

**DISCLOSURE BY SPECIAL STATE EMPLOYEE
OF FINANCIAL INTEREST IN A STATE CONTRACT
AS REQUIRED BY G. L. c. 268A, § 7(d)**

RECEIVED
STATE ETHICS COMMISSION

2013 JUN 20 PM 4:07

Name of special state employee:	SPECIAL STATE EMPLOYEE INFORMATION <i>Thomas J. Holland</i>	
Put an X beside one statement.	<p>I am a special state employee because:</p> <p><input checked="" type="checkbox"/> I serve in a state position for which no compensation is provided.</p> <p><input type="checkbox"/> I am not an elected official, and I earned compensation for fewer than 800 hours in the preceding 365-day period.</p> <p><input type="checkbox"/> By the classification of my position by my state agency or by the terms of a contract or my conditions of employment, I am permitted to have personal or private employment during normal business hours.</p> <p><input type="checkbox"/> I work for a company or organization which has a contract with a state agency, and I am a "key employee" because the contract identifies me by name or it is otherwise clear that the state is contracting for my services in particular, and the contract states that I am a special state employee or indicates that I meet one of the three requirements listed above.</p>	
Title/ Position	<i>Board of Directors, Northern Berkshire Industrial Park and Development Corporation</i>	
Fill in this box if it applies to you.	<p>If you are a special state employee because a state agency has contracted with your company or organization, please provide the name and address of the company or organization.</p> <p>Holland Company, Inc., 153 Newland Avenue, Adams, MA <i>Delete - leave blank</i></p>	
State Agency/ Department:	<p>This is "my State Agency."</p> <p>Department of Correction <i>Northern Berkshire Indus. Park & Dev't Corp</i></p>	
Agency Address:	<p>50 Maple Street, Suite 3, Milford, MA</p> <p><i>Add this info for Development Corp.</i></p>	
Office phone:	(508) 442-3300	
Office e-mail:	www.mass.gov/doc	
Starting date as a special state employee.	<p>Check one: <input type="checkbox"/> Elected or <input checked="" type="checkbox"/> Non-elected</p> <p><i>Reappointed June 17, 2013</i></p>	
BOX # 1 Select either STATEMENT #1 or STATEMENT #2 .	<p>ELECTED SPECIAL STATE EMPLOYEE</p> <p>I am an elected special state employee.</p> <p><input type="checkbox"/> STATEMENT #1: I had a financial interest in a contract made by a state agency before I was elected to a compensated special state employee position. I will continue to have this financial interest in a state contract.</p> <p><input type="checkbox"/> STATEMENT #2: I will have a new financial interest in a contract made by a state agency.</p>	

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Fill in this box if it applies to you.	If you are a special state employee because a state agency has contracted with your company or organization, please provide the name and address of the company or organization.
State Agency/ Department:	This is "my State Agency."
Agency Address:	
Office phone:	
Office e-mail:	
	Check one: <input type="checkbox"/> Elected or <input type="checkbox"/> Non-elected
Starting date as a special state employee.	
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Title/ Position	<i>Board of Directors, Northern Berkshire Industrial Park and Development Corporation</i>
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State Agency/ Department:	<p>This is "my State Agency."</p> <p><i>Department of Correction</i></p>
Agency Address:	<p><i>50 Maple Street, Suite 3 Milford, MA</i></p>
Office phone:	<p><i>(508) 442-3300</i></p>
Office e-mail:	<p><i>www.mass.gov/doc</i></p>
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<p>Write an X by your financial interest.</p>	<p>My financial interest in a contract made by a state agency is:</p> <p><input type="checkbox"/> A compensated, non-elected position with a state agency.</p> <p><input type="checkbox"/> A contract between a state agency and myself.</p> <p><input type="checkbox"/> A financial benefit or obligation because of a contract that a state agency has with another person or with a company or organization.</p> <p><input type="checkbox"/> Other work because a state agency has a contract with my company or organization and I am a "key employee" because the contract identifies me by name or it is otherwise clear that the state is contracting for my services in particular.</p>
<p>BOX #2</p> <p>Select either STATEMENT #1 or STATEMENT #2.</p> <p>Write an X by your financial interest.</p>	<p>NON-ELECTED SPECIAL STATE EMPLOYEE</p> <p>I am a non-elected special state employee (compensated or uncompensated).</p> <p><input checked="" type="checkbox"/> STATEMENT #1: I had a financial interest in a contract made by a state agency, other than an employment contract, before I took a non-elected, compensated special state employee position. I will continue to have this financial interest in a state contract.</p> <p>My financial interest in a contract made by a state agency is:</p> <p><input type="checkbox"/> A contract between a state agency and myself, but not an employment contract.</p> <p><input checked="" type="checkbox"/> A financial benefit or obligation because of a contract that a state agency has with another person or with a company or organization.</p> <p>OR</p> <p><input type="checkbox"/> STATEMENT #2: I will have a new financial interest in a contract made by a state agency.</p> <p>My financial interest in a contract made by a state agency is:</p> <p><input type="checkbox"/> A compensated, non-elected position with a state agency.</p> <p><input type="checkbox"/> A contract between a state agency and myself.</p> <p><input type="checkbox"/> A financial benefit or obligation because of a contract that a state agency has with another person or with a company or organization.</p> <p><input type="checkbox"/> Other work because a state agency has a contract with my company or organization and I am a "key employee" because the contract identifies me by name or it is otherwise clear that the state is contracting for my services in particular.</p>
	<p>FINANCIAL INTEREST IN A STATE CONTRACT</p>
<p>Name and address of state agency that made the contract</p>	<p>This is the "contracting agency."</p> <p><i>Department of Correction 50 Maple Street, Suite 3 Milford, MA</i></p>
<p>Write an X to confirm this statement.</p>	<p><input checked="" type="checkbox"/> In my work as a special state employee for my State Agency, I do not participate in or have official responsibility for any of the activities of the contracting agency.</p>
<p>FILL IN THIS BOX OR THE NEXT BOX</p>	<p>ANSWER THE QUESTION IN THIS BOX IF THE CONTRACT IS BETWEEN THE STATE AGENCY AND YOU.</p> <p>- Please explain what the contract is for.</p>

	<p>ANSWER THE QUESTIONS IN THIS BOX IF THE CONTRACT IS BETWEEN THE STATE AGENCY AND ANOTHER PERSON OR ENTITY</p> <ul style="list-style-type: none"> - Please identify the person or entity that has the contract with the state agency. - What is your relationship to the person or entity? - What is the contract for? <p>- Holland Company, Inc. - greater than 1% shareholder - the supply of Liquid Alum for wastewater treatment at the DOC</p>
What is your financial interest in the state contract?	<ul style="list-style-type: none"> - Please explain the financial interest and include the dollar amount if you know it. <p>I am a shareholder and employee of Holland Company, Inc. The DOC contract is a multiyear rate contract. Estimated Value is \$200,000.00</p>
Date when you acquired the financial interest	<p>Contract date May 24, 2012</p>
What is the financial interest of your immediate family?	<ul style="list-style-type: none"> - Please explain the financial interest and include the dollar amount if you know it. <p>None</p>
Date when your immediate family acquired the financial interest	<p>None</p>
Employee signature:	<p>J S Holland</p>
Date:	<p>June 19, 2013</p>

Attach additional pages if necessary.

File your completed, signed Disclosure with:
State Ethics Commission, One Ashburton Place, Room 619, Boston, MA 02108

Tom Holland

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STATE ETHICS COMMISSION
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From: Ross, Michaela (GOV) <michaela.ross@state.ma.us>
Sent: Monday, June 17, 2013 1:18 PM
To: Tom Holland
Subject: Northern Berkshire Industrial Park and Development Corporation Board of Directors

Dear Mr. Holland:

Congratulations on your reappointment to the Northern Berkshire Industrial Park and Development Corporation Board of Directors. Our office would like to remind you that if you own 1% or more of the Holland Company, Inc., and such company has contracts with the Commonwealth, including state agencies, you may need to file a disclosure as a special state employee with the State Ethics Commission pursuant to M.G.L. c. 268A, § 7(d).

If you have any questions, please feel free to contact the State Ethics Commission at (617) 371-9500 or the Governor's legal office at (617) 725-4030. Thank you!

Best,

Michaela Ross

Deputy Director, Boards and Commissions
Office of Governor Deval Patrick
Massachusetts State House, Room 271-M
(617)725-4010
michaela.ross@state.ma.us