

Statement of Financial Interests for 2022



CONTACT INFORMATION

Last Name:

First Name and Middle initial:

Goldberg

Deborah B

Work Phone Number:

Other Phone:

(617) 367-6900

Work Email:

Other Email:

dgoldberg@tre.state.ma.us

Primary Residence Address:

Redacted

Contact mailing address

Redacted

You indicated that you did have a spouse residing in your household during 2022.

You indicated that you had no dependent child(ren) residing in your household at any time during 2022.

Candidacy and Public Service

1. If you are a candidate for public office, please indicate the public office you are seeking.

Office

Treasurer

2. Identify the position you now hold, or have held, which requires you to file a Statement of Financial Interests and provide the required information for that position. If you held more than one public position which requires you to file, identify each position.

Agency Name	Address	Position	Date	Amount of Income
Office of the Treasurer and Receiver General	24 Beacon Street, State House, Room 227, Boston, MA, 02133, US	Treasurer and Receiver General	01/21/2015	\$100,001 or more

3. Other than the position(s) identified in Question 2, identify every public position you held, and every public agency to which you provided services, at any time during 2022, whether compensated or not, and whether full- or part-time.

Public Agency	Public Agency Name	Address	Position	Amount of Income	Consultant / Contractor?	Services Provided
State	Massachusetts Democratic Party	11 Beacon Street, Suite 410, Boston, MA, 02108, US	State Committee Member	N/A	N/A	
Federal	Democratic National Committee	430 S. Capital Street, SE, Washington, D.C., DC, 20003, US	State Treasurers' Delegate	N/A	N/A	
Federal	Democratic Treasurers Association	P.O. Box 96503 PMB 65071, Washington, D.C., MA, 20043, US	Chair	N/A	N/A	

4. Identify every public position your spouse and/or any dependent child(ren) residing in your household held, and every public agency to which your spouse and/or any dependent child(ren) residing in your household provided services, at any time during 2022, whether compensated or not, and whether full- or part-time.

Filer reported none.

Private Employment and Leaves of Absence

5. Identify every Business for which you worked as an employee, manager, consultant, or independent contractor at any time during 2022, whether compensated or not, and whether full- or part-time, and provide the required information for each.

Business Name	Self-employed	Address	Position	Income
Beth Israel Deaconess Medical Center	N/A	330 Brookline Avenue, Boston, MA, 02215, US		N/A
The Miriam Fund	N/A	126 High Street, Boston, MA, 02110, US		N/A
The Greater Boston Food Bank	N/A	70 South Bay Avenue, Boston, MA, 02118, US		N/A
MSPCA-Angell	N/A	350 South Huntington Avenue, Boston, MA, 02130, US		N/A
Goldberg Nominee Partnership	N/A	c/o Choate, Hall & Stewart LLP, 2 International Place, Boston, MA, 02110, US		\$10,001 to 20,000

6. Identify any Business from which you were on a leave of absence at any time during 2022, and provide its address.

Filer reported none.

7. Identify every Business for which your spouse and/or any dependent child(ren) residing in your household worked as an employee, manager, consultant, or independent contractor at any time during 2022, whether compensated or not, and whether full- or part-time, and provide the required information for each.

Business Name	Self-employed	Address	Position
J.P. Morgan Securities Inc.	N/A	50 Rowes Wharf, Floor 04, Boston, MA, 02110, US	Employee

Business Ownership and Transfers

8. Identify each Business of which you were, in whole or in part, an owner, partner, or proprietor, or in which you owned more than 1% of any class of the outstanding stock or similar ownership interest, at any time during 2022, and provide the required information for each.

Business Name	Address	Percentage of stock	Income
Goldberg Nominee Partnership	c/o Choate, Hall & Stewart LLP, 2 International Place, Boston, MA, 02110, US	5%	\$10,001 to 20,000
SRR Trust LLC	800 South Street, Suite 300, Waltham, MA, 02453, US	50%	\$100,001 or more

9. Identify each Business of which your spouse and/or any dependent child(ren) residing in your household was, in whole or in part, an owner, partner, or proprietor, or in which your spouse and/or any dependent child(ren) residing in your household owned more than 1% of any class of the outstanding stock or similar ownership interest, at any time during 2022, and provide the required information for each.

Filer reported none.

10. Identify any stock or similar ownership interest in a Business which you transferred to your spouse and/or any dependent child(ren) residing in your household during 2022, and provide the required information for each.

Filer reported none.

Service as an Officer, Director, or Trustee

11. Identify any Business in which you served as an officer, director, or trustee, at any time during 2022, whether compensated or not, and whether full- or part-time, and provide the required information for each.

Filer reported none.

12. Identify any Business in which your spouse and/or any dependent child(ren) residing in your household served as an officer, director, or trustee, at any time during 2022, whether compensated or not, and whether full- or part-time, and provide the required information for each.

Filer reported none.

NOTE: If the Filer answered “YES” to a Question in the following section, one or more columns in the Table for that Question may be blank in the following situations: If the Filer indicated that the name of the person and/or the trust was a family member’s name or address, the Filer was not required to provide that name. If the Filer indicated that an address was a family member’s address, the Filer was not required to provide that address.

Real Estate

13. Identify all Real Estate in Massachusetts which you owned directly or through a Business as of December 31, 2022, and which had an assessed value greater than \$1,000, and provide the required information for each Real Estate holding.

Ownership Legend:
Filer = F
Spouse/Child(ren) = S/C
Trust = T

Property Address	Owner	Transferred?	Transferor Name	Transferor Address	Assessed Value
Redacted	F	No			\$100,001 or more

14. Other than the Real Estate identified in Question 13, identify all Real Estate in Massachusetts which your spouse and/or any dependent child(ren) residing in your household owned directly or through a Business as of December 31, 2022, and which had an assessed value greater than \$1,000, and provide the required information for each Real Estate holding.

Filer reported none.

15. Identify any Trust of which you were a beneficiary, and which owned Real Estate in Massachusetts as of December 31, 2022, with an assessed value greater than \$1,000, and provide the required information for each such Trust and Real Estate holding.

Beneficiary Legend:
 Filer = F
 Spouse/Child(ren) = S/C
 Trust = T

Name of Trust	Real Estate Address	Beneficiary	Transferred?	Transferor Name	Transferor Address	Assessed Value
	Redacted	F	No			\$100,001 or more
	Redacted	F	No			\$100,001 or more

16. Other than the Real Estate identified in Question 15, identify any Trust of which your spouse and/or any dependent child(ren) residing in your household was a beneficiary, and which owned Real Estate in Massachusetts as of December 31, 2022, with an assessed value greater than \$1,000, and provide the required information for each such Trust and Real Estate holding.

Filer reported none.

17. Identify any Real Estate in Massachusetts with an assessed value greater than \$1,000, that was transferred to another person or entity by you, or by a Trust of which you were a beneficiary, at any time during 2022, and provide the required information for each Real Estate holding.

Ownership Legend:
 Filer = F
 Spouse/Child(ren) = S/C
 Trust = T

Filer reported none.

18. Other than the Real Estate identified in Question 17, identify any Real Estate in Massachusetts with an assessed value greater than \$1,000, that was transferred to another person or entity by your spouse and/or any dependent child(ren) residing in your household, or by a Trust of which your spouse and/or any dependent child(ren) residing in your household was a beneficiary, at any time during 2022, and provide the required information for each piece of Real Estate transferred.

Ownership Legend:

Filer = F

Spouse/Child(ren) = S/C

Trust = T

Filer reported none.

19. Identify any Real Estate in Massachusetts with an assessed value greater than \$1,000, on which, as of December 31, 2022, you, or a Trust of which you were a beneficiary, had a lien, attachment, or mortgage receivable, and provide the required information for each.

Ownership Legend:

Filer = F

Spouse/Child(ren) = S/C

Trust = T

Filer reported none.

20. Other than the Real Estate identified in Question 19, identify any Real Estate in Massachusetts with an assessed value greater than \$1,000, on which, as of December 31, 2022, your spouse and/or any dependent child(ren) residing in your household, or a Trust of which your spouse and/or any dependent child(ren) residing in your household, had a lien, attachment, or mortgage receivable, and provide the required information for each.

Ownership Legend:

Filer = F

Spouse/Child(ren) = S/C

Trust = T

Filer reported none.

NOTE: If the Filer answered “YES” to a Question in the following section, one or more columns in the Table for that Question may be blank in the following situations: If the Filer indicated that the name of the person and/or the trust was a family member’s name or address, the Filer was not required to provide that name. If the Filer indicated that an address was a family member’s address, the Filer was not required to provide that address.

Financial Investments

21. Identify every bond or other security issued by the Commonwealth of Massachusetts or its political subdivisions, agencies, and authorities, which you owned directly or through a Business, as of December 31, 2022, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each such investment.

Ownership Legend:

Filer = F

Spouse/Child(ren) = S/C

Trust = T

Filer reported none.

22. Other than the bonds or other securities identified in Question 21, identify every bond or other security issued by the Commonwealth of Massachusetts or its political subdivisions, agencies, and authorities, which your spouse and/or any dependent child(ren) residing in your household owned directly or through a Business, as of December 31, 2022, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each such investment.

Filer reported none.

23. Identify every bond or other security issued by the Commonwealth of Massachusetts or its political subdivisions, agencies, and authorities, which was owned as of December 31, 2022, by a Trust of which you were a beneficiary, whether directly or through a Business, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each such investment.

Beneficiary Legend:

Filer = F

Spouse/Child(ren) = S/C

Trust = T

Filer reported none.

24. Identify every bond or other security issued by the Commonwealth of Massachusetts or its political subdivisions, agencies, and authorities, which was owned as of December 31, 2022, by a Trust of which your spouse and/or any dependent child(ren) residing in your household was a beneficiary, whether directly or through a Business, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each such investment.

Filer reported none.



25. Identify every Financial Investment that you owned directly or through a Business as of December 31, 2022, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each.

Ownership Legend:

Filer = F

Spouse/Child(ren) = S/C

Trust = T

Name of Issuer	Owner	Description of Investment	Principal Place of Business or State of Incorporation	Address
Parnassus Value Equity Fund	F	Mutual Fund	California	1 Market Street, Suite 1600, San Francisco, CA, 94105, US
Artisan High Income Fund	F	Mutual Fund	Wisconsin	875 East Wisconsin Avenue, Milwaukee, WI, 53202, US
Boston Common ESG Impact International Fund	F	Mutual Fund	Massachusetts	84 State Street, Suite 940, Boston, MA, 02109, US
Brown Advisory Sustainable Growth Fund	F	Mutual Fund	Maryland	901 South Bond Street, Baltimore, MD, 21231, US
Domini Impact International Equity Fund	F	Mutual Fund	New York	536 Broadway, 7th Floor, New York, NY, 10012 3915, US
Fidelity Government Portfolio	F	Mutual Fund	Massachusetts	82 Devonshire Street, Boston, MA, 02109, US

Fidelity Massachusetts Municipal Income Fund	F	Mutual Fund	Massachusetts	82 Devonshire Street, Boston, MA, 02109, US
GQG Partners International Opportunities Fund	F	Mutual Fund	Florida	450 East Las Olas Boulevard, Suite 750, Fort Lauderdale, FL, 33301, US
Green Century Equity Fund (GCEQX)	F			
MFS International Intrinsic Value Fund	F	Mutual Fund	Massachusetts	111 Huntington Avenue, 24th Floor, Boston, MA, 02199 7618, US
Sit Tax-Free Income Fund (SNTIX)	F			
Vanguard FTSE Social Index Fund	F	Mutual Fund	Pennsylvania	PO Box 2600 , V26, Valley Forge, PA, 19482, US
WCM Focused International Growth Fund	F	Mutual Fund	Wisconsin	803 West Michigan Street , Milwaukee, WI, 53233, US
Fidelity New York Municipal Income Fund (FTFMX)	F			

Invesco S&P 500 Equal Weight ETF	F	ETF	Illinois	3500 Lacey Road, Suite 700, Downers Grove, IL, 60515, US
iShares Core S&P 500 ETF (IVV)	F			
SPDR S&P 500 Fossil Fuel Reserves Free	F	ETF	Massachusetts	One Lincoln Street, Boston, MA, 02211, US
Eaton Vance Short Duration Municipal Opportunities Fund	F	Mutual Fund	Massachusetts	Two International Place, Boston, MA, 02110, US
Brandywine GLOBAL High Yield Fund	F	Mutual Fund	New York	125 Broad Street, New York, NY, 10004, US
Fidelity Conservative Income Municipal Bond Fund (FCRD)	F			
iShares Core S&P Small-Cap ETF (IJR)	F			
iShares S&P 500 Growth ETF (IVW)	F			

Pioneer Fund	F	Mutual Fund	Massachusetts	60 State Street, Boston, MA, 02109, US
Artisan Focus Fund	F	Mutual Fund	Wisconsin	875 East Wisconsin Avenue , Milwaukee, WI, 53202, US

26. Other than the Financial Investments identified in Question 25, identify every Financial Investment that your spouse and/or any dependent child(ren) residing in your household owned directly or through a Business as of December 31, 2022, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each. For any Financial Investment not included on the drop-down list of publicly traded stock, you must provide the issuer's principal place of business or state of incorporation as well as its address.

Filer reported none.



27. Identify every Financial Investment that was owned as of December 31, 2022, by a Trust of which you were a beneficiary, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each. For any Financial Investment not included on the drop-down list of publicly traded stock, you must provide the issuer's principal place of business or state of incorporation as well as its address.

Beneficiary Legend:

Filer = F

Spouse/Child(ren) = S/C

Trust = T

Name of Issuer	Beneficiary	Description of Investment	Principal Place of Business or State of Incorporation	Issuer Address	Name of Trust
Artisan Focus Fund	F	Mutual Fund	Wisconsin	875 East Wisconsin Avenue , Milwaukee, WI, 53202, US	
Artisan High Income Fund	F	Mutual Fund	Wisconsin	875 East Wisconsin Avenue , Milwaukee, WI, 53202, US	
Boston Common ESG Impact International Fund	F	Mutual Fund	Massachusetts	84 State Street, Suite 940, Boston, MA, 02109, US	
Brown Advisory Sustainable Growth Fund	F	Mutual Fund	Maryland	901 South Bond Street, Baltimore, MD, 21231, US	
Domini Impact International Equity Fund	F	Mutual Fund	New York	536 Broadway, 7th Floor, New York, NY, 10012 3915, US	
Fidelity Government Portfolio	F	Mutual Fund	Massachusetts	82 Devonshire Street, Boston, MA, 02109, US	

Ginnie Mae II Pool G2 2658 6.5% 20 Oct 2028	F	Bond	District of Columbia	425 3rd Street SW, Suite 500, Washington, D.C., DC, 20024, US
GQG Partners International Opportunities Fund	F	Mutual Fund	Florida	450 East Las Olas Boulevard, Suite 750, Fort Lauderdale, FL, 33301, US
Green Century Equity Fund (GCEQX)	F			
MFS International Intrinsic Value Fund	F	Mutual Fund	Massachusetts	111 Huntington Avenue, 24th Floor, Boston, MA, 02199 7618, US
Sit Tax-Free Income Fund (SNTIX)	F			
Vanguard FTSE Social Index Fund	F	Mutual Fund	Pennsylvania	P.O. Box 2600, V26, Valley Forge, PA, 19482, US
Verax Biomedical Incorporated	F	Common Stock	Massachusetts	148 Bartlett Street, Marlborough, MA, 01752, US

WCM Focused International Growth Fund	F	Mutual Fund	Wisconsin	803 West Michigan Street , Milwaukee, WI, 53233, US
Atlanta Ga Arpt Revenue Ref-Ser A 08 Oct 2020 5% 01 Jul 2028	F	Bond	Georgia	55 Trinity Avenue, Atlanta, GA, 30303, US
California St Ref 26 Oct 2017 5% 01 Aug 2035	F	Bond	California	2550 Mariposa Mall, Room 3070, Fresno, CA, 93721 2273, US
Chelan Cnty Wa Public Utilityd Ref-Ser A 01 May 2020 5% 01 Jul 2031	F	Bond	Washington	327 North Wenatchee Avenue, Wenatchee, WA, 98801, US
Cypress-Fairbanks Tx Indep Sch Ref-Ser A 01 Oct 2020 5% 15 Feb 2029	F	Bond	Texas	10300 Jones Road, Houston, TX, 77065, US
Fidelity Government Portfolio	F	Mutual Fund	Massachusetts	82 Devonshire Street, Boston, MA, 02109, US
Illinois St Fin Auth Revenue Green Bond-II St Clean Wtr Ini 16 Apr 2019 5% 01 Jan 2032	F	Bond	Illinois	180 N. Stetson Avenue, #2555, Chicago, IL, 60601, US

Los Angeles Cnty Ca Met Transp Green Bond-Measure R Junior Su 27 Aug 2020 5% 01 Jun 2034	F	Bond	California	1 Gateway Plaza, 15th Floor, Los Angeles, CA, 90012, US
Maine St Muni Bond Bank Ser B 01 Nov 2018 5% 01 Nov 2027	F	Bond	Maine	127 Community Drive, Augusta, ME, 04330, US
Met Saint Louis Mo Swr Dist Ws Ref & Impt-Ser B 15 Dec 2015 5% 01 May 2028	F	Bond	Missouri	2350 Market Street, Saint Louis, MO, 63103 2555, US
New York St Envrnmntl Facs Cor Ref-Sub-Nyc Municipal Water Fi 13 Apr 2017 5% 15 Jun 2030	F	Bond	New York	625 Broadway, Albany, NY, 12245, US
New York St Urban Dev Corp Rev Ref-St Personal Income Tax-Ser 18 Dec 2014 5% 15 Mar 2028	F	Bond	New York	625 Broadway, Albany, NY, 12245, US
North Carolina St Grant Antici Vehicle-Garvee 28 May 2015 5% 01 Mar 2030	F	Bond	North Carolina	509 Town Creek Mound Road, Mount Gilead, NC, 27306 8506, US
Pennsylvania St Hgr Eductnl Fa University Of Pa Hlth Sys-Ser 13 Dec 2017 5% 15 Aug 2029	F	Bond	Pennsylvania	3400 Spruce Street, Philadelphia , PA, 19104, US

Phoenix Az Civic Impt Corp Arp Ref-Junior Lien Airport-Spl Ob 21 Dec 2017 5% 01 Jul 2028	F	Bond	Arizona	3400 East Sky Harbor Boulevard, Phoenix, AZ, 85034, US
Virginia St Cmwlth Transprtn B Garvee-Gans 22 Sep 2020 5% 15 Sep 2028	F	Bond	Virginia	1401 East Board Street, Richmond, VA, 23219, US
Washington St Hlth Care Facs A Ref-Multicare Health Sys-Ser B 14 Nov 2017 5% 15 Aug 2034	F	Bond	Washington	410 11th Avenue S.E., Suite 201, Olympia, WA, 98501, US
Wisconsin St Gen Fund Annual A Ref-Ser A 31 Jan 2019 5% 01 May 2029	F	Bond	Wisconsin	123 West Washington Avenue, Madison, WI, 53558, US
Artisan Focus Fund	F	Mutual Fund	Wisconsin	875 East Wisconsin Avenue , Milwaukee, WI, 53202, US
Artisan High Income Fund	F	Mutual Fund	Wisconsin	875 East Wisconsin Avenue , Milwaukee, WI, 53202, US
Boston Common ESG Impact International Fund	F	Mutual Fund	Massachusetts	84 State Street, Suite 940, Boston, MA, 02109, US

Brown Advisory Sustainable Growth Fund	F	Mutual Fund	Maryland	901 South Bond Street, Baltimore, MD, 21231, US
Enanta Pharmaceuticals, Inc. - Common Stock (ENTA)	F			
Fidelity Government Portfolio	F	Mutual Fund	Massachusetts	82 Devonshire Street, Boston, MA, 02109, US
Fidelity Massachusetts Municipal Income Fund	F	Mutual Fund	Massachusetts	82 Devonshire Street, Boston, MA, 02109, US
GQG Partners International Opportunities Fund	F	Mutual Fund	Florida	450 East Las Olas Boulevard, Suite 750, Fort Lauderdale, FL, 33301, US
Green Century Equity Fund (GCEQX)	F			
MFS International Intrinsic Value Fund	F	Mutual Fund	Massachusetts	111 Huntington Avenue, 24th Floor, Boston, MA, 02199 7618, US

Sit Tax-Free Income Fund (SNTIX)	F					
WCM Focused International Growth Fund	F	Mutual Fund	Wisconsin	803 West Michigan Street , Milwaukee, WI, 53233, US		
Artisan Focus Fund	F	Mutual Fund	Wisconsin	875 East Wisconsin Avenue , Milwaukee, WI, 53202, US	Deborah B. Goldberg 2016 Irrevocable Trust	
Artisan High Income Fund	F	Mutual Fund	Wisconsin	875 East Wisconsin Avenue , Milwaukee, WI, 53202, US	Deborah B. Goldberg 2016 Irrevocable Trust	
Fidelity Government Portfolio	F	Mutual Fund	Massachusetts	82 Devonshire Street, Boston, MA, 02109, US	Deborah B. Goldberg 2016 Irrevocable Trust	
Fidelity Massachusetts Municipal Income Fund	F	Mutual Fund	Massachusetts	82 Devonshire Street, Boston, MA, 02109, US	Deborah B. Goldberg 2016 Irrevocable Trust	
Invesco S&P 500 Equal Weight ETF	F	ETF	Illinois	3500 Lacey Road, Suite 700, Downers Grove, IL, 60515, US	Deborah B. Goldberg 2016 Irrevocable Trust	

iShares Core S&P 500 ETF (IVV)	F					Deborah B. Goldberg 2016 Irrevocable Trust
MFS International Intrinsic Value Fund	F	Mutual Fund	Massachusetts	111 Huntington Avenue, 24th Floor, Boston, MA, 02199 7618, US		Deborah B. Goldberg 2016 Irrevocable Trust
Verax Biomedical Incorporated	F	Common Stock	Massachusetts	148 Bartlett Street, Marlborough, MA, 01752, US		Deborah B. Goldberg 2016 Irrevocable Trust
WCM Focused International Growth Fund	F	Mutual Fund	Wisconsin	803 West Michigan Street , Milwaukee, WI, 53233, US		Deborah B. Goldberg 2016 Irrevocable Trust
Atlanta Ga Wtr & Wstwtr Revenu Ref-Ser C 30 Oct 2018 5% 01 Nov 2033	F	Bond	Georgia	55 Trinity Avenue SW, Suite 4700, Atlanta, GA, 30303, US		Deborah B. Goldberg 2016 Irrevocable Trust
Colorado St Hlth Facs Auth Rev Ref-Commonspirit Health-Ser A- 21 Aug 2019 5% 01 Aug 2029	F	Bond	Colorado	3033 East 1st Avenue, Suite 301, Denver, CO, 80206, US		Deborah B. Goldberg 2016 Irrevocable Trust
Connecticut St Spl Tax Oblig R Ser B 25 Oct 2018 5% 01 Oct 2033	F	Bond	Connecticut	450 Columbus Boulevard, Suite 1, Hartford, CT, 06103 5032, US		Deborah B. Goldberg 2016 Irrevocable Trust

Dist Of Columbia Ref-Ser B 01 Aug 2018 5% 01 Jun 2031	F	Bond	District of Columbia	1350 Pennsylvania Avenue NW, Suite 316, Washington, D.C., DC, 20004, US	Deborah B. Goldberg 2016 Irrevocable Trust
Fidelity Government Portfolio	F	Mutual Fund	Massachusetts	82 Devonshire Street, Boston, MA, 02109, US	Deborah B. Goldberg 2016 Irrevocable Trust
Idaho St Hsg & Fin Assn Ref-Federal Highway Trust Fund 15 May 2019 5% 15 Jul 2032	F	Bond	Idaho	565 West Myrtle Street, Boise, ID, 83702, US	Deborah B. Goldberg 2016 Irrevocable Trust
Met Transprtn Auth Ny Revenue Transptrn-Ser B 17 Apr 2014 5% 15 Nov 2024	F	Bond	New York	347 Madison Avenue, New York, NY, 10017, US	Deborah B. Goldberg 2016 Irrevocable Trust
Michigan St Bldg Auth Revenue Ref-Ser I 24 Aug 2016 5% 15 Oct 2030	F	Bond	Michigan	525 W. Ottawa Street, P.O. Box 30212, Lansing, MI, 48909, US	Deborah B. Goldberg 2016 Irrevocable Trust
Minneapolis Mn & Saint Paul Mn Ref-Rev-Allina Health System-S 13 Apr 2017 5% 15 Nov 2028	F	Bond	Minnesota	2925 Chicago Avenue, Mail Route 10805, Minneapolis, MN, 55407, US	Deborah B. Goldberg 2016 Irrevocable Trust
New Jersey St Covid-19 Go Emergency Bonds-Se 24 Nov 2020 5% 01 Jun 2028	F	Bond	New Jersey	50 West State Street, Trenton, NJ, 08608, US	Deborah B. Goldberg 2016 Irrevocable Trust

New York St Dorm Auth St Perso Ref-Group 2-Ser A-State Person 15 Oct 2020 5% 15 Mar 2031	F	Bond	New York	State Street & Washington Avenue, Albany, NY, 12224, US	Deborah B. Goldberg 2016 Irrevocable Trust
North Carolina St Muni Pwr Agy Unrefunded-Ref-Se r C 23 Jul 2015 5% 01 Jan 2030	F	Bond	North Carolina	3200 Atlantic Avenue, Raleigh, NC, 27604, US	Deborah B. Goldberg 2016 Irrevocable Trust
Ohio St Parks & Recreation Cap Lease Approp-Impt Fund Projs-S 15 Nov 2018 5% 01 Dec 2029	F	Bond	Ohio	2045 Morse Road, Bldg G, Columbus , OH, 43229, US	Deborah B. Goldberg 2016 Irrevocable Trust
Oklahoma St Capitol Impt Auth Ok Dept Of Corrections Proj-Se 08 Nov 2018 5% 01 Jul 2033	F	Bond	Oklahoma	3400 North Martin Luther King Avenue, Oklahoma City, OK, 73111, US	Deborah B. Goldberg 2016 Irrevocable Trust
Tennessee St Sch Bond Auth Higher Eductnl Facs 2nd Progra 18 Sep 2019 5% 01 Nov 2034	F	Bond	Tennessee	State Capitol, Nashville, TN, 37243, US	Deborah B. Goldberg 2016 Irrevocable Trust
Washington St Motor Vehicle Fuel Tax-Ser D 20 Feb 2019 5% 01 Jun 2031	F	Bond	Washington	416 Sid Snyder Avenue Southwest, Room 230, Olympia, WA, 98501, US	Deborah B. Goldberg 2016 Irrevocable Trust
Wisconsin St Hlth & Eductnl Fa Ref-Ascension Hlth Credit Grou 11 May 2016 5% 15 Nov 2030	F	Bond	Wisconsin	P.O. Box 7864 101 E Wilson Street, Floor 10, Madison, WI, 53707 7864, US	Deborah B. Goldberg 2016 Irrevocable Trust

Eaton Vance Short Duration Municipal Opportunities Fund	F	Mutual Fund	Massachusetts	Two International Place, Boston, MA, 02110, US	
Invesco S&P 500 Equal Weight ETF	F	ETF	Illinois	3500 Lacey Road, Suite 700, Downers Grove, IL, 60515, US	
Eaton Vance Short Duration Municipal Opportunities Fund	F	Mutual Fund	Massachusetts	Two International Place, Boston, MA, 02110, US	Deborah B. Goldberg 2016 Irrevocable Trust
iShares 0-3 Month Treasury Bond ETF	F	ETF	California	400 Howard Street, San Francisco, CA, 94105, US	Deborah B. Goldberg 2016 Irrevocable Trust
California St Ref-Variious Purpose 22 Sep 2021 5% 01 Oct 2028	F	Bond	California	2550 Mariposa Mall, Room 3070, Fresno, CA, 93721 2273, US	Deborah B. Goldberg 2016 Irrevocable Trust
Florida St Ref-Right-Of-Way Acquisition A 08 Apr 2021 5% 01 Jul 2030	F	Bond	Florida	5125 Adanson Street, Suite 500, Orlando, FL, 32804, US	Deborah B. Goldberg 2016 Irrevocable Trust
Maryland St Ser A-Bidding Group 2 10 Mar 2021 5% 01 Mar 2032	F	Bond	Maryland	100 State Circle, Annapolis, MD, 21401, US	Deborah B. Goldberg 2016 Irrevocable Trust

N Tx Tollway Auth Revenue Second Tier-Ser B 25 May 2021 5% 01 Jan 2028	F	Bond	Texas	5900 West Plano Parkway, Plano, TX, 75093, US	Deborah B. Goldberg 2016 Irrevocable Trust
New York Ny Remk-Ser 1-Remk 3/24/21 05 Apr 2012 5% 01 Apr 2029	F	Bond	New York	131 Duane Street, New York, NY, 10013, US	Deborah B. Goldberg 2016 Irrevocable Trust
New York St Urban Dev Corp Rev Ref-St Personal Income Tax-Ser 18 Dec 2014 5% 15 Mar 2028	F	Bond	New York	625 Broadway, Albany, NY, 12245, US	Deborah B. Goldberg 2016 Irrevocable Trust
Pennsylvania St Turnpike Commi Ser B-Subordinate 27 Jul 2021 5% 01 Dec 2027	F	Bond	Pennsylvania	PO Box 67676, Harrisburg, PA, 17106 7676, US	Deborah B. Goldberg 2016 Irrevocable Trust
Salt Lake City Ut Arpt Revenue Ser B 05 Aug 2021 5% 01 Jul 2034	F	Bond	Utah	451 South State Street, Room 418, Salt Lake City , UT, 84111, US	Deborah B. Goldberg 2016 Irrevocable Trust
Eaton Vance Short Duration Municipal Opportunities Fund	F	Mutual Fund	Massachusetts	Two Internationa l Place, Boston, MA, 02110, US	
Fidelity Massachuseets Municipal Income Fund (FDMMX)	F				

Invesco S&P 500 Equal Weight ETF	F	ETF	Illinois	3500 Lacey Road, Suite 700, Downers Grove, IL, 60515, US
Parnassus Value Equity Fund	F	Mutual Fund	Califronia	1 Market Street, Suite 1600, San Francisco, CA, 94105, US
Fidelity Conservative Income Municipal Bond Fund(FCRD)	F			
iShares Core S&P Small-Cap ETF(IJR)	F			
iShares S&P 500 Growth ETF(IVW)	F			
Pioneer Fund	F	Mutual Fund	Massachusetts	60 State Street, Boston, MA, 02109, US
SPDR S&P 500 Fossil Fuel Reserves Free ETF	F	ETF	Massachusetts	One Lincoln Street, Boston, MA, 02211, US

Washington St Ser 2020a 25 Sep 2019 5% 01 Aug 2031	F	Bond	Washington	416 Sid Snyder Avenue SW, Suite 200, Olympia, WA, 98501 1355, US
New Jersey St Transprtn Trust Ref-Ser A 06 May 2021 5% 15 Jun 2033	F	Bond	New Jersey	PO Box 600, Trenton, NJ, 08625 0600, US
Maryland St Ser A-Bidding Group 2 10 Mar 2021 5% 01 Mar 2032	F	Bond	Maryland	100 State Circle, Annapolis, MD, 21401, US
Indiana St Fin Auth Revenue Ref-Green Bond-State Revolving 07 Jul 2021 5% 01 Feb 2030	F	Bond	Indiana	1 North Capitol Avenue, Indianapolis , IN, 46204, US
Windy Gap Firing Proj Wtr Act Windy Gap Firing Project 19 Aug 2021 5% 15 Jul 2034	F	Bond	Colorado	220 Water Avenue, Berthoud, CO, 80513, US
Allegheny Cnty Pa Hgr Edu Bldg Carnegie Mellon University-Ser 16 Feb 2022 5% 01 Feb 2032	F	Bond	Pennsylvania	5000 Forbes Ave, UTDC Suite 208, Pittsburgh, PA, 15213, US
Clark Cnty Nv Highway Impt Rev Subordinate 10 May 2022 5% 01 Jul 2031	F	Bond	Nevada	600 South Grand Central Parkway, Suite 300, Las Vegas, NV, 89106, US

Georgia St Ser A-Group 2 27 Aug 2020 5% 01 Aug 2032	F	Bond	Georgia	270 Washington Street, Suite 2140, Atlanta, GA, 30334, US
Great Lakes Mi Wtr Auth Wtr Sp Second Lien-Ser B 13 Sep 2022 5% 01 Jul 2036	F	Bond	Michigan	735 Randolph Street, Suite 1608, Detroit , MI, 48226, US
Iowa St Fin Auth Revenue Green Bond-Ref-State Revolving 03 May 2022 5% 01 Aug 2035	F	Bond	Iowa	1963 Bell Avenue, Suite 200, Des Moines, IA, 50315, US
Fidelity Conservative Income Municipal Bond Fund(FCRD)	F			
iShares Core S&P Small-Cap ETF(IJR)	F			
iShares S&P 500 Growth ETF(IVW)	F			
Pioneer Fund	F	Mutual Fund	Massachusetts	60 State Street, Boston, MA, 02109, US

SPDR S&P 500 Fossil Fuel Reserves Free ETF	F	ETF	Massachusetts	One Lincoln Street, Boston, MA, 02211, US	
Fidelity Conservative Income Municipal Bond Fund(FCRD)	F				Deborah B. Goldberg 2016 Irrevocable Trust
iShares S&P 500 Growth ETF(IVW)	F				Deborah B. Goldberg 2016 Irrevocable Trust
JP Morgan Hedged Equity 3 Fund	F	Mutual Fund	New York	270 Park Avenue, 22nd Floor, New York, NY, 10017, US	Deborah B. Goldberg 2016 Irrevocable Trust
Payden Absolute Return Bond Fund	F	Mutual Fund	Massachusetts	60 State Street, Boston, MA, 02109, US	Deborah B. Goldberg 2016 Irrevocable Trust
Atlanta Ga Arpt Passenger Fac Sub-Ser C 23 Jun 2022 5% 01 Jul 2039	F	Bond	Georgia	6000 North Terminal Parkway, Suite 4000, Atlanta, GA, 30320, US	Deborah B. Goldberg 2016 Irrevocable Trust
Clark Cnty Nv Highway Impt Rev Subordinate 10 May 2022 5% 01 Jul 2034	F	Bond	Nevada	600 South Grand Central Parkway, Suite 300, Las Vegas, NV, 89106, US	Deborah B. Goldberg 2016 Irrevocable Trust

Dist Of Columbia Wtr & Swr Aut Green Bond-Sub-Ser B 23 Mar 2022 5% 01 Oct 2038	F	Bond	District of Columbia	1385 Canal Street SE, Washington, D.C., DC, 20003, US	Deborah B. Goldberg 2016 Irrevocable Trust
Georgia St Ports Auth 17 Aug 2022 5.25% 01 Jul 2039	F	Bond	Georgia	P.O. Box 2406, Savannah, GA, 31402, US	Deborah B. Goldberg 2016 Irrevocable Trust
Illinois St Ser A 02 Jun 2022 5% 01 Mar 2034	F	Bond	Illinois	100 West Randolph Street, 15-100, Chicago, IL, 60601, US	Deborah B. Goldberg 2016 Irrevocable Trust
Triborough Ny Bridge & Tunnel Ser A 18 Aug 2022 5% 15 Nov 2042	F	Bond	New York	2 Broadway, New York, NY, 10004, US	Deborah B. Goldberg 2016 Irrevocable Trust

28. Other than the Financial Investments identified in Question 27, identify every Financial Investment that was owned as of December 31, 2022, by a Trust of which your spouse and/or any dependent child(ren) residing in your household was a beneficiary, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each. For any Financial Investment not included on the drop-down list of publicly traded stock, you must provide the issuer's principal place of business or state of incorporation as well as its address.

Filer reported none.

NOTE: If the Filer answered “YES” to a Question in the following section, one or more columns in the Table for that Question may be blank in the following situations: If the Filer indicated that the name of the person and/or the trust was a family member’s name or address, the Filer was not required to provide that name. If the Filer indicated that an address was a family member’s address, the Filer was not required to provide that address.

Debts and Mortgages

29. Identify all mortgages, including home equity and reverse mortgage loans, on your Primary Residence, on which more than \$1,000 was owed as of December 31, 2022, where the creditor (person who loaned you the money) is not, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great-grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative, and provide the required information for each.

Creditor Name	Creditor Address	Mortgage Term	Interest Rate (%)	Termination Year
BNY Mellon	240 Greenwich Street, New York, NY, 10286, US	30 years	6.875	2040

30. Did you have a mortgage, including a home equity or reverse mortgage loan, on any property OTHER than your Primary Residence, on which more than \$1,000 was owed as of December 31, 2022, which you were obligated to pay and where the creditor (person who loaned you the money) is NOT, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great-grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative?

Obligor Legend:
Filer = F
Spouse/Child(ren) = S/C
Trust = T

Filer reported none.

31. Identify all mortgages, including home equity and reverse mortgage loans, other than any mortgage on your Primary Residence or any mortgage identified in response to Question 30, on which more than \$1,000 was owed as of December 31, 2022, and which your spouse and/or any dependent child(ren) residing in your household were obligated to pay and where the creditor (person who loaned the money to your spouse and/or dependent child(ren) residing in your household) is not, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great-grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative, and provide the required information for each.

Filer reported none.

32. Identify all non-mortgage debts of more than \$1,000 that you owed as of December 31, 2022, IF the person to whom you owed the debt is not, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great-grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative, and provide the required information for each.

Filer reported none.

33. Identify all non-mortgage debts of more than \$1,000 that your spouse and/or any dependent child(ren) residing in your household owed as of December 31, 2022, if the person to whom your spouse and/or any dependent child(ren) residing in your household owed the debt is not, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great-grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative, and provide the required information for each.

Filer reported none.

34. Identify non-mortgage debts of more than \$1,000 which you owed and which were forgiven at any time during 2022, excluding debts forgiven by a person who is, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great-grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative, and provide the required information for each.

Filer reported none.

35. Identify non-mortgage debts of more than \$1,000 that were owed by your spouse and/or any dependent child(ren) residing in your household and were forgiven at any time during 2022, excluding debts forgiven by a person who is, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great-grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative, and provide the required information for each.

Filer reported none.

Reimbursements, Gifts, and Honoraria

36. Identify any Reimbursements for expenses in excess of \$100 provided to you at any time during 2022 by any legislative agent or executive agent (lobbyist).

Filer reported none.

36.a Identify any Reimbursements for expenses in excess of \$100, other than those identified in response to Question 36, you received at any time during 2022 from any person having a direct interest in legislation, legislative action, or a matter before a governmental body.

Filer reported none.

37. Identify any Reimbursements for expenses in excess of \$100 provided to your spouse and/or any dependent child(ren) residing in your household at any time during 2022 by any legislative agent or executive agent (lobbyist).

Filer reported none.

37.a Identify any Reimbursements for expenses in excess of \$100, other than those identified in response to Question 37, provided to your spouse and/or dependent child(ren) residing in your household at any time during 2022 by any person having a direct interest in legislation, legislative action, or a matter before a governmental body.

Filer reported none.

38. Identify any Gifts and/or Honoraria worth more than \$100 provided to you at any time during 2022 by any person having a direct interest in legislation, legislative action, or a matter before a governmental body.

Filer reported none.

39. Identify any Gifts and/or Honoraria worth more than \$100 provided to your spouse and/or any dependent child(ren) residing in your household at any time during 2022 by any person having a direct interest in legislation, legislative action, or a matter before a governmental body.

Filer reported none.

NOTE: If the Filer answered “YES” to a Question in the following section, one or more columns in the Table for that Question may be blank in the following situations: If the Filer indicated that the name of the person and/or the trust was a family member’s name or address, the Filer was not required to provide that name. If the Filer indicated that an address was a family member’s address, the Filer was not required to provide that address.

Blind Trusts

40. Did you, your spouse and/or any dependent child(ren) residing in your household during 2022, own anything that you have not reported on this Statement of Financial Interests because it was held in a Blind Trust during 2022?

Filer reported none.

CERTIFICATION

I, Deborah B Goldberg, certify under the pains and penalties of perjury that:

I made a diligent effort to obtain the required information concerning myself and my spouse and/or any dependent child(ren); and

The information provided on this form is true and complete, to the best of my knowledge.

Submitted: 05/09/2023

Did your spouse and/or any dependent child(ren) residing in your household decline to disclose information which is necessary to complete this form fully and accurately?

The following are the specific Question(s) which I decline to answer in whole or in part because I assert that the information is privileged by law:

Please explain the basis of your claim of privilege:

IMPORTANT:

1. No DESIGNATED PUBLIC EMPLOYEE shall be allowed to continue in their duties or to receive compensation from public funds unless they have filed a Statement of Financial Interests with the State Ethics Commission.
2. The State Ethics Commission does not accept a faxed or emailed copy of a Statement of Financial Interests for filing.
3. If you were required to amend your Statement of Financial Interests last year, we encourage you to carefully review your 2022 filing before submitting.