



The Commonwealth of Massachusetts  
Commonwealth Health Insurance Connector Authority  
100 City Hall Plaza  
Boston, MA 02108  
617-933-3030

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STATE ETHICS COMMISSION  
2014 AUG -4 AM 9:32

DEVAL PATRICK  
Governor

GLEN SHOR  
Board Chair

JEAN YANG  
Executive Director

August 1, 2014

State Ethics Commission  
One Ashburton Place  
Room 619  
Boston, MA 02108

Re: Disclosure of Financial Interest and Determination by State Appointing Authority

Dear Sir or Madam:

Enclosed for filing please find copies of the following documents:

- 1.) Disclosure of Financial Interest (Daniel Apicella); and
- 2.) Determination by a State Appointing Authority;

If you have any questions about this matter, please call me at 617-933-3091. Thank you for your attention to this matter.

Very truly yours,

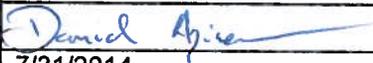
Edward J. DeAngelo  
General Counsel

**DISCLOSURE BY NON-ELECTED STATE EMPLOYEE OF FINANCIAL INTEREST  
AND DETERMINATION BY APPOINTING AUTHORITY  
AS REQUIRED BY G. L. c. 268A, § 6**

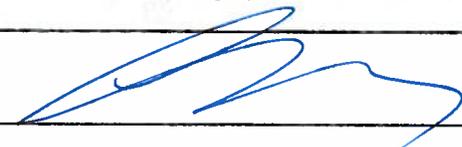
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STATE ETHICS COMMISSION

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<b>STATE EMPLOYEE INFORMATION</b>	
Name:	Daniel Apicella
Title or Position:	Director of Finance
State Agency:	Health Connector
Agency Address:	100 City Hall Plaza – 6th Floor Boston, MA 02108
Office Phone:	617-933-3152
Office E-mail:	<a href="mailto:daniel.apicella@state.ma.us">daniel.apicella@state.ma.us</a>
	My duties require me to participate in a particular matter, and I may not participate because of a financial interest that I am disclosing here. I request a determination from my appointing authority about how I should proceed.
<b>PARTICULAR MATTER</b>	
Particular matter  E.g., a judicial or other proceeding, application, submission, request for a ruling or other determination, contract, claim, controversy, charge, accusation, arrest, decision, determination, or finding.	Tufts Health Plan and Network Health have contracts with the Health Connector.
Your required participation in the particular matter:  E.g., approval, disapproval, decision, recommendation, rendering advice, investigation, other.	I currently work at the Health Connector as the Director of Finance. Tufts Health Plan is comprised of three business divisions. In my current role I have no interactions with the Senior Products business division at Tufts Health Plan with whom I have been and am in discussions. With that said, I have had interactions with Network Health which is a subsidiary of Tufts Health Plan related to the Commonwealth Care program; I have had interactions with representatives from Tufts Health Plan's commercial business on analytic efforts / projects (working in conjunction with colleagues from the Center for Health Information Analysis). Please note, Tufts Health Plan contracts with the Health Connector through the Seal of Approval (SOA) process – I have not had any direct involvement in the SOA or health plan selection.
<b>FINANCIAL INTEREST IN THE PARTICULAR MATTER</b>	
Write an X by all that apply.	<input type="checkbox"/> I have a financial interest in the matter. <input type="checkbox"/> My immediate family member has a financial interest in the matter. <input type="checkbox"/> My business partner has a financial interest in the matter. <input type="checkbox"/> I am an officer, director, trustee, partner or employee of a business organization, and the business organization has a financial interest in the matter. <input checked="" type="checkbox"/> I am negotiating or have made an arrangement concerning future employment with a person or organization, and the person or organization has a financial interest in the matter.

Financial interest in the matter	Please explain the financial interest and include a dollar amount if you know it.  I am currently in discussions with Tufts Health Plan regarding a position of employment.
Employee signature:	
Date:	7/31/2014

**DETERMINATION BY APPOINTING OFFICIAL**

APPOINTING AUTHORITY INFORMATION	
Name of Appointing Authority:	Jean Yang
Title or Position:	Executive Director
Agency/Department:	Commonwealth Health Insurance Connector
Agency Address:	100 City Hall Plaza Suite PH Boston MA 02108
Office Phone:	617-933-3030
Office E-mail	jean.yang@state.ma.us
DETERMINATION	
Determination by appointing authority:  Write an X by your selection.	As appointing official, as required by G.L. c. 268A, § 6, I have reviewed the particular matter and the financial interest identified above by a state employee.  <input type="checkbox"/> I am assigning the particular matter to another employee, or <input checked="" type="checkbox"/> I am assuming responsibility for the particular matter, or <input checked="" type="checkbox"/> I have determined that the financial interest is not so substantial as to be deemed likely to affect the integrity of the services which the Commonwealth may expect from the employee.
Appointing Authority signature:	
Date:	
Comment:	

Attach additional pages if necessary.

File copy with:

State Ethics Commission, One Ashburton Place, Room 619, Boston, MA 02108