

RECEIVED
STATE ETHICS COMMISSION

2020 AUG 31 PM 2: 22

Dr. Robert Kersting, Provost
Westfield State University
577 Western Avenue
Parenzo Hall
Westfield, Massachusetts 01086

RE: Disclosure Form for Alice Elizabeth Perry, Criminal Justice Department

Dear Provost Kersting,

Congratulations on your promotion to provost. Wishing you every success during this challenging time. Please find enclosed the Financial Disclosure Form. I have mailed a copy to the State Ethics Commission.

Cognella Publishing released a preliminary edition of the anthology *Federal and State Courts: Analysis of History Making Legal Precedent* that I used last year. I did receive, much to my surprise a royalty check. I have attached the document to the form. I plan on using the book in three classes for the Fall 2020 semester with your approval.

Please feel free to contact me at (802) 259-9115 or aperry@westfield.ma.edu if you are in need of further information or clarification. Thank you for your kind consideration in this matter.

Sincerely,



Alice Elizabeth Perry, J.D., PhD
Associate Professor
Criminal Justice Department

... ..
... ..
... ..
... ..
... ..

... ..
... ..

... ..
... ..

... ..
... ..
... ..
... ..

... ..
... ..

... ..
... ..

... ..
... ..

... ..
... ..

... ..
... ..

... ..
... ..

... ..
... ..

Westfield STATE UNIVERSITY

Financial Interest in Instructional Materials: Faculty Disclosure to State Ethics Commission

Checklist for Completing the Disclosure Form

The State Ethics Commission has determined that pursuant to G.L. c. 268A, §6, prior to assigning any instructional material in which they have a financial interest, a faculty member must file a written disclosure and receive a written determination from the Vice President of Academic Affairs indicating the financial interest is not so substantial as to constitute a violation of the conflict of interest law. The text of the Commission's decision can be found online at:

<http://www.mass.gov/ethics/opinions-and-rulings/advisory-opinions/adv-nepotism/section-6-state/ec-coi-04-2.html>

If you have assigned any materials covered under the Ethics Commission decision:

- Complete the Disclosure Form below. Use the reverse of the form or blank paper if needed to provide complete information about the materials.
- Attach a self-addressed envelope with your name and home address if you wish to have the form mailed to your home; or
- Attach a self-addressed envelope with your on-campus mailing address if you wish to have the form returned to you via campus mail.
- Sign and date the form.
- Forward the form and self-addressed envelope to attention of:

*Vice President for Academic Affairs
Parenzo Hall*

The form will be returned to you with the Vice President's determination and signature.

Make a copy for your files.

Send the original to:

*Commonwealth of Massachusetts
State Ethics Commission
One Ashburton Place, Room 619
Boston, MA 02108*

If the Vice President finds that your financial interest is not sufficiently substantial, you may proceed with the materials selection process.

If the Vice President finds that your financial interest is sufficiently substantial, please contact your Dean of Faculty or Dean of Graduate & Continuing Education to proceed with the materials selection process.

... ..

... ..

... ..

... ..

... ..

... ..

... ..

... ..

... ..

... ..

... ..

... ..

... ..

... ..

... ..

... ..

MEMORANDUM

DATE: July 15, 2020
TO: Vice President for Academic Affairs, Westfield State University
FROM: Professor Alice Elizabeth Perry Department
RE: Disclosure of Decision to Adopt Instructional Materials in Whose Sale I Have a Financial Interest

In compliance with the Massachusetts G.L.c268A, §6, I disclose my intent to adopt the following:

Semester: <u>Fall</u> Year: <u>2020</u> <input checked="" type="checkbox"/> Day <input checked="" type="checkbox"/> DCGE <input type="checkbox"/> Non-Credit (check all that apply)
Course Name and Number: <u>American Judicial Systems CRTU 205(001)+(002)(001)</u>
Full description of materials (e.g., title of published text, course-packet, CD, etc.). Use reverse side of form if necessary. <u>Federal & State Court Systems: Analysis of History Making Legal Precedent ISBN: 978-1-5165-8456-7</u>
If this is a published work sold to students through a third party, such as a bookstore, check all that apply: <input checked="" type="checkbox"/> I am the author <input type="checkbox"/> I am the editor <input type="checkbox"/> Other (indicate) _____ <input type="checkbox"/> I am the co-author <input type="checkbox"/> I am the co-editor
From the sale of these materials to students at Westfield State University, I anticipate I will receive royalties in the amount of \$ <u>250.00</u>
If you are the publisher of this work and are selling it directly to students: From the direct sale of these materials to students at Westfield State University, I anticipate I will receive income of \$ <u>N/A</u> of which \$ _____ is profit.

→ please see reverse

In the event you determine that my financial interest is sufficiently substantial to warrant assigning someone else the decision whether the instructional materials described above should be adopted for use in the course identified above, I agree to allow Dean of Faculty or Dean of Graduate and Continuing Education to make that decision in my stead.

Signature of Faculty Member

Date

Determination of State University Appointing Authority

I have reviewed this matter and determine that the faculty member's financial interest as disclosed above is not sufficiently substantial to warrant my assigning to someone else the decision whether the instructional materials described above should be adopted for use in the course identified above.

I have reviewed this matter and determine that the faculty member's financial interest is sufficiently substantial to warrant my assigning the decision in question to someone else. Therefore, in accordance with the Massachusetts G.L.c268A, §6, I assign that decision to the Dean of Faculty.

Vice President for Academic Affairs

Date

Distribution after Determination of Appointing Authority:

- 1) Commonwealth of Massachusetts State Ethics Commission
- 2) Vice President for Academic Affairs
- 3) Faculty Member

Dear Vice President Kersting,

This is an anthology published by Cognella Publishing. They initially released a preliminary copy to students as a test run. I used it last year. Please see attached Royalty Form from Cognella. I never expected to receive a royalty check from a preliminary edition.

The first edition is complete and I will be using it for the Fall 2020 semester in three classes.

Thank you.

Sincerely,

Abd E. Fey



Cognella Royalty Report: 2020-Q1

Title: Federal and State Court Systems
Subtitle: Analysis of History Making Legal Precedent
Author: Alice Elizabeth Perry
SKU: 82943

Author Usage Commitment: 280
Author Commitment Years: 4.0

Current Quarter Activity Details				
SKU Instance	Institution	Term	Net Quantity	Royalty Amount
* No Activity in Current Quarter				
Total:				

Current Quarter Royalty Share		
Royalty Recipient	Royalty Share	Royalty Amount
Alice Elizabeth Perry	100.0%	\$0.00
Total:		\$0.00

Recipient Royalty Balance (All Titles)	
Recipient: Alice Elizabeth Perry	
Prior Royalty Balance:	\$6.92
Current Quarter Royalty:	\$0.00
Ending Royalty Balance:	\$6.92

Historical Totals			
Year-Quarter	Net Quantity	Total Revenue	Total Royalties
2020-Q1	0	\$0.00	\$0.00
2019-Q4	3	\$138.37	\$6.92
2019-Q3	74	\$4,730.30	\$236.70
Grand Total:	77	\$4,868.67	\$243.62



