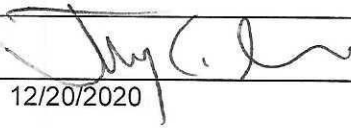


**DISCLOSURE OF ELECTION OR APPOINTMENT
TO AN UNCOMPENSATED POSITION
AS REQUIRED BY 930 CMR 6.02(3)**

	IDENTIFYING INFORMATION
Name:	Timothy C Sullivan
Your phone:	617-320-1316
Your e-mail:	Timothycsullivan@gmail.com
Write an X beside one statement.	<p><input checked="" type="checkbox"/> STATE: I already have a job with a state agency or another direct or indirect financial interest in a contract made by a state agency, and I will begin serving in an uncompensated position with the same state agency or another state agency.</p> <p><input type="checkbox"/> COUNTY: I already have a job with a county agency or another direct or indirect financial interest in a contract made by a county agency, and I will begin serving in an uncompensated position with the same county agency or another agency of the same county.</p> <p><input type="checkbox"/> MUNICIPAL: I already have a job with a municipal agency or another direct or indirect financial interest in a contract made by a municipal agency, and I will begin serving in an uncompensated position with the same municipal agency or another agency of the same city or town.</p>
Check one.	<p>The uncompensated position will be:</p> <p><input type="checkbox"/> Elected or <input checked="" type="checkbox"/> Non-elected</p>
	FINANCIAL INTEREST I ALREADY HAVE IN A PUBLIC AGENCY CONTRACT
<p>Do you already have the contract with a public agency, or does another person or entity have the contract?</p> <p>Write an X beside the appropriate statement.</p>	<p>I HAVE THE CONTRACT.</p> <p><input type="checkbox"/> I work for a public agency for compensation.</p> <p><input type="checkbox"/> A contract that I have with a state public agency (e.g., a grant) funds my work;.</p> <p><input type="checkbox"/> I have a contract for goods, supplies or equipment, etc., with a public agency.</p> <p><input type="checkbox"/> I have another type of contract with a public agency.</p> <p>OR</p> <p>ANOTHER PERSON OR ENTITY HAS THE CONTRACT.</p> <p><input type="checkbox"/> I work for a person or entity (e.g., a business, non-profit or organization), and my work is funded by a contract between the person or entity and a public agency.</p> <p><input type="checkbox"/> I have an ownership interest in a private entity (e.g, a business or non-profit), and the entity receives funds because of a contract with a public agency.</p> <p><input type="checkbox"/> I have an ownership interest in a private entity (e.g., a business or non-profit), and the entity has a contract for goods, supplies or equipment, etc. with a public agency.</p> <p><input checked="" type="checkbox"/> A public agency has a contract or transaction with a private person or entity, and I have a financial stake in the contract or transaction.</p>
	<p>CONTRACT BETWEEN A PUBLIC AGENCY AND YOU</p> <p>Fill out this part of the form if the public contract is with you.</p>

Name and address of the state, county or municipal agency that made the contract.	
What is the contract for?	E.g., Is it a contract for your services or for goods, supplies or equipment or something else?
What is your financial interest in the public agency contract? Please include both financial advantages and obligations.	Please include a dollar amount, if possible.
	CONTRACT BETWEEN A PUBLIC AGENCY AND ANOTHER PERSON OR ENTITY Fill out this part of the form if the contract is with another person or an entity.
Name and address of the state, county or municipal agency that made the contract.	Two Contracts: Massachusetts Bay Transportation Authority 10 Park Plaza, Suite 4000 Boston, MA 02116, and Commonwealth of Massachusetts, acting by and through the State Treasurer 1 Center Plaza, Suite 430 Boston, MA 02108
Please provide the name and address of the person or entity that has the contract.	UBS AG Stamford Branch 600 Washington Blvd Stamford, CT
What relationship do you have with the person or entity that has the public contract?	E.g., are you an owner, partner or employee of a company that signed the contract? Or of a subcontractor to such a company? The contracts are revolving credit agreements executed with UBS AG Stamford Branch. I am not an employee of UBS AG Stamford branch but am an employee of UBS Financial Services, a related entity.
What is the contract for?	E.g., Is it a contract for services or for goods, supplies or equipment or something else? The contracts are revolving credit agreements and can be found at: https://emma.msrb.org/RE1334743-RE1039351-RE1447170.pdf and https://emma.msrb.org/SS1484567.pdf
What is your financial interest in	Please explain the financial interest and include a dollar amount if you know it.

the public agency contract? Please include both financial advantages and obligations.	The existence of these contracts may be a factor, among many factors, in my performance compensation. Whether I have a financial interest and any potential positive benefit to my performance compensation cannot be predicted in actuality or amount.
	UNCOMPENSATED PUBLIC POSITION
Name and address of the public agency where you will have an uncompensated position.	Massachusetts Bay Transportation Authority 10 Park Plaza, Suite 4000 Boston, MA 02116
What is the uncompensated position?	Fiscal Management and Control Board Member
Who elected or appointed you to the position?	Governor Baker
Employee signature:	
Date:	12/20/2020

Attach additional pages if necessary.

**IF you already had a compensated public position,
file this disclosure with your appointing authority for that position.**

OTHERWISE,

**IF you are reporting an uncompensated position with the state or a county,
file this disclosure with the State Ethics Commission.**

**If you are reporting an uncompensated position with a city or town,
file this disclosure with the city or town clerk.**

