



DEVAL L. PATRICK
GOVERNOR

TIMOTHY P. MURRAY
LIEUTENANT GOVERNOR

THE COMMONWEALTH OF MASSACHUSETTS
EXECUTIVE DEPARTMENT

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April 13, 2012

Ms. Deirdre Roney, General Counsel
State Ethics Commission
One Ashburton Place, Rm. 619
Boston, MA 02108

Dear Ms. Roney:

I am submitting this disclosure pursuant to M.G.L. c. 268A, § 23(b)(3) and 930 C.M.R. § 5.08 on behalf of Governor Patrick, who travelled on a trade mission to Brazil and Chile between December 1 and December 10, 2011 and received free or discounted travel, lodging and meal expenses that serve a legitimate public purpose and have a value of \$50 or more.

Consistent with our correspondence from February of 2011 (attached), the Governor's trip was primarily financed by private funds and quasi-public agency funds solicited and received by the Massachusetts Marketing Partnership, which was created under M.G.L. c. 23A, § 13A.

If you have any questions regarding this disclosure, please do not hesitate to contact me.

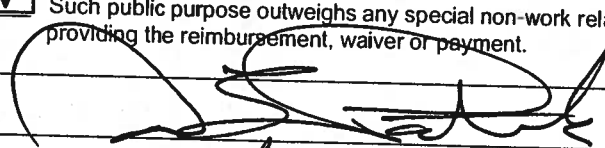
Sincerely,

Mark A. Reilly

Enclosures

**DISCLOSURE BY ELECTED PUBLIC EMPLOYEE
OF TRAVEL EXPENSES SERVING A LEGITIMATE PUBLIC PURPOSE
AS REQUIRED BY 930 CMR 5.08(2)(d)2.**

	ELECTED PUBLIC EMPLOYEE INFORMATION
Name of elected public employee:	Deval Patrick
Title/ Position	Governor
Agency/ Department	Governor's Office
Agency address:	State House, Room 360 Boston, MA 02133
Office phone:	(617) 725-4000
Office e-mail:	
Write an X to confirm each statement.	I am filing this disclosure because: ☒ I am going to engage in an activity that serves a legitimate public purpose, i.e., it is intended to promote the interests of the Commonwealth, a county or a municipality; and ☒ A non-public entity (but not a lobbyist) has offered to reimburse, waive or pay travel expenses and costs worth more than \$50.
	ACTIVITY THAT SERVES A LEGITIMATE PUBLIC PURPOSE
Describe the activity which is the reason for traveling.	To meet with representatives of government and industry in Brazil and Chile.
Describe your participation in the activity.	Convene and attend meetings and gatherings to discuss matters of mutual interest to the Commonwealth of Massachusetts and the countries of Brazil and Chile.
Date, time and location of activity.	-- December 1-10, 2011 -- Brasilia, Sao Paulo and Rio de Janeiro, Brazil -- Santiago, Chile
Please explain how the activity will promote the interests of the Commonwealth, a county or a municipality.	The Administration's goal is to solidify Massachusetts' place as a worldwide leader in the innovation industries and continue to compete globally for the jobs, investment and businesses of the future. This trade mission was an opportunity to help achieve that goal and to expand economic development in Massachusetts by growing markets for Massachusetts-based companies abroad and by attracting international companies to Massachusetts, and therefore, this mission served a legitimate public purpose.

TRAVEL EXPENSES	
Identify the person or organization that offered to reimburse, waive or pay your travel expenses.	Please see the attached disclosure. The sources of funding for the majority of costs for the Brazil-Chile trade mission (airfare, lodging, ground transportation) are the same as those described in the May 25, 2011 disclosure. The government hosts and private sponsors paid for some individual events and meals at those events; those events and sponsors are listed on the attached spreadsheet.
Address of person or organization.	
Provide information in as much detail as possible:	Itemization and explanation of amounts offered:
Transportation:	Air, train, bus, and taxi fare and rental car hire, etc. \$3,191.37
Lodging:	Overnight accommodations. \$2,857.50
Meals:	Breakfast, lunch, dinner, special events.
Admission:	Registration, admission, tickets, etc.
Other (please list):	Refreshment, instruction, materials, entertainment, etc.
Total:	\$6,048.87
Write an X beside any relevant statement.	☐ I have attached the relevant itinerary. ☒ I have attached the relevant agenda.
For the exemption to apply, check off <u>both statements</u> .	Having disclosed the facts above, I determine that: ☒ Acceptance of the reimbursement, waiver or payment of travel expenses will serve a legitimate public purpose i.e., it will promote the interests of the Commonwealth, a county or a municipality; AND ☒ Such public purpose outweighs any special non-work related benefit to me or to the person providing the reimbursement, waiver or payment.
Employee signature:	
Date:	12 April 2012

Attach additional pages if necessary.

Elected state or county employees – file with the State Ethics Commission.

Members of the General Court – file with the House or Senate clerk or the State Ethics Commission.

Elected municipal employee – file with the City Clerk or Town Clerk.

Elected regional school committee member – file with the clerk or secretary of the committee.

DISCLOSURE AS REQUIRED BY G.L. c. 268A, § 23(b)(3) and 930 C.M.R. § 5.08

Sources of Funding

A stand-alone trade mission trust account was created at the Massachusetts Marketing Partnership (MMP), which is the state agency charged with executing trade mission activities. The Executive Office of Housing and Economic Development (HED) deposits all sources of funding to support domestic and international trade mission activities into this account for efficiency and transparency. All expenses for trade mission activities are charged back to this account and all sources of funding for trade mission activities are deposited into this account. To date, there are two sources of funds to support trade mission activities:

1. Five state quasi-public agencies have each contributed \$50,000 to support trade mission activities for a total of \$250,000. These same funds were used both for this trip and for the Governor's trade mission to the United Kingdom and Israel in May of 2011. The agencies are:
 - o Massachusetts Development Finance Agency;
 - o Massachusetts Convention Center Authority;
 - o Massachusetts Technology Collaborative;
 - o Massachusetts Life Sciences Center; and
 - o Massachusetts Clean Energy Center.
2. Moving Massachusetts Forward, Inc. (MMF), the non-profit established to raise funds to support trade missions, has also contributed \$125,000 to current trade mission activities.

The travel expenses for the trade mission for Governor Patrick and the other members of the delegation from Massachusetts (i.e., the appointed state employees) were discounted by private donors who contributed to the MMF. The individual private donors to MMF are listed below. No individual member of the state delegation received direct or individual discounted travel from an individual sponsor. All funds have been aggregated so that no individual donor contributed directly to any individual member's travel expenses.

- o Suffolk Construction Foundation
65 Allerton Street, Boston, MA 02119
\$50,000
- o State Street Foundation
State Street Financial Center, One Lincoln Street, Boston, MA 02111
\$25,000
- o Connors Family Foundation
200 Clarendon Street, Boston, MA, 02116
\$25,000
- o Greater Boston Chamber of Commerce
265 Franklin Street, 12th Floor, Boston, MA 02110
\$25,000

- Staples
500 Staples Drive, Framingham, MA 01702
\$5,000

Events During the Trade Mission

Please see the attached Excel spreadsheets, which show the events that took place during the trade mission, as well as the private sponsors, where applicable. Please note that Governor Patrick, in addition to receiving travel expenses discounted from donations made to the MMF, also received the benefit of meals that were part of events sponsored by private organizations during the trade mission. In each case, Governor Patrick attended the events as part of his work duties.

Purpose of the Trade Mission

The Administration's goal is to solidify Massachusetts' place as a worldwide leader in the innovation industries and continue to compete globally for the jobs, investment and businesses of the future. This trade mission to Brazil and Chile was an opportunity to help achieve that goal and to expand economic development in Massachusetts by growing markets for Massachusetts-based companies abroad and by attracting international companies to Massachusetts. In facilitating achievement of these goals on behalf of the Commonwealth, this mission served a legitimate public purpose.

CHILE		Event Sponsorship if Privately Sponsored
Thursday, December 1		
Meeting with US Ambassador Alejandro Wolff		
Country- Team Briefing with U.S. Embassy		
Meeting with President Pinera		
Economic Collaboration Announcement and Signing Ceremony		
Lunch with Deputy Minister of Foreign Affairs, Ambassador Fernando Schmidt		Ministry of Foreign Affairs
Meeting with Minister of Energy, Rodrigo Alvarez		
Meet with President of CONICYT, Jose Miguel Aguilera		
Massachusetts Global Alumni Reception		Harvard University
Massachusetts-Chile Innovation Economy Partnership Dinner with Santander Chile		Santander
Friday, December 2		
Breakfast hosted by American-Chilean Chamber of Commerce (AMCHAM)		AMCHAM
Meeting with Minister of Health, Jaime Manalich		
Economic Development related tour and meetings with Ambassador Ferrandois, Ambassador Wolff, and Andronico Luksic Craig		

BRAZIL		Event Sponsorship if Non-MA Sponsored
Sunday, December 4		
Optional Delegation Briefing		
Monday, December 5		
Meet with US Ambassador Thomas Shannon		
US Embassy Country-Team Briefing with US Ambassador Thomas Shannon		
Meet with Minister of Science, Technology and Innovation Aloizo Mercadante Oliva and Glaucius Oliva, President of CNPq		
Government Meeting 1		
Government Meeting 2		
Global Brazil Lunch		
Government Meeting 3		CNI - Brazilian National Confederation of Industry
Massachusetts-Brazil Education Partnership for the 21st Century Roundtable		
Government Meeting 4		Embrapa
Press TBD (Brazil and MA)		
Welcome Reception hosted by US Ambassador Thomas Shannon		
Call-In to Boston Television TBD		
Tuesday, December 6		
Overview of Science and Technology in Sao Paulo (FAPESP)		
Meet with Gilberto Kassab, Sao Paulo City Mayor		FAPESP (Sao Paulo Research Foundation)
Supporting Entrepreneurship and Innovation in MA & Brazil (MassChallenge/Endeavour Brazil)		
iTech Roundtable (Microsoft)		MassChallenge/Endeavour Brazil
Meet with Leadership of Local Alumni Networks		Microsoft
Massachusetts Global Alumni Welcoming Reception		
		Liberty Mutual

BRAZIL		Event Sponsorship if Non-MA Sponsored
Wednesday, December 7		
Meet with Governor of the State of Sao Paulo, Geraldo Alckmin		
Lunch with Marcial Angel Portela Alvarez, President and CEO, Santander Brazil		Santander Brazil
Massachusetts-Brazil Innovation Economy Partnership 2011 Forum		
Massachusetts-Brazil Innovation Economy Partnership 2011 Forum Networking		Sovereign/Santander
Optional Massachusetts & Brazilian Press		
Thursday, December 8		
Rio Welcome Breakfast and Economic Development Overview and Opportunities		State of Rio de Janeiro
Tour of CENPES Technology Park		
Lunch at Couveflor		Federal University of Rio de Janeiro
Economic Development Announcement at Federal University of Rio de Janeiro		
Building Collaborations between Brazil and MA in Knowledge Discovery in Life Sciences and Medical Devices, Clean-Tech, and IT		LaNCE (National Laboratory for Embryonic and Stem Cell Research) / Eletrobras CEPEL and IVIG (Institute for Global Change)
Meeting TBD		
Delegation Dinner		
Friday, December 9		
Breakfast with Governor of the State of Rio de Janeiro, Sergio Cabral Filho		State of Rio de Janeiro
Delegation Debrief		