


**DISCLOSURE BY STATE EMPLOYEE OF FINANCIAL INTEREST IN A STATE CONTRACT  
AND CERTIFICATION BY HEAD OF CONTRACTING AGENCY  
AS REQUIRED BY G. L. c. 268A, § 7(b)**

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STATE ETHICS COMMISSION

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|  | <b>STATE EMPLOYEE INFORMATION</b>   |
| Name of state employee:                                    | Daniel W. Thurler   |
| Title/ Position  | Editorial Counsel   |
| Fill in this box if it applies to you.                     | If you are a state employee because a state agency has contracted with your company or organization, please provide the name and address of the company or organization.  |
| Agency/ Department   | Massachusetts Appeals Court   |
| Agency Address   | John Adams Courthouse<br>One Pemberton Square<br>Boston, MA 02108   |
| Office phone:  | (617) 725-8096  |
| Office e-mail:   | dan.thurler@appct.state.ma.us   |
|  | Check one: <input type="checkbox"/> Elected or <input checked="" type="checkbox"/> Non-elected  |
| Starting date as a state employee.                         | September, 1973   |
| <b>BOX # 1</b>   | <b>ELECTED, COMPENSATED STATE EMPLOYEE</b>  |
| Select either <b>STATEMENT #1</b> or <b>STATEMENT #2</b> . | I am an elected, compensated state employee, other than a state Senator or a state Representative.  |
| Write an X beside your financial interest.                 | <p><input type="checkbox"/> <b>STATEMENT #1:</b> I had one of the following financial interests in a contract made by a state agency before I was elected to my compensated state employee position. I will continue to have this financial interest in a state contract. OR</p> <p><input type="checkbox"/> <b>STATEMENT #2:</b> I will have a new financial interest in a contract made by a state agency.</p> <p><b>My financial interest in a state contract is:</b></p> <p><input type="checkbox"/> I have a non-elected, compensated state employee position.</p> <p><input type="checkbox"/> A state agency has a contract with me.</p> <p><input type="checkbox"/> I have a financial benefit or obligation because of a contract that a state agency has with another person or an entity, such as a company or organization.</p> <p><input type="checkbox"/> I work for a company or organization that has a contract with a state agency, and I am a "key employee" because the contract identifies me by name or it is otherwise clear that the state has contracted for my services in particular.</p> |
| <b>BOX # 2</b>   | <b>NON-ELECTED, COMPENSATED STATE EMPLOYEE</b>  |
| Select either <b>STATEMENT #1</b> or <b>STATEMENT #2</b> . | I am a non-elected, compensated state employee.   |
|  | <input type="checkbox"/> <b>STATEMENT #1:</b> I had one of the following financial interests in a contract made by a state agency before I took a position as a non-elected state employee. I will continue to have this financial interest in a state contract.  |

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| <p><b>Write an X beside your financial interest.</b></p>       | <p><b>My financial interest in a state contract is:</b></p> <p><input type="checkbox"/> A state agency has a contract with me, but not an employment contract.</p> <p><input type="checkbox"/> I have a financial benefit or obligation because of a contract that a state agency has with another person or an entity, such as a company or organization.</p> <p>-- OR --</p> <p><input type="checkbox"/> <b>STATEMENT # 2:</b> I will have a new financial interest in a contract made by a state agency.</p> <p><b>My financial interest in a state contract is:</b></p> <p><input type="checkbox"/> I have a non-elected, compensated state employee position.</p> <p><input checked="" type="checkbox"/> A state agency has a contract with me.</p> <p><input type="checkbox"/> I have a financial benefit or obligation because of a contract that a state agency has with another person or an entity, such as a company or organization.</p> <p><input type="checkbox"/> I work for a company or organization that has a contract with a state agency, and I am a "key employee" because the contract identifies me by name or it is otherwise clear that the state has contracted for my services in particular.</p> |
|  | <p><b>FINANCIAL INTEREST IN A STATE CONTRACT</b></p>  |
| <p>Name and address of state agency that made the contract</p> | <p>1. City of Gloucester, 9 Dale Ave., Gloucester MA 01930<br/> 2. University of Mass. Bldg. Authy, 225 Franklin St. Boston, MA 02110<br/> 3. Mass. Health and Educational Finance Authy., (Mass. Dev.), 99 High Street, Boston, MA 02110</p>   |
| <p><b>Please put in an X to confirm these facts.</b></p>       | <p><b>"My State Agency"</b> is the state agency that I serve as a state employee.</p> <p>The <b>"contracting agency"</b> is the state agency that made the contract.</p> <p><input checked="" type="checkbox"/> My State Agency is not the contracting agency.</p> <p><input checked="" type="checkbox"/> My State Agency does not regulate the activities of the contracting agency.</p> <p><input checked="" type="checkbox"/> In my work for my State Agency, I do not participate in or have official responsibility for any of the activities of the contracting agency.</p> <p><input checked="" type="checkbox"/> The contract was made after public notice or through competitive bidding.</p>  |
| <p><b>FILL IN THIS BOX OR THE BOX BELOW</b></p>                | <p><b>ANSWER THE QUESTION IN THIS BOX IF THE CONTRACT IS BETWEEN THE STATE AND YOU.</b></p> <p>- Please explain what the contract is for.</p> <p>Bonds</p>  |
| <p><b>FILL IN THIS BOX OR THE BOX ABOVE</b></p>                | <p><b>ANSWER THE QUESTIONS IN THIS BOX IF THE CONTRACT IS BETWEEN THE STATE AND ANOTHER PERSON OR ENTITY.</b></p> <p>- Please identify the person or entity that has the contract with the state agency.<br/> - What is your relationship to the person or entity?<br/> - What is the contract for?</p>   |
| <p>What is your financial interest in the state contract?</p>  | <p>- Please explain the financial interest and include the dollar amount if you know it.</p> <p>1. Bond - \$16,000<br/> 2. Bond - \$26,000</p>  |

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|   | 3. Bond - \$22,000   |
| Date when you acquired a financial interest                   | 1. March, 2014<br>2. August, 2013<br>3. February, 2014   |
| What is the financial interest of your immediate family?      | - Please explain the financial interest and include the dollar amount if you know it.<br><b>None</b>   |
| Date when your immediate family acquired a financial interest | <b>None</b>  |
| Write an X to confirm each statement.                         | <p><b>FOR A CONTRACT FOR PERSONAL SERVICES –</b></p> <p><b>Answer the questions in this box ONLY if you will have a contract for personal services with a state agency (i.e., you will do work directly for the contracting agency).</b></p> <p>I will have a contract with a state agency to provide personal services.</p> <p><input checked="" type="checkbox"/> The services will be provided outside my normal working hours as a state employee.</p> <p><input checked="" type="checkbox"/> The services are not required as part of my regular duties as a state employee.</p> <p><input checked="" type="checkbox"/> For these services, I will be compensated for not more than 500 hours during a calendar year.</p> |
| Employee signature:   |   |
| Date:   | 4/7/14   |

Attach additional pages if necessary.

NOT A PERSONAL SERVICES CONTRACT -- File disclosure with:

State Ethics Commission  
One Ashburton Place, Room 619  
Boston, MA 02108

SEE CERTIFICATION REQUIRED FOR PERSONAL SERVICES POSITIONS, BELOW.