



UNIVERSITY OF MASSACHUSETTS
AMHERST

374 Whitmore Administration Building
181 Presidents Drive
Amherst, MA 01003-9313

RECEIVED OFFICE OF THE CHANCELLOR
STATE ETHICS COMMISSION
2018 OCT -9 AM 10:34
voice: 413.545.2211
www.umass.edu

September 28, 2018

Legal Division
State Ethics Commission
One Ashburton Place
Boston, MA 02108

RE: Corrected Disclosure under M.G.L. Chapter 268A, Section 6

Dear Sir/Madam:

Pursuant to M.G.L. Chapter 268A, Section 6, I am enclosing for filing:

1. A corrected Disclosure of Financial Interest form dated September 13, 2018 from Head Football Coach Mark Whipple; and
2. My updated letter of determination, dated September 28, 2018.

Please note that Coach Whipple's original Disclosure and my determination were filed with the Ethics Commission by letter dated August 2, 2018. The enclosed, corrected Disclosure and my updated determination are submitted herein for filing solely to correct a typographical error in the original Disclosure regarding proposed salary for Austin Whipple. In all other respects, the initial filings submitted August 2, 2018 remain accurate.

Very truly yours,


Kumble R. Subbaswamy
Chancellor

Enclosures


**DISCLOSURE BY NON-ELECTED STATE EMPLOYEE OF FINANCIAL INTEREST
AND DETERMINATION BY APPOINTING AUTHORITY
AS REQUIRED BY G. L. c. 268A, § 6**

STATE EMPLOYEE INFORMATION	
Name:	Mark Whipple
Title or Position:	Head Football Coach
State Agency:	University of Massachusetts Amherst
Agency Address:	Football Performance Center 290 Stadium Drive Amherst, MA 01003-9397
Office Phone:	413-545-2026
Office E-mail:	mwhipple@umass.edu
	My duties require me to participate in a particular matter, and I may not participate because of a financial interest that I am disclosing here. I request a determination from my appointing authority about how I should proceed.
PARTICULAR MATTER	
Particular matter E.g., a judicial or other proceeding, application, submission, request for a ruling or other determination, contract, claim, controversy, charge, accusation, arrest, decision, determination, or finding.	Please describe the particular matter. Service of my son, Austin Whipple, as an Assistant Director of Football Operations on my staff.
Your required participation in the particular matter: E.g., approval, disapproval, decision, recommendation, rendering advice, investigation, other.	Please describe the task you are required to perform with respect to the particular matter. Directing his activities as an Assistant Director of Football Operations.
FINANCIAL INTEREST IN THE PARTICULAR MATTER	
Write an X by all that apply.	<input type="checkbox"/> I have a financial interest in the matter. <input checked="" type="checkbox"/> My immediate family member has a financial interest in the matter. <input type="checkbox"/> My business partner has a financial interest in the matter. <input type="checkbox"/> I am an officer, director, trustee, partner or employee of a business organization, and the business organization has a financial interest in the matter. <input type="checkbox"/> I am negotiating or have made an arrangement concerning future employment with a person or organization, and the person or organization has a financial interest in the matter.

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Financial interest in the matter	Please explain the financial interest and include a dollar amount if you know it. Salary as an Assistant Director of Football Operations is \$32,000/yr.
Employee signature:	
Date:	09-13-18

DETERMINATION BY APPOINTING OFFICIAL

	APPOINTING AUTHORITY INFORMATION
Name of Appointing Authority:	Kumble R. Subbaswamy
Title or Position:	Chancellor
Agency/Department:	University of Massachusetts Amherst
Agency Address:	374 Whitmore Administration Building 181 President's Drive Amherst, MA 01003-9313
Office Phone:	413-545-2211
Office E-mail	chancellor@umass.edu
	DETERMINATION
Determination by appointing authority: Write an X by your selection.	As appointing official, as required by G.L. c. 268A, § 6, I have reviewed the particular matter and the financial interest identified above by a state employee. <input type="checkbox"/> I am assigning the particular matter to another employee, or <input type="checkbox"/> I am assuming responsibility for the particular matter, or <input checked="" type="checkbox"/> I have determined that the financial interest is not so substantial as to be deemed likely to affect the integrity of the services which the Commonwealth may expect from the employee.
Appointing Authority signature:	
Date:	9/28/18
Comment:	

Attach additional pages if necessary.

File copy with:

State Ethics Commission, One Ashburton Place, Room 619, Boston, MA 02108



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OFFICE OF THE CHANCELLOR

voice: 413.545.2211
www.umass.edu

September 28, 2018

Mark Whipple, Head Football Coach
Football Office
University of Massachusetts Amherst
101 University Drive
Amherst, MA 01003

Dear Coach Whipple:

This letter acknowledges receipt of your September 13, 2018 Disclosure of Financial Interest, which you state corrects a typographical error contained in your July 3, 2018 Disclosure of Financial Interest. In your updated Disclosure, you request permission to employ your son, Austin Whipple, as an Assistant Director of Football Operations at a salary of \$32,000.00.¹

Following review of your updated Disclosure, I hereby reaffirm my determination that any personal interest you may have in this appointment is not so substantial as to be deemed likely to affect the integrity of your services to the Commonwealth and the Athletic Department. This determination, which allows utilization of your son's services for one (1) year, remains effective through July 26, 2019. If you wish to retain Austin Whipple as an Assistant Director of Football Operations beyond July 26, 2019, please submit your request to the Chancellor of the Amherst campus to make such a review and reevaluation by July 1, 2019.

As directed by statute, I am sending a copy of this updated written determination letter, along with your updated Disclosure of Financial Interest form, to the State Ethics Commission for filing.

Sincerely,

A handwritten signature in black ink, appearing to read "Kumble R. Subbaswamy", written over a horizontal line.

Kumble R. Subbaswamy
Chancellor

Enclosures

cc: Allison Lepper, Associate Counsel
Kevin Wissmann, Assistant Athletic Director, HR Specialist

¹ Your Disclosure of Financial Interest dated July 3, 2018 stated a proposed salary of \$30,000.00. Aside from correcting a typographical error regarding salary, you have indicated that all other information contained in your September 13, 2018 Disclosure is identical to that contained in your July 3, 2018 Disclosure.