	F
Schwab Fundamental International Small Company Index ETF (FNDC)	F
Schwab U.S. Small-Cap ETF (SCHA)	F
Market Vectors Vietnam ETF (VNM)	F
Iron Mountain Incorporated (Delaware) Common Stock REIT (IRM)	F
New York Mortgage Trust, Inc. - Common Stock (NYMT)	F
Sunoco LP Common Units representing limited partner interests (SUN)	F

Mexico Fund, Inc. (The) Common Stock (MXF)	F			
Uber Technologies	F	Common Stock	CA	555 Market St, San Francisco, CA, 94105, US
Lyft	F	Common Stock	CA	185 Berry St, San Francisco, CA, 94107, US



26. Other than the Financial Investments identified in Question 25, identify every Financial Investment that your spouse and/or any dependent child(ren) residing in your household owned directly or through a Business as of December 31, 2019, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each. For any Financial Investment not included on the drop-down list of publicly traded stock, you must provide the issuer's principal place of business or state of incorporation as well as its address.

Name of Issuer	Description of Investment	Principal Place of Business or State of Incorporation	Address
Goldman Sachs Strategic Income Fund Insti Shares (GSZIX)			
Goldman Sachs High Yield Floating Rate Fd Insti Shs (GSFRX)			
Goldman Sachs Large Cap Value Fd Institutional Cl (GSLIX)			
Goldman Sachs U.S. Equity Dividend and Premium Fund Insti Class (GSPKX)			
Goldman Sachs MLP Energy Infrastructure Institutional Shares (GMLPX)			

Vanguard Total
World Stock Index
ETF (VT)

Goldman Sachs
International
Equity Dividend and
Premium Fd Class
Insti (GIDHX)

Goldman Sachs
Emerging Markets
Equity Fund
Institutional
Shares (GEMIX)

Abbott Laboratories
Common Stock (ABT)

The AES Corporation
Common Stock (AES)

Allstate
Corporation (The)
Common Stock (ALL)

Alphabet Inc. -
Class A Common
Stock (GOOGL)

American
International
Group, Inc. New
Common Stock (AIG)

Anthem, Inc. Common
Stock (ANTM)

Apple Inc. - Common
Stock (AAPL)

Bank of America
Corporation Common
Stock (BAC)

Berkshire Hathaway
Inc. New Common
Stock (BRK.B)

BorgWarner Inc.
Common Stock(BWA)

Capital One
Financial
Corporation Common
Stock(COF)

CBS Corporation
Class B Common
Stock(CBS)

Celanese
Corporation
Celanese
Corporation Series
A Common Stock(CE)

Cemex, S.A.B. de
C.V. Sponsored
ADR(CX)

Chevron Corporation
Common Stock(CVX)

Chubb Corporation
(The) Common
Stock (CB)

Cigna Corporation
Common Stock (CI)

Cisco Systems, Inc.
- Common
Stock (CSCO)

Citigroup, Inc.
Common Stock (C)

Coca-Cola
Enterprises, Inc.
Common Stock (CCE)

Comcast Corporation
- Class A Common
Stock (CMCSA)

ConocoPhillips
Common Stock(COP)

Cummins Inc. Common
Stock(CMI)

CVS Health
Corporation Common
Stock(CVS)

Delta Air Lines,
Inc. Common
Stock(DAL)

Diamondback Energy,
Inc. - Common
Stock(FANG)

Discover Financial
Services Common
Stock(DFS)

Eaton Corporation,
PLC Ordinary
Shares (ETN)

eBay Inc. - Common
Stock (EBAY)

Energen Corporation
Common Stock (EGN)

Fifth Third Bancorp
- Common
Stock (FITB)

Flextronics
International Ltd.
- Ordinary
Shares (FLEX)

FMC Corporation
Common Stock (FMC)

General Dynamics
Corporation Common
Stock (GD)

Gilead Sciences,
Inc. - Common
Stock (GILD)

Hewlett-Packard
Company Common
Stock (HPQ)

Johnson & Johnson
Common Stock (JNJ)

KeyCorp Common
Stock (KEY)

KLA-Tencor
Corporation -
Common Stock (KLAC)

Koninklijke Philips
N.V. NY Registry
Shares (PHG)

L-3 Communications
Holdings, Inc.
Common Stock (LLL)

Laboratory
Corporation of
America Holdings
Common Stock (LH)

Liberty Global plc
- Class C Ordinary
Shares (LILAK)

Lloyds Banking
Group Plc American
Depositary
Shares (LYG)

Marathon Petroleum
Corporation Common
Stock (MPC)

Masco Corporation
Common Stock (MAS)

Merck & Company,
Inc. Common Stock
(new) (MRK)

Methanex
Corporation -
Common Stock (MEOH)

Microsoft
Corporation -
Common Stock (MSFT)

NetApp, Inc. -
Common Stock (NTAP)

Oracle Corporation
Common Stock (ORCL)

Pfizer, Inc. Common
Stock (PFE)

Phillips 66 Common
Stock (PSX)

Raytheon Company
Common Stock (RTN)

Regions Financial
Corporation Common
Stock (RF)

Royal Dutch Shell
PLC Royal Dutch
Shell American
Depositary Shares
(Each representing
two Class A
Ordinary Shares)
(RDS.A)

Sanofi American
Depositary Shares
(Each repstg
one-half of one
ordinary share)
(SNY)

Southwest Airlines
Company Common
Stock (LUV)

Spirit Aerosystems
Holdings, Inc.
Common Stock (SPR)

Steel Dynamics,
Inc. - Common
Stock (STLD)

Synchrony Financial
Common Stock (SYF)

TE Connectivity
Ltd. New
Switzerland
Registered
Shares (TEL)

Home Depot, Inc.
(The) Common
Stock (HD)

Time Warner Inc.
New Common
Stock(TWX)

TJX Companies, Inc.
(The) Common
Stock(TJX)

Twenty-First
Century Fox, Inc. -
Class A Common
Stock(FOXA)

United Technologies
Corporation Common
Stock(UTX)

UnitedHealth Group
Incorporated Common
Stock (DE) (UNH)

Wells Fargo &
Company Common
Stock(WFC)

Westrock Company Common Stock (WRK)		
ASML Holding N.V. - ADS represents 1 ordinary share (ASML)		
Goldman Sachs - Cap growth PCS: non-US equity	Mutual Fund New York	200 West St, New York, NY, 10282, US
Goldman Sachs - Emily Hardin Loevner: Non-US equity	Mutual Fund New York	200 West St, New York, NY, 10282, US
Boston Partners	Mutual Fund MA	One Beacon St, Boston, MA, 02108, US
Vanguard International Exchange Traded Fund (VT)	Mutual Fund PA	PO Box 2600, Valley Forge, PA, 19482, US

GS US Equity Insights Class P	Mutual Fund	NY	200 West St, NY, NY, 10282, US
Morgan Stanley	Structured Note	NY	1585 Broadway Ave, NY, NY, 10036, US
SPDR EURO STOXX 50 Currency Hedged ETF (HFEZ)			
US Treasury	Bond	DC	Treasury Department, DC, DC, 20220, US
Goldman Sachs Renewable Power LLC	Private Equity	NY	200 West St, NY, NY, 10282, US
Amazon.com, Inc. - Common Stock (AMZN)			

Visa Inc.(V)

Facebook, Inc. -
Class A Common
Stock(FB)

JP Morgan Chase &
Co. Common
Stock(JPM)

Home Depot, Inc.
(The) Common
Stock(HD)

Procter & Gamble
Company (The)
Common Stock(PG)

Pepsico, Inc.
Common Stock(PEP)

Mastercard
Incorporated Common
Stock (MA)

Verizon
Communications Inc.
Common Stock (VZ)

NVIDIA Corporation
- Common
Stock (NVDA)

Walt Disney Company
(The) Common
Stock (DIS)

Intel Corporation -
Common Stock (INTC)

Thermo Fisher
Scientific Inc
Common Stock (TMO)

Wal-Mart Stores,
Inc. Common
Stock (WMT)

Exxon Mobil
Corporation Common
Stock (XOM)

T-Mobile US, Inc.
Common Stock (TMUS)

Boston Scientific
Corporation Common
Stock (BSX)

Eli Lilly and
Company Common
Stock (LLY)

Honeywell
International Inc.
Common Stock (HON)

Avago Technologies
Limited - Ordinary
Shares (AVGO)

Southern Company
(The) Common
Stock (SO)

Crown Castle
International Corp.
(REIT) Common
Stock (CCI)

Salesforce.com Inc
Common Stock (CRM)

PayPal Holdings,
Inc. - Common
Stock (PYPL)

Philip Morris
International Inc
Common Stock (PM)

International
Business Machines
Corporation Common
Stock (IBM)

Equinix, Inc. -
Common Stock (EQIX)

Costco Wholesale
Corporation -
Common Stock (COST)

American Electric
Power Company, Inc.
Common Stock (AEP)

Netflix, Inc. -
Common Stock (NFLX)

Vertex
Pharmaceuticals
Incorporated -
Common Stock (VRTX)

Morgan Stanley
Common Stock (MS)

Parker-Hannifin
Corporation Common
Stock (PH)

AbbVie Inc. Common
Stock (ABBV)

Accenture plc.
Class A Ordinary
Shares (Ireland)
(ACN)

Adobe Systems
Incorporated -
Common Stock (ADBE)

3M Company Common
Stock (MMM)

Becton, Dickinson
and Company Common
Stock (BDX)

AT&T Inc. (T)

Eaton Corporation,
PLC Ordinary
Shares (ETN)

QUALCOMM
Incorporated -
Common Stock (QCOM)

Synopsys, Inc. -
Common Stock (SNPS)

Amgen Inc. - Common
Stock (AMGN)

Regeneron
Pharmaceuticals,
Inc. - Common
Stock (REGN)

Fidelity National
Information
Services, Inc.
Common Stock (FIS)

Gilead Sciences,
Inc. - Common
Stock (GILD)

Edwards
Lifesciences
Corporation Common
Stock (EW)

EOG Resources, Inc.
Common Stock (EOG)

Starbucks
Corporation -
Common Stock (SBUX)

Biogen Inc. -
Common Stock (BIIB)

Target Corporation
Common Stock (TGT)

United Parcel
Service, Inc.
Common Stock (UPS)

CVS Health
Corporation Common
Stock (CVS)

Bristol-Myers
Squibb Company
Common Stock (BMY)

Mondelez
International, Inc.
- Class A Common
Stock (MDLZ)

Lam Research
Corporation -
Common Stock (LRCX)

WEC Energy Group,
Inc. Common
Stock (WEC)

Moody's Corporation
Common Stock (MCO)

DTE Energy Company
Common Stock (DTE)

Alleghany
Corporation Common
Stock (Y)

Fifth Third Bancorp
- Common
Stock (FITB)

Illinois Tool Works
Inc. Common
Stock (ITW)

American Tower
Corporation (REIT)
Common Stock (AMT)

Yandex N.V. - Class
A Ordinary
Shares (YNDX)

Cintas Corporation
- Common
Stock (CTAS)

Nike, Inc. Common
Stock (NKE)

NextEra Energy,
Inc. Common
Stock (NEE)

Texas Instruments
Incorporated -
Common Stock (TXN)

Caterpillar, Inc.
Common Stock (CAT)

American Express
Company Common
Stock (AXP)

ConocoPhillips
Common Stock (COP)

Lennar Corporation
Class A Common
Stock (LEN)

Duke Energy
Corporation
(Holding Company)
Common Stock (DUK)

Boeing Company
(The) Common
Stock (BA)

Zynga Inc. - Class
A Common
Stock (ZNGA)

Charter
Communications,
Inc. - Class A
Common Stock (CHTR)

DexCom, Inc. -
Common Stock (DXCM)

O'Reilly
Automotive, Inc. -
Common Stock (ORLY)

Incyte Corporation
- Common
Stock (INCY)

Humana Inc. Common
Stock (HUM)

Western Digital
Corporation -
Common Stock (WDC)

Medtronic plc.
Ordinary
Shares (MDT)

Lockheed Martin
Corporation Common
Stock (LMT)

Dollar General
Corporation Common
Stock (DG)

CME Group Inc. -
Class A Common
Stock (CME)

Consolidated
Edison, Inc. Common
Stock (ED)

Sherwin-Williams
Company (The)
Common Stock (SHW)

Bank of New York
Mellon Corporation
(The) Common
Stock (BK)

Kinder Morgan, Inc.
Common Stock (KMI)

MSCI Inc Common
Stock (MSCI)

CMS Energy
Corporation Common
Stock (CMS)

Analog Devices,
Inc. - Common
Stock (ADI)

Union Pacific
Corporation Common
Stock (UNP)

J.M. Smucker
Company (The) New
Common Stock (SJM)

Northrop Grumman
Corporation Common
Stock (NOC)

Danaher Corporation
Common Stock (DHR)

Lincoln National
Corporation Common
Stock (LNC)

Crown Holdings,
Inc. (CCK)

Keysight
Technologies Inc.
Common Stock (KEYS)

LyondellBasell
Industries NV
Ordinary Shares
Class A
(Netherlands) (LYB)

Principal Financial
Group Inc Common
Stock (PFG)

Kroger Company
(The) Common
Stock (KR)

Activision
Blizzard, Inc -
Common Stock (ATVI)

SBA Communications
Corporation -
Common Stock (SBAC)

Colgate-Palmolive
Company Common
Stock (CL)

Ross Stores, Inc. -
Common Stock (ROST)

Deere & Company
Common Stock (DE)

Amphenol
Corporation Common
Stock (APH)

Digital Realty
Trust, Inc. Common
Stock (DLR)

Ford Motor Company
Common Stock(F)

The Hershey Company
Common Stock(HSY)

eBay Inc. - Common
Stock(EBAY)

Newmont Mining
Corporation(NEM)

Lowe's Companies,
Inc. Common
Stock(LOW)

Align Technology,
Inc. - Common
Stock(ALGN)

American Water
Works Company, Inc.
Common Stock (AWK)

Palo Alto Networks,
Inc. Common
Stock (PANW)

Air Products and
Chemicals, Inc.
Common Stock (APD)

FedEx Corporation
Common Stock (FDX)

Micron Technology,
Inc. - Common
Stock (MU)

AutoZone, Inc.
Common Stock (AZO)

Tractor Supply
Company - Common
Stock (TSCO)

Emerson Electric
Company Common
Stock (EMR)

Hologic, Inc. -
Common Stock (HOLX)

Marsh & McLennan
Companies, Inc.
Common Stock (MMC)

Halliburton Company
Common Stock (HAL)

Fiserv, Inc. -
Common Stock (FISV)

Southwest Airlines
Company Common
Stock (LUV)

Ecolab Inc. Common
Stock (ECL)

The Travelers
Companies, Inc.
Common Stock (TRV)

27. Identify every Financial Investment that was owned as of December 31, 2019, by a Trust of which you were a beneficiary, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each. For any Financial Investment not included on the drop-down list of publicly traded stock, you must provide the issuer's principal place of business or state of incorporation as well as its address.

Beneficiary Legend:
Filer = F
Spouse/Child(ren) = S/C
Trust = T

Filer reported none.

28. Other than the Financial Investments identified in Question 27, identify every Financial Investment that was owned as of December 31, 2019, by a Trust of which your spouse and/or any dependent child(ren) residing in your household was a beneficiary, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each. For any Financial Investment not included on the drop-down list of publicly traded stock, you must provide the issuer's principal place of business or state of incorporation as well as its address.

Name of Issuer	Description of Investment	Principal Place of Business or State of Incorporation	Issuer Address	Name of Trust
Goldman Sachs Core Fixed Income Fund Institutional Shares (GSFIX)				
Goldman Sachs Short Duration Income Fd Inst Cl (GDFIX)				
Goldman Sachs Short Duration Tax Free Fund Institutional Shares (GSDUX)				
Goldman Sachs Short Duration Government Fd Institutional Shares (GSTGX)				
Goldman Sachs High Yield Floating Rate Fd Insti Shs (GSFRX)				
Vintage Real Estate Partners	Real Estate	NY	200 West DT, NY, NY, 10282, US	

US Treasury Note	Bond	DC	Treasury Department, DC, DC, 20220, US
FHLB	Bond	MA	800 Boylston St, Boston, MA, 02119, US
GS Rothschild LCV	Mutual Fund	NY	200 West St, NY, NY, 10282, US
GS Short Term Conservative Income Fund	Mutual Fund	NY	200 West St, NY, NY, 10282, US
GS Strategic Growth Fund	Mutual Fund	NY	200 West St, NY, NY, 01282, US
iShares Russell 1000 Growth ETF(IWF)			
GS Concentrated Growth Fund	Mutual Fund	NY	200 West St, NY, NY, 10282, US
iShares MSCI EAFE ETF(EFA)			

KKK Principal
Opportunities
Access LP

Private Equity

NY

200 West St,
NY, NY, 10282,
US

Private Equity
Partners X/Vintage
Access

Private Equity

NY

200 West St,
NY, NY, 10282,
US

NOTE: If the Filer answered “YES” to a Question in the following section, one or more columns in the Table for that Question may be blank in the following situations: If the Filer indicated that the name of the person and/or the trust was a family member’s name or address, the Filer was not required to provide that name. If the Filer indicated that an address was a family member’s address, the Filer was not required to provide that address.

Debts and Mortgages

29. Identify all mortgages, including home equity and reverse mortgage loans, on your Primary Residence, on which more than \$1,000 was owed as of December 31, 2019, where the creditor (person who loaned you the money) is not, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great-grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative, and provide the required information for each.

Filer reported none.

30. Did you have a mortgage, including a home equity or reverse mortgage loan, on any property OTHER than your Primary Residence, on which more than \$1,000 was owed as of December 31, 2019, which you were obligated to pay and where the creditor (person who loaned you the money) is NOT, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great-grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative?

Obligor Legend:

Filer = F

Spouse/Child(ren) = S/C

Trust = T

Filer reported none.

31. Identify all mortgages, including home equity and reverse mortgage loans, other than any mortgage on your Primary Residence or any mortgage identified in response to Question 30, on which more than \$1,000 was owed as of December 31, 2019, and which your spouse and/or any dependent child(ren) residing in your household were obligated to pay and where the creditor (person who loaned the money to your spouse and/or dependent child(ren) residing in your household) is not, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great-grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative, and provide the required information for each.

Filer reported none.

32. Identify all non-mortgage debts of more than \$1,000 that you owed as of December 31, 2019, IF the person to whom you owed the debt is not, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great-grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative, and provide the required information for each.

Filer reported none.

33. Identify all non-mortgage debts of more than \$1,000 that your spouse and/or any dependent child(ren) residing in your household owed as of December 31, 2019, if the person to whom your spouse and/or any dependent child(ren) residing in your household owed the debt is not, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great-grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative, and provide the required information for each.

Filer reported none.

34. Identify non-mortgage debts of more than \$1,000 which you owed and which were forgiven at any time during 2019, excluding debts forgiven by a person who is, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great-grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative, and provide the required information for each.

Filer reported none.

35. Identify non-mortgage debts of more than \$1,000 that were owed by your spouse and/or any dependent child(ren) residing in your household and were forgiven at any time during 2019, excluding debts forgiven by a person who is, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great-grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative, and provide the required information for each.

Filer reported none.

Reimbursements, Gifts, and Honoraria

36. Identify any Reimbursements for expenses in excess of \$100 provided to you at any time during 2019 by any legislative agent or executive agent (lobbyist).

Filer reported none.

36.a Identify any Reimbursements for expenses in excess of \$100, other than those identified in response to Question 36, you received at any time during 2019 from any person having a direct interest in legislation, legislative action, or a matter before a governmental body.

Filer reported none.

37. Identify any Reimbursements for expenses in excess of \$100 provided to your spouse and/or any dependent child(ren) residing in your household at any time during 2019 by any legislative agent or executive agent (lobbyist).

Filer reported none.

37.a Identify any Reimbursements for expenses in excess of \$100, other than those identified in response to Question 37, provided to your spouse and/or dependent child(ren) residing in your household at any time during 2019 by any person having a direct interest in legislation, legislative action, or a matter before a governmental body.

Filer reported none.

38. Identify any Gifts and/or Honoraria worth more than \$100 provided to you at any time during 2019 by any person having a direct interest in legislation, legislative action, or a matter before a governmental body.

Filer reported none.

39. Identify any Gifts and/or Honoraria worth more than \$100 provided to your spouse and/or any dependent child(ren) residing in your household at any time during 2019 by any person having a direct interest in legislation, legislative action, or a matter before a governmental body.

Filer reported none.

NOTE: If the Filer answered "YES" to a Question in the following section, one or more columns in the Table for that Question may be blank in the following situations: If the Filer indicated that the name of the person and/or the trust was a family member's name or address, the Filer was not required to provide that name. If the Filer indicated that an address was a family member's address, the Filer was not required to provide that address.

Blind Trusts

40. Did you, your spouse and/or any dependent child(ren) residing in your household during 2019, own anything that you have not reported on this Statement of Financial Interests because it was held in a Blind Trust during 2019?

Filer reported none.

CERTIFICATION

I, Alex Fowler, certify under the pains and penalties of perjury that:

I made a diligent effort to obtain the required information concerning myself and my spouse and/or any dependent child(ren); and

The information provided on this form is true and complete, to the best of my knowledge.

Submitted: 05/25/2020

Did your spouse and/or any dependent child(ren) residing in your household decline to disclose information which is necessary to complete this form fully and accurately?

The following are the specific Question(s) which I decline to answer in whole or in part because I assert that the information is privileged by law:

Please explain the basis of your claim of privilege:

IMPORTANT:

1. No DESIGNATED PUBLIC EMPLOYEE shall be allowed to continue in their duties or to receive compensation from public funds unless they have filed a Statement of Financial Interests with the State Ethics Commission.
2. The State Ethics Commission does not accept a faxed or emailed copy of a Statement of Financial Interests for filing.
3. If you were required to amend your Statement of Financial Interests last year, we encourage you to carefully review your 2019 filing before submitting.