

**DISCLOSURE BY NON-ELECTED STATE EMPLOYEE OF FINANCIAL INTEREST  
AND DETERMINATION BY APPOINTING AUTHORITY  
AS REQUIRED BY G. L. c. 268A, § 6**

<b>STATE EMPLOYEE INFORMATION</b>	
Name:	Alice Wu
Title or Position:	Chief Financial Officer
State Agency:	Massachusetts State Ethics Commission
Agency Address:	One Ashburton Place, Rm. 619 Boston, MA 02108
Office Phone:	617-371-9500
Office E-mail:	Alice.wu@mass.gov
<p>My duties require me to participate in a particular matter, and I may not participate because of a financial interest that I am disclosing here. I request a determination from my appointing authority about how I should proceed.</p>	
<b>PARTICULAR MATTER</b>	
Particular matter  E.g., a judicial or other proceeding, application, submission, request for a ruling or other determination, contract, claim, controversy, charge, accusation, arrest, decision, determination, or finding.	<p>Please describe the particular matter.</p> <p>The Commission has approved certain salary increases, at the discretion of the Executive Director and in consultation with the Chief Financial Officer, for staff performing management duties not including the Executive Director.</p>
Your required participation in the particular matter:  E.g., approval, disapproval, decision, recommendation, rendering advice, investigation, other.	<p>Please describe the task you are required to perform with respect to the particular matter.</p> <p>The Executive Director will consult with me as CFO concerning the salary increases and I will provide him with financial information and analysis and otherwise discuss the salary increases.</p>
<b>FINANCIAL INTEREST IN THE PARTICULAR MATTER</b>	
Write an X by all that apply.	<p><input checked="" type="checkbox"/> I have a financial interest in the matter.</p> <p><input type="checkbox"/> My immediate family member has a financial interest in the matter.</p> <p><input type="checkbox"/> My business partner has a financial interest in the matter.</p> <p><input type="checkbox"/> I am an officer, director, trustee, partner or employee of a business organization, and the business organization has a financial interest in the matter.</p> <p><input type="checkbox"/> I am negotiating or have made an arrangement concerning future employment with a person or organization, and the person or organization has a financial interest in the matter.</p>
Financial interest in the matter	<p>Please explain the financial interest and include a dollar amount if you know it.</p> <p>I am a <del>sal</del>aried employee of the State Ethics Commission who performs management duties and my salary may be among those increased.</p>

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Employee signature:	<i>Alice Wu</i>
Date:	May 21, 2020

### DETERMINATION BY APPOINTING OFFICIAL

	<b>APPOINTING AUTHORITY INFORMATION</b>
Name of Appointing Authority:	David Wilson
Title or Position:	Executive Director
Agency/Department:	Massachusetts State Ethics Commission
Agency Address:	One Ashburton Place, Rm. 619 Boston, MA 02108
Office Phone:	617-371-9500
Office E-mail	
	<b>DETERMINATION</b>
Determination by appointing authority:  Write an X by your selection.	<p>As appointing official, as required by G.L. c. 268A, § 6, I have reviewed the particular matter and the financial interest identified above by a state employee.</p> <p><input type="checkbox"/> I am assigning the particular matter to another employee, or</p> <p><input type="checkbox"/> I am assuming responsibility for the particular matter, or</p> <p><input checked="" type="checkbox"/> I have determined that the financial interest is not so substantial as to be deemed likely to affect the integrity of the services which the Commonwealth may expect from the employee.</p>
Appointing Authority signature:	<i>David A. Wilson</i>
Date:	5.21/20
Comment:	

Attach additional pages if necessary.

File copy with:

State Ethics Commission, One Ashburton Place, Room 619, Boston, MA 02108

Form Revised February, 2012