



MARTHA COAKLEY
ATTORNEY GENERAL

THE COMMONWEALTH OF MASSACHUSETTS

OFFICE OF THE ATTORNEY GENERAL

ONE ASHBURTON PLACE
BOSTON, MASSACHUSETTS 02108

RECEIVED
STATE ETHICS COMMISS

2014 JUN 17 AM 10: 1

(617) 727-2200
www.mass.gov/ago

State Ethics Commission
One Ashburton Place
Boston, MA 02108

June 16, 2014

Re: Disclosure under G.L. 268A, § 6

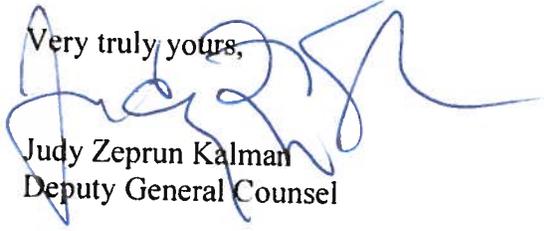
To Whom It May Concern:

The purpose of this letter is to inform the State Ethics Commission that an employee of the Office of the Attorney General has made a disclosure under G.L. 268A, § 6, the Office has reviewed the matter and the financial interest identified, and has made a determination.

If you have any questions, please contact me (617.963.2018).

Thank you.

Very truly yours,


Judy Zeprun Kalman
Deputy General Counsel





RECEIVED
STATE ETHICS COMMISSION

DISCLOSURE BY NON-ELECTED STATE EMPLOYEE OF FINANCIAL INTEREST
AND DETERMINATION BY APPOINTING AUTHORITY
AS REQUIRED BY G. L. c. 268A, § 6

JUN 17 AM 10:19

Name:	Shane Blundell
Title or Position:	Assistant Attorney General & Policy Advisor
State Agency:	Office of the Attorney General (AGO)
Agency Address:	One Ashburton Place Boston, MA 02108
Office Phone:	c/o General Counsel's Office 617.963.2018
Office E-mail:	c/o Judy.Zeprun@state.ma.us

PARTICULAR MATTER	
Particular matter E.g., a judicial or other proceeding, application, submission, request for a ruling or other determination, contract, claim, controversy, charge, accusation, arrest, decision, determination, or finding.	I am assigned to flood insurance legislation (H3783) filed by the Attorney General, in conjunction with the Speaker of the Mass. House of Representatives. The legislation was approved by the House in March 2014, and is currently under consideration by the Senate Ways and Means Committee.
Your required participation in the particular matter: E.g., approval, disapproval, decision, recommendation, rendering advice, investigation, other.	<p>My duties included interacting with legislative offices, generally providing them with information on the issues surrounding flood insurance and specifically answering questions related to the legislation, to advocate for this bill becoming law.</p> <p>I am also responsible for reviewing comments from outside stakeholders, including the Mass. Mortgage Bankers Association (MMBA), and reviewing those comments with AGO staff in the Policy and Government Division, Executive Bureau and the Insurance and Financial Services Division.</p> <p>The MMBA's executive director, Deborah Sousa, submitted a letter to the Senate Ways and Means Committee on May 29, 2014 commenting on the flood insurance legislation. The letter was emailed to me on June 12, 2014 by a legislative staffer. The letter included recommended changes to the legislation. It is possible that I would have to provide AGO feedback on the MMBA's recommendations to legislative staff. The MMBA letter and any AGO feedback may be considered by the legislature in its deliberations, about whether to change or move forward with the bill.</p>
FINANCIAL INTEREST IN THE PARTICULAR MATTER	

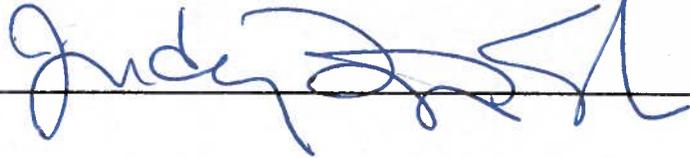
Write an X by all that apply.	<input type="checkbox"/> I have a financial interest in the matter. <input checked="" type="checkbox"/> My immediate family member has a financial interest in the matter. X <input type="checkbox"/> My business partner has a financial interest in the matter. <input type="checkbox"/> I am an officer, director, trustee, partner or employee of a business organization, and the business organization has a financial interest in the matter. <input type="checkbox"/> I am negotiating or have made an arrangement concerning future employment with a person or organization, and the person or organization has a financial interest in the matter.
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Financial interest in the matter	<p>My wife is employed by and receives a salary from Bankers Advisory (BA). BA provides assessments to mortgage lenders, to ensure that they comply with state and federal laws and regulations applicable to the industry. She is not an employee of the MMBA, but her job responsibilities for Bankers Advisory include serving as a member of the MMBA's Legislative Committee. She does not receive additional compensation, beyond her BA salary, for her work with the MMBA.</p> <p>Serving on the Legislative Committee, she has reviewed legislation filed in the Massachusetts legislature affecting the lending industry, including the flood insurance legislation. Additionally, her role on the Committee includes reviewing for the MMBA changes to Massachusetts laws governing the lending industry.</p> <p>She has no direct financial interest in the flood insurance legislation, except to continue to be gainfully employed by BA.</p>
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Employee signature:	
Date:	6/16/14

DETERMINATION BY APPOINTING OFFICIAL APPOINTING AUTHORITY INFORMATION	
Name of Appointing Authority:	Martha Coakley, Attorney General By: Judy Zeprun Kalman
Title or Position:	Deputy General Counsel
Agency/Department:	Office of the Attorney General
Agency Address:	One Ashburton Place Boston, MA 02108
Office Phone:	617.963.2018
Office E-mail:	Judy.zeprun@state.ma.us

DETERMINATION	
Determination by appointing authority: Write an X by your selection.	As appointing official, as required by G.L. c. 268A, § 6, I have reviewed the particular matter and the financial interest identified above by a state employee. <ul style="list-style-type: none"> <input type="checkbox"/> I am assigning the particular matter to another employee, or <input type="checkbox"/> I am assuming responsibility for the particular matter, or <input checked="" type="checkbox"/> I have determined that the financial interest is not so substantial as to be deemed likely to affect the integrity of the services which the Commonwealth may expect from the employee.

Appointing Authority signature:	
	6/16/14

Date:	6/16/14
Comment:	fin interest speculative and indirect and not substantial

Attach additional pages if necessary. Send to General Counsel's Office for Determination.

GCO will send a copy of this disclosure to:

State Ethics Commission, One Ashburton Place, Room 619, Boston, MA 02108