

**DISCLOSURE BY NON-ELECTED STATE EMPLOYEE OF FINANCIAL INTEREST
AND DETERMINATION BY APPOINTING AUTHORITY
AS REQUIRED BY G. L. c. 268A, § 6**

	STATE EMPLOYEE INFORMATION
Name:	Kathleen Theoharides
Title or Position:	Secretary of Energy and Environmental Affairs
State Agency:	Executive Office of Energy and Environmental Affairs
Agency Address:	100 Cambridge St. Suite 900 Boston, MA 02114
Office Phone:	617-626-1000
Office E-mail:	Kathleen.theoharides@mass.gov
	My duties require me to participate in a particular matter, and I may not participate because of a financial interest that I am disclosing here. I request a determination from my appointing authority about how I should proceed.
	PARTICULAR MATTER
Particular matter E.g., a judicial or other proceeding, application, submission, request for a ruling or other determination, contract, claim, controversy, charge, accusation, arrest, decision, determination, or finding.	As part of the FY2022 budget, the Legislature appropriated \$13,539,987 "[f]or the operation of the office of the secretary of energy and environmental affairs . . . provided further, that not less than \$1,000,000 shall be expended to provide grants to non-profit organizations to support offshore wind innovation and ecosystem acceleration and to support pilot studies or prototyping in offshore wind innovation in partnership with public higher education institutions or marine science non-profit organizations" The particular matter in which my duties require me to participate is the disposition of this earmark. EOEEA has not yet determined which non-profit organizations, if any, should receive grants under this earmark or decided on a process to make that determination. Greentown Labs, Inc. has requested "\$750,000 (as earmarked in the Office of the EOEEA Secretary's line item 2000-0100) to support the formation, launch and initial programming associated with the Offshore Innovation Initiative." This proposal seeks to "(1) identify and deploy the innovative solutions needed to support [offshore wind] industry growth; and (2) engage key stakeholders in the clean energy ecosystem and environmental community in the development of these innovations."
Your required participation in the particular matter: E.g., approval, disapproval, decision, recommendation, rendering advice, investigation, other.	Greentown Labs has made this request of the Executive Office of Energy and Environmental Affairs, of which I am the Secretary.
	FINANCIAL INTEREST IN THE PARTICULAR MATTER
Write an X by all that apply.	<input type="checkbox"/> I have a financial interest in the matter. <input type="checkbox"/> My immediate family member has a financial interest in the matter. <input type="checkbox"/> My business partner has a financial interest in the matter. <input checked="" type="checkbox"/> I am an officer, director, trustee, partner or employee of a business organization, and the business organization has a financial interest in the matter. <input type="checkbox"/> I am negotiating or have made an arrangement concerning future employment with a person or organization, and the person or organization has a financial interest in the matter.

Financial interest in the matter	I have no personal or familial financial interest in this matter. However, by virtue of my service as Secretary of EOEEA, I am also the chair of the board of the Massachusetts Clean Energy Center ("MassCEC"). Pursuant to a loan agreement in which MassCEC loaned money to Greentown Labs, MassCEC is empowered to designate a member of Greentown Labs' board of directors. I am the MassCEC designated board member of Greentown Labs. Greentown Labs has a financial interest in this matter in the amount of \$750,000.
Employee signature:	<i>K. Theoharides</i>
Date:	10/13/2021

DETERMINATION BY APPOINTING OFFICIAL

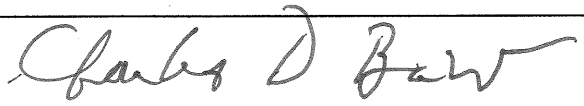
	APPOINTING AUTHORITY INFORMATION
Name of Appointing Authority:	Charles D. Baker
Title or Position:	Governor
Agency/Department:	Office of the Governor
Agency Address:	Massachusetts State House Boston, MA 02133
Office Phone:	
Office E-mail	
	DETERMINATION
Determination by appointing authority: Write an X by your selection.	As appointing official, as required by G.L. c. 268A, § 6, I have reviewed the particular matter and the financial interest identified above by a state employee. <input type="checkbox"/> I am assigning the particular matter to another employee, or <input type="checkbox"/> I am assuming responsibility for the particular matter, or <input type="checkbox"/> I have determined that the financial interest is not so substantial as to be deemed likely to affect the integrity of the services which the Commonwealth may expect from the employee.
Appointing Authority signature:	
Date:	
Comment:	

Attach additional pages if necessary.

File copy with:

State Ethics Commission, One Ashburton Place, Room 619, Boston, MA 02108

DETERMINATION BY APPOINTING OFFICIAL

	APPOINTING AUTHORITY INFORMATION
Name of Appointing Authority:	Charles D. Baker
Title or Position:	Governor of the Commonwealth of Massachusetts
Agency/Department:	Office of the Governor
Agency Address:	State House Room 360 Boston, MA 02133
Office Phone:	(617) 725-4000
Office E-mail	
	DETERMINATION
Determination by appointing authority: Write an X by your selection.	As appointing official, as required by G.L. c. 268A, § 6, I have reviewed the particular matter and the financial interest identified above by a state employee. <input checked="" type="checkbox"/> I am assigning the particular matter to another employee, or <input type="checkbox"/> I am assuming responsibility for the particular matter, or <input type="checkbox"/> I have determined that the financial interest is not so substantial as to be deemed likely to affect the integrity of the services which the Commonwealth may expect from the employee.
Appointing Authority signature:	
Date:	
Comment:	I am assigning this particular matter to Judy Chang, EOEEA Undersecretary of Energy.

Attach additional pages if necessary.

File copy with:

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