DISCLOSURE BY STATE EMPLOYEE OF FINANCIAL INTEREST IN A STATE CONTRACT AND CERTIFICATION BY HEAD OF CONTRACTING AGENCY AS REQUIRED BY G. L. c. 268A, § 7(b)

	STATE EMPLOYEE INFORMATION
Name of state employee:	SONIA KWON
Title/ Position	LEGISLATIVE DIRECTOR, THE GENERAL COURT (SENATE)
Fill in this box if it applies to you.	If you are a state employee because a state agency has contracted with your company or organization, please provide the name and address of the company or organization.
Agency/ Department	THE GENERAL COURT (SENATE)
Agency Address	MASSACHUSETTS STATE HOUSE 24 BEACON STREET BOSTON, MA 02133
Office phone:	617-722-1604
Office e-mail:	SONIA.KWON@MASENATE.GOV
	Check one: Elected orX_ Non-elected
Starting date as a state employee.	SEPTEMBER 23, 2019
BOX # 1	ELECTED, COMPENSATED STATE EMPLOYEE I am an elected, compensated state employee, other than a state Senator or a state Representative.
Select either STATEMENT #1 or STATEMENT #2.	STATEMENT #1: I had one of the following financial interests in a contract made by a state agency before I was elected to my compensated state employee position. I will continue to have this financial interest in a state contract. OR
	STATEMENT #2: I will have a new financial interest in a contract made by a state agency.
Write an X beside your	My financial interest in a state contract is: I have a non-elected, compensated state employee position.
financial interest.	A state agency has a contract with me.
	I have a financial benefit or obligation because of a contract that a state agency has with another person or an entity, such as a company or organization.
	I work for a company or organization that has a contract with a state agency, and I am a "key employee" because the contract identifies me by name or it is otherwise clear that the state has contracted for my services in particular.
BOX # 2	NON-ELECTED, COMPENSATED STATE EMPLOYEE
Soloot oith ar	I am a non-elected, compensated state employee. STATEMENT # 1: I had one of the following financial interests in a contract made by a state
Select either STATEMENT #1 or STATEMENT #2.	agency before I took a position as a non-elected state employee. I will continue to have this financial interest in a state contract.

Write an X beside your financial interest.	My financial interest in a state contract is:
	A state agency has a contract with me, but not an employment contract.
	I have a financial benefit or obligation because of a contract that a state agency has with another person or an entity, such as a company or organization.
	OR
	X <u>STATEMENT # 2</u> : I will have a new financial interest in a contract made by a state agency.
	My financial interest in a state contract is:
	I have a non-elected, compensated state employee position.
	A state agency has a contract with me.
	_XI have a financial benefit or obligation because of a contract that a state agency has with another person or an entity, such as a company or organization.
	I work for a company or organization that has a contract with a state agency, and I am a "key employee" because the contract identifies me by name or it is otherwise clear that the state has contracted for my services in particular.
	FINANCIAL INTEREST IN A STATE CONTRACT
Name and address of state agency that made the contract	DEPARTMENT OF ELEMENTARY AND SECONDARY EDUCATION 75 PLEASANT STREET MALDEN, MA 02148
	"My State Agency" is the state agency that I serve as a state employee.
Please put in an X	The "contracting agency" is the state agency that made the contract.
	X My State Agency is not the contracting agency.
to confirm these facts.	_X_ My State Agency does not regulate the activities of the contracting agency.
	X In my work for my State Agency, I do not participate in or have official responsibility for any of the activities of the contracting agency.
	X The contract was made after public notice or through competitive bidding.
	ANSWER THE QUESTION IN THIS BOX
FILL IN	IF THE CONTRACT IS BETWEEN THE STATE AND YOU.
THIS BOX OR THE BOX BELOW	- Please explain what the contract is for.
FILL IN THIS BOX OR THE BOX ABOVE	ANSWER THE QUESTIONS IN THIS BOX IF THE CONTRACT IS BETWEEN THE STATE AND ANOTHER PERSON OR ENTITY.
	- Please identify the person or entity that has the contract with the state agency What is your relationship to the person or entity? - What is the contract for? LEADERSHIP AND LITERACY FOUNDATION D/B/A INSPIRATIONAL ONES, INC.;
	PART-TIME EMPLOYEE; STATE FUNDING FACILITATED BY DEPARTMENT OF
	ELEMENTARY AND SECONDARY EDUCATION FOR EXPANDING THE STAFFING
	AND PROGRAMMING AT THE METHUEN YOUTH AND COMMUNITY CENTER, FOR
	REHABILITATING THE PHYSICAL FACILITY IN WHICH THE CENTER WILL BE
	LOCATED AND TO IMPLEMENT A FEASIBILITY AND ENGINEERING STUDY FOR

	THE FUTURE CENTER
What is your financial interest In the state contract?	- Please explain the financial interest and include the dollar amount if you know it. LEADERSHIP AND LITERACY FOUNDATION IS ELIGIBLE TO RECEIVE FUNDS FROM THE STATE IN THE AMOUNT OF \$130,000. MY CURRENT ANNUAL SALARY FOR THE POSITION IS \$40,000. WHILE MY SALARY MAY NOT BE SOURCED DIRECTLY FROM THE STATE FUNDS, I WILL BE PERFORMING SERVICES FOR WHICH THE STATE FUNDS WERE SECURED.
Date when you acquired a financial interest	CONTRACT DUE DATE: OCTOBER 15, 2021 CONTRACT EFFECTIVE DATE: DATE OF EXECUTION BY DEPARTMENT OF ELEMENTARY AND SECONDARY EDUCATION NO FUNDS RELEASED TO DATE
What is the financial interest of your immediate family?	- Please explain the financial interest and include the dollar amount if you know it.
Date when your immediate family acquired a financial interest	
	FOR A CONTRACT FOR PERSONAL SERVICES -
Write an X to confirm each statement.	Answer the questions in this box ONLY if you will have a contract for personal services with a state agency (i.e., you will do work directly for the contracting agency).
	I will have a contract with a state agency to provide personal services.
	The services will be provided outside my normal working hours as a state employee.
	The services are not required as part of my regular duties as a state employee.
	For these services, I will be compensated for not more than 500 hours during a calendar year.
Employee signature:	A The state of the
Date:	OCTOBER 14, 2021

Attach additional pages if necessary.

NOT A PERSONAL SERVICES CONTRACT -- File disclosure with:

State Ethics Commission One Ashburton Place, Room 619 Boston, MA 02108

SEE CERTIFICATION REQUIRED FOR PERSONAL SERVICES POSITIONS, BELOW.

FOR CONTRACTS FOR PERSONAL SERVICES ONLY:

If you are reporting a financial interest in a contract for personal services with a state agency, then in addition to completing the disclosure above, you also must file the certificate below signed by the head of the contracting agency.

CERTIFICATION BY HEAD OF CONTRACTING AGENCY

	INFORMATION ABOUT HEAD OF CONTRACTING AGENCY
Name:	
Title/ Position	
State Agency:	
Agency Address:	
Office Phone:	
	CERTIFICATION
	I have received a disclosure under G.L. c. 268A, § 7(b) from a state employee who seeks to provide personal services to my state agency, identified above. I certify that no employee of my agency is available to perform the services described above as part of his or her regular duties.
Signature:	
Date:	

Attach additional pages if necessary.

File disclosure and certification with:

State Ethics Commission One Ashburton Place, Room 619 Boston, MA 02108

Form Revised February, 2012