

**DISCLOSURE BY NON-ELECTED STATE EMPLOYEE OF FINANCIAL INTEREST
AND DETERMINATION BY APPOINTING AUTHORITY
AS REQUIRED BY G. L. c. 268A, § 6**

STAFF DISCLOSURE MAY BE C ONFIDENTIAL

DO NOT RELEASE THEM UNLESS
EXECUTIVE DIRECTOR OR GENERAL
COUNSEL REVEIWS AND APPROVES RELEASE

	STATE EMPLOYEE INFORMATION
Name:	Pauline Nguyen
Title or Position:	Assistant General Counsel
State Agency:	State Ethics Commission
Agency Address:	One Ashburton Place, Rm 619
Office Phone:	(617) 371-9500
Office E-mail:	pauline.nguyen@state.ma.us
	My duties require me to participate in a particular matter, and I may not participate because of a financial interest that I am disclosing here. I request a determination from my appointing authority about how I should proceed.
	PARTICULAR MATTER
Particular matter E.g., a judicial or other proceeding, application, submission, request for a ruling or other determination, contract, claim, controversy, charge, accusation, arrest, decision, determination, or finding.	Please describe the particular matter. Hiring of new Executive Director
Your required participation in the particular matter: E.g., approval, disapproval, decision, recommendation, rendering advice, investigation, other.	Please describe the task you are required to perform with respect to the particular matter. As directed by Commission, tasks related to hiring of new Executive Director, including but not limited to drafting position description and job posting, reviewing applications, interviewing candidates, checking references, and making recommendations to Commission.
	FINANCIAL INTEREST IN THE PARTICULAR MATTER
Write an X by all that apply.	<input checked="" type="checkbox"/> X I have a financial interest in the matter. <input type="checkbox"/> My immediate family member has a financial interest in the matter. <input type="checkbox"/> My business partner has a financial interest in the matter. <input type="checkbox"/> I am an officer, director, trustee, partner or employee of a business organization, and the business organization has a financial interest in the matter. <input type="checkbox"/> I am negotiating or have made an arrangement concerning future employment with a person or organization, and the person or organization has a financial interest in the matter.
Financial interest in the matter	Please explain the financial interest and include a dollar amount if you know it. While my direct supervisor is the General Counsel, the Executive Director supervises the General Counsel and may have input into my performance reviews and decisions

	concerning my compensation.
Employee signature:	<i>Pauline H. Nguyen</i>
Date:	8-11-2016

DETERMINATION BY APPOINTING OFFICIAL

	APPOINTING AUTHORITY INFORMATION
Name of Appointing Authority:	Barbara Dortch-Okara
Title or Position:	Chair
Agency/Department:	State Ethics Commission
Agency Address:	One Ashburton Place, Room 619
Office Phone:	(617) 371-9500
Office E-mail	n/a
	DETERMINATION
Determination by appointing authority: Write an X by your selection.	As appointing official, as required by G.L. c. 268A, § 6, I have reviewed the particular matter and the financial interest identified above by a state employee. <input type="checkbox"/> I am assigning the particular matter to another employee, or <input type="checkbox"/> I am assuming responsibility for the particular matter, or <input checked="" type="checkbox"/> I have determined that the financial interest is not so substantial as to be deemed likely to affect the integrity of the services which the Commonwealth may expect from the employee.
Appointing Authority signature:	<i>[Signature]</i>
Date:	8/11/16
Comment:	

Attach additional pages if necessary.

File copy with:

State Ethics Commission, One Ashburton Place, Room 619, Boston, MA 02108