

**DISCLOSURE BY NON-ELECTED STATE EMPLOYEE OF FINANCIAL INTEREST
AND DETERMINATION BY APPOINTING AUTHORITY
AS REQUIRED BY G. L. c. 268A, § 6**

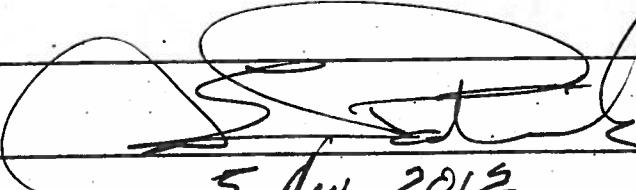
RECEIVED
STATE ETHICS COMMISSION

STATE EMPLOYEE INFORMATION	
Name:	Jay Gonzalez
Title or Position:	Secretary
State Agency:	Executive Office for Administration and Finance
Agency Address:	State House, Room 373 Boston, MA 02133
Office Phone:	617-727-2040
Office E-mail:	Jay.Gonzalez@state.ma.us
<p>My duties require me to participate in a particular matter, and I may not participate because of a financial interest that I am disclosing here. I request a determination from my appointing authority about how I should proceed.</p>	
PARTICULAR MATTER	
Particular matter E.g., a judicial or other proceeding, application, submission, request for a ruling or other determination, contract, claim, controversy, charge, accusation, arrest, decision, determination, or finding.	<p>Please describe the particular matter.</p> <p>Edwards Wildman Palmer LLP serves as bond counsel to the Commonwealth under a contract with the Treasurer's office. In its role as bond counsel, the firm is representing the Commonwealth in litigation over a matter involving Lehman Brothers.</p> <p>The firm also provides legal services to the Executive Office for Administration and Finance (A&F) in connection with various projects funded through the I Cubed program.</p>
Your required participation in the particular matter: E.g., approval, disapproval, decision, recommendation, rendering advice, investigation, other.	<p>Please describe the task you are required to perform with respect to the particular matter. Because I am a former employee of the firm now known as Edwards Wildman Palmer LLP, I have not participated in any decisions about engaging the firm or about the work to be performed by the firm on behalf of this office.</p> <p>The Executive Office for Administration and Finance is participating in the mediation of the Lehman litigation. As Secretary of A&F, I have received updates on the status of this litigation and discussed settlement authority. David Sullivan, A&F General Counsel, assisted EWP in representing this office at a recent Lehman mediation session; we expect a report by the mediator in January.</p> <p>The I Cubed program is administered by A&F. I have discussed particular projects to be funded under the program and reviewed and approved recommendations about particular projects. The I Cubed program is administered by Assistant Secretary Greg Mennis, and he handles all matters involving Edwards Wildman's role in the program. In order to avoid any appearance of conflict, I did not participate in the procurement process under which, the firm was engaged and have not had any direct relationship with the firm in connection with its representation of this office.</p>

	FINANCIAL INTEREST IN THE PARTICULAR MATTER
Write an X by all that apply.	<p><input type="checkbox"/> I have a financial interest in the matter.</p> <p><input type="checkbox"/> My immediate family member has a financial interest in the matter.</p> <p><input type="checkbox"/> My business partner has a financial interest in the matter.</p> <p><input type="checkbox"/> I am an officer, director, trustee, partner or employee of a business organization, and the business organization has a financial interest in the matter.</p> <p><input checked="" type="checkbox"/> I am negotiating or have made an arrangement concerning future employment with a person or organization, and the person or organization has a financial interest in the matter.</p>
Financial interest in the matter	<p>Please explain the financial interest and include a dollar amount if you know it.</p> <p>I have accepted a meeting with a member of my former employer, Edwards Wildman Palmer LLP, to discuss prospective employment opportunities</p>
Employee signature:	
Date:	11/5/12

DETERMINATION BY APPOINTING OFFICIAL

APPOINTING AUTHORITY INFORMATION	
Name of Appointing Authority:	Deval Patrick
Title or Position:	Governor
Agency/Department:	Office of the Governor
Agency Address:	State House, Room 360 Boston, MA 02133
Office Phone:	(617) 725-4000
Office E-mail	
DETERMINATION	
Determination by appointing authority:	As appointing official, as required by G.L. c. 268A, § 6, I have reviewed the particular matter and the financial interest identified above by a state employee.
Write an X by your selection.	<p><input checked="" type="checkbox"/> I am assigning the particular matter to another employee, or</p> <p><input type="checkbox"/> I am assuming responsibility for the particular matter, or</p> <p><input type="checkbox"/> I have determined that the financial interest is not so substantial as to be deemed likely to affect the integrity of the services which the Commonwealth may expect from the employee.</p>

Appointing Authority signature:	
Date:	5 Nov 2012
Comment:	While you are engaged in discussions with the firm about prospective employment, I am directing David Sullivan to handle the Lehman Brothers matter and Greg Mennis to handle the I - Cubed matter. You should abstain from any involvement in those matters during the pending of your discussions with the firm.

Attach additional pages if necessary.

File copy with:

State Ethics Commission, One Ashburton Place, Room 619, Boston, MA 02108

Form Revised February, 2012