

20-05-2618

**DISCLOSURE BY STATE EMPLOYEE OF FINANCIAL INTEREST IN A STATE CONTRACT  
AND CERTIFICATION BY HEAD OF CONTRACTING AGENCY  
AS REQUIRED BY G. L. c. 268A, § 7(b)**

RECEIVED  
STATE ETHICS COMMISSION

2023 NOV 14 AM 11:11

<b>STATE EMPLOYEE INFORMATION</b>	
Name of state employee:	Alan H. Bloomgarden
Title/ Position	Director of Foundation and Government Grants
Fill in this box if it applies to you.	If you are a state employee because a state agency has contracted with your company or organization, please provide the name and address of the company or organization.
Agency/ Department	Holyoke Community College
Agency Address	303 Homestead Ave, Holyoke, MA 01040
Office phone:	413.552.2313
Office e-mail:	abloomgarden@hcc.edu
	Check one: <input type="checkbox"/> Elected            or <input checked="" type="checkbox"/> Non-elected
Starting date as a state employee.	11/7/22
<b>BOX # 1</b>	<b>ELECTED, COMPENSATED STATE EMPLOYEE</b>
<b>Select either STATEMENT #1 or STATEMENT #2.</b>	I am an <b>elected, compensated state employee</b> , other than a state Senator or a state Representative.
<b>Write an X beside your financial interest.</b>	<input type="checkbox"/> <b>STATEMENT #1:</b> I had one of the following financial interests in a contract made by a state agency before I was elected to my compensated state employee position. I will continue to have this financial interest in a state contract. <b>OR</b> <input type="checkbox"/> <b>STATEMENT #2:</b> I will have a new financial interest in a contract made by a state agency. <b>My financial interest in a state contract is:</b> <input type="checkbox"/> I have a non-elected, compensated state employee position. <input type="checkbox"/> A state agency has a contract with me. <input type="checkbox"/> I have a financial benefit or obligation because of a contract that a state agency has with another person or an entity, such as a company or organization. <input type="checkbox"/> I work for a company or organization that has a contract with a state agency, and I am a "key employee" because the contract identifies me by name or it is otherwise clear that the state has contracted for my services in particular.
<b>BOX # 2</b>	<b>NON-ELECTED, COMPENSATED STATE EMPLOYEE</b>
<b>Select either STATEMENT #1 or STATEMENT #2.</b>	I am a <b>non-elected, compensated state employee</b> .
	<input type="checkbox"/> <b>STATEMENT #1:</b> I had one of the following financial interests in a contract made by a state agency <b>before</b> I took a position as a non-elected state employee. I will continue to have this financial interest in a state contract.

<p><b>Write an X beside your financial interest.</b></p>	<p><b>My financial interest in a state contract is:</b></p> <p><input type="checkbox"/> A state agency has a contract with me, but not an employment contract.</p> <p><input type="checkbox"/> I have a financial benefit or obligation because of a contract that a state agency has with another person or an entity, such as a company or organization.</p> <p><b>- OR -</b></p> <p><input checked="" type="checkbox"/> <b>STATEMENT # 2:</b> I will have a new financial interest in a contract made by a state agency.</p> <p><b>My financial interest in a state contract is:</b></p> <p><input type="checkbox"/> I have a non-elected, compensated state employee position.</p> <p><input checked="" type="checkbox"/> A state agency has a contract with me.</p> <p><input type="checkbox"/> I have a financial benefit or obligation because of a contract that a state agency has with another person or an entity, such as a company or organization.</p> <p><input type="checkbox"/> I work for a company or organization that has a contract with a state agency, and I am a "key employee" because the contract identifies me by name or it is otherwise clear that the state has contracted for my services in particular.</p>
<p><b>FINANCIAL INTEREST IN A STATE CONTRACT</b></p>	
<p>Name and address of state agency that made the contract</p>	<p>University of Massachusetts Boston 100 Morrissey Blvd, Boston, MA 02125-3393</p>
<p><b>Please put in an X to confirm these facts.</b></p>	<p><b>"My State Agency"</b> is the state agency that I serve as a state employee.</p> <p>The <b>"contracting agency"</b> is the state agency that made the contract.</p> <p><input checked="" type="checkbox"/> My State Agency is not the contracting agency.</p> <p><input checked="" type="checkbox"/> My State Agency does not regulate the activities of the contracting agency.</p> <p><input checked="" type="checkbox"/> In my work for my State Agency, I do not participate in or have official responsibility for any of the activities of the contracting agency.</p> <p><input checked="" type="checkbox"/> The contract was made after public notice or through competitive bidding.</p>
<p><b>FILL IN THIS BOX OR THE BOX BELOW</b></p>	<p><b>ANSWER THE QUESTION IN THIS BOX IF THE CONTRACT IS BETWEEN THE STATE AND YOU.</b></p> <p>- Please explain what the contract is for.</p> <p>Consulting services, including advising to the Office of the Provost and Office of Community Partnerships, research, materials review and meeting facilitation in collaboration with UMass Boston staff and faculty to discuss, plan, and develop strategies for community engagement and an application to the Carnegie Elective Classification for Community Engagement.</p>
<p><b>FILL IN THIS BOX</b></p>	<p><b>ANSWER THE QUESTIONS IN THIS BOX IF THE CONTRACT IS BETWEEN THE STATE AND ANOTHER PERSON OR ENTITY.</b></p> <p>- Please identify the person or entity that has the contract with the state agency.</p> <p>- What is your relationship to the person or entity?</p> <p>- What is the contract for?</p>

<b>OR THE BOX ABOVE</b>	
What is your financial interest in the state contract?	- Please explain the financial interest and include the dollar amount if you know it. \$9,500.00 Consulting Fee for services to be rendered between 10/1/23 and 6/30/24
Date when you acquired a financial interest	<b>Contract Date:</b> PO #B001467502 Issued 9/5/23 for contract start date of 10/1/23 as above.
What is the financial interest of your immediate family?	- Please explain the financial interest and include the dollar amount if you know it. <b>None</b>
Date when your immediate family acquired a financial interest	n/a
<b>Write an X to confirm each statement.</b>	<p><b>FOR A CONTRACT FOR PERSONAL SERVICES –</b></p> <p><b>Answer the questions in this box ONLY if you will have a contract for personal services with a state agency (i.e., you will do work directly for the contracting agency).</b></p> <p>I will have a contract with a state agency to provide personal services.</p> <p><input checked="" type="checkbox"/> The services will be provided outside my normal working hours as a state employee.</p> <p><input checked="" type="checkbox"/> The services are not required as part of my regular duties as a state employee.</p> <p><input checked="" type="checkbox"/> For these services, I will be compensated for not more than 500 hours during a calendar year.</p>
Employee signature:	
Date:	September 5, 2023

Attach additional pages if necessary.

**NOT A PERSONAL SERVICES CONTRACT – File disclosure with:**

State Ethics Commission  
One Ashburton Place, Room 619  
Boston, MA 02108

**SEE CERTIFICATION REQUIRED FOR PERSONAL SERVICES POSITIONS, BELOW.**

**FOR CONTRACTS FOR PERSONAL SERVICES ONLY:**

If you are reporting a financial interest in a contract for personal services with a state agency, then in addition to completing the disclosure above, you also must file the certificate below signed by the head of the contracting agency.

**CERTIFICATION BY HEAD OF CONTRACTING AGENCY**

<b>INFORMATION ABOUT HEAD OF CONTRACTING AGENCY</b>	
Name:	Marcelo Suárez-Orozco
Title/ Position	Chancellor
State Agency:	UMass Boston
Agency Address:	100 Morrissey Blvd., Boston, MA 02125
Office Phone:	617.287.6800
<b>CERTIFICATION</b>	
	I have received a disclosure under G.L. c. 268A, § 7(b) from a state employee who seeks to provide personal services to my state agency, identified above. I certify that no employee of my agency is available to perform the services described above as part of his or her regular duties.
Signature:	
Date:	October 26, 2023

**Attach additional pages if necessary.**

**File disclosure and certification with:**

**State Ethics Commission  
One Ashburton Place, Room 619  
Boston, MA 02108**