# **Statement of Financial Interests for 2015**



CONTACT INFORMATION	
Last Name:	First Name and Middle initial:
Collins	James G
Work Phone Number:	Other Phone:
(413) 584-7686 Ext : 2260	
Work Email:	Other Email:
james.collins@jud.state.ma.us	
Primary Residence Address:	
Redacted	
Contact mailling address	
Redacted	
You indicated that you did have a spouse residing in your household durin	g 2015.
You indicated that you had no dependent child(ren) residing in your house	shold at any time during 2015.
	2

# **Candidacy and Public Service**

1. You have indicated that you are a candidate for public office. Select the office for which you are a candidate.

Filer reported none.

Original Page 1 of 25

2. Identify the position you hold or have held which requires you to file a Statement of Financial Interests and provide the required information for that position. If you held more than one public position which requires you to file, identify each position.

Agency Name	Address	Position	Date	Amount of Income
Franklin/Hampshir e Juvenile Court	116 Russell St., Hadley, MA, 01035, US	First Justice	04/19/2001	\$100,001 or more

er than the position(s) identified in Question 2, identify every public position you held, and every public y to which you provided services, at any time during 2015, whether compensated or not, and whether full- or me.	
Filer reported none.	

4. Identify every public position your spouse and/or any dependent child(ren) residing in your household held, and every public agency to which your spouse and/or any dependent child(ren) residing in your household provided services, at any time during 2015, whether compensated or not, and whether full- or part-time.

Filer reported none.

## **Private Employment and Leaves of Absence**

5. Identify every Business for which you worked as an employee, manager, consultant, or independent contractor at any time during 2015, whether compensated or not, and whether full- or part-time, and provide the required information for each.

Filer reported none.

6. Identify any Business from which you were on a leave of absence at any time during 2015, and provide its address.

Filer reported none.

Original Page 2 of 25

7. Identify every Business for which your spouse and/or any dependent child(ren) residing in your household worked as an employee, manager, consultant, or independent contractor at any time during 2015, whether compensated or not, and whether full- or part-time, and provide the required information for each.
Filer reported none.
Business Ownership and Transfers
8. Identify each Business of which you were, in whole or in part, an owner, partner, or proprietor, or in which you owned more than 1% of any class of the outstanding stock or similar ownership interest, at any time during 2015, and provide the required information for each.
Filer reported none.
9. Identify each Business of which your spouse and/or any dependent child(ren) residing in your household was, in whole or in part, an owner, partner, or proprietor, or in which your spouse and/or any dependent child(ren) residing in your household owned more than 1% of any class of the outstanding stock or similar ownership interest, at any time during 2015, and provide the required information for each.
Filer reported none.
10. Identify any stock or similar ownership interest in a Business which you transferred to your spouse and/or any dependent child(ren) residing in your household during 2015, and provide the required information for each.
Filer reported none.
Service as an Officer, Director, or Trustee
11. Identify any Business in which you served as an officer, director, or trustee, at any time during 2015, whether compensated or not, and whether full- or part-time, and provide the required information for each.
Filer reported none.

Original Page 3 of 25

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	Filer reported none.

<u>NOTE</u>: If the Filer answered "YES" to a Question in the following section, one or more columns in the Table for that Question may be blank in the following situations: If the Filer indicated that the name of the person and/or the trust was a family member's name or address, the Filer was not required to provide that name. If the Filer indicated that an address was a family member's address, the Filer was not required to provide that address.

#### **Real Estate**

13. Identify all Real Estate in Massachusetts which you owned directly or through a Business as of December 31, 2015, and which had an assessed value greater than \$1,000, and provide the required information for each Real Estate holding.

Ownership Legend:
Filer = F
Spouse/Child(ren) = S/C
Trust = T

Property Address	Owner	Transferred? Transferor Na	me Transferor Address	Assessed Value
Redacted	F, S/C	No	00000	\$100,001 or more
1				

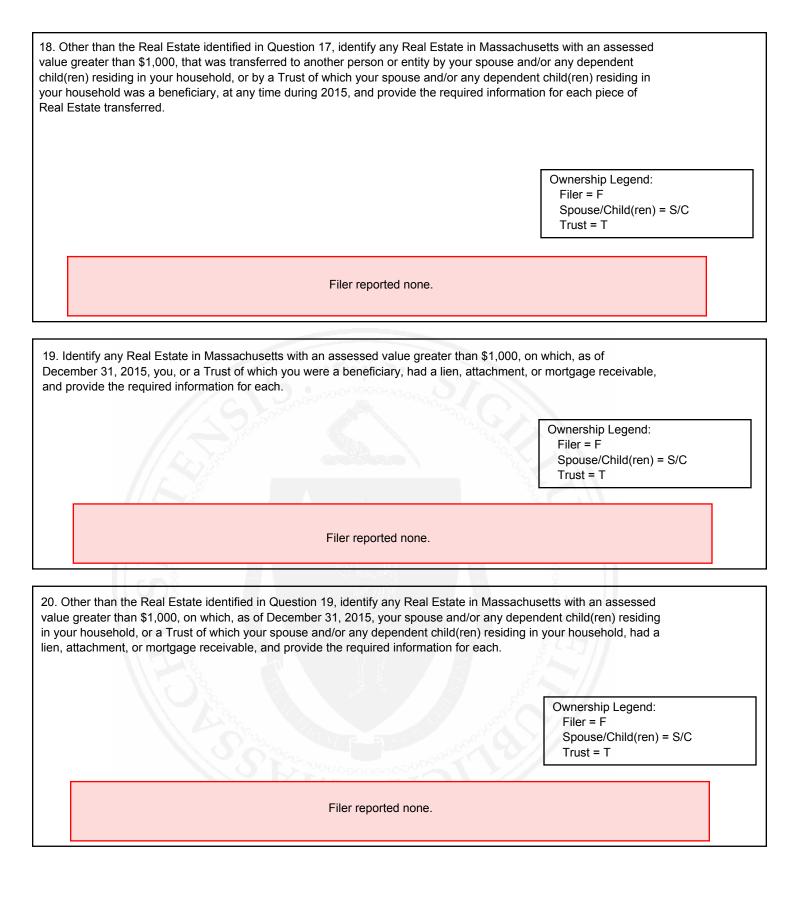
14. Other than the Real Estate identified in Question 13, identify all Real Estate in Massachusetts which your spouse and/or any dependent child(ren) residing in your household owned directly or through a Business as of December 31, 2015, and which had an assessed value greater than \$1,000, and provide the required information for each Real Estate holding.

Filer reported none.

Original Page 4 of 25

15. Identify any Trust of which you were a beneficiary and which owned Real Estate in December 31, 2015, and which had an assessed value greater than \$1,000, and provieach such Trust and Real Estate holding.	
	Beneficiary Legend:
	Filer = F
	Spouse/Child(ren) = S/C
	Trust = T
Filer reported page	
Filer reported none.	
16. Other than the Real Estate identified in Question 15, identify any Trust of which you	
dependent child(ren) residing in your household was a beneficiary and which owned Roof December 31, 2015, with an assessed value greater than \$1,000, and provide the residue of December 31, 2015, with an assessed value greater than \$1,000, and provide the residue of December 31, 2015, with an assessed value greater than \$1,000, and provide the residue of December 31, 2015, with an assessed value greater than \$1,000, and provide the residue of December 31, 2015, with an assessed value greater than \$1,000, and provide the residue of December 31, 2015, with an assessed value greater than \$1,000, and provide the residue of December 31, 2015, with an assessed value greater than \$1,000, and provide the residue of December 31, 2015, with an assessed value greater than \$1,000, and provide the residue of December 31, 2015, which are approximated to the provide that the provide t	
such Trust and Real Estate holding.	equired information for each
20000000000000000000000000000000000000	
Filer reported none.	
	5 F
	8 6 1
17. Identify any Real Estate in Massachusetts with an assessed value greater than \$1 another person or entity by you, or by a Trust of which you were a beneficiary, at any to the required information for each Book Estate halding.	
the required information for each Real Estate holding.	
	Ownership Logard
	Ownership Legend: Filer = F
	Spouse/Child(ren) = S/C
	Trust = T
	8. 7//
Filer reported none.	
	0//

Original Page 5 of 25



Original Page 6 of 25

NOTE: If the Filer answered "YES" to a Question in the following section, one or more columns in the Table for that Question may be blank in the following situations: If the Filer indicated that the name of the person and/or the trust was a family member's name or address, the Filer was not required to provide that name. If the Filer indicated that an address was a family member's address, the Filer was not required to provide that address.

#### **Financial Investments**

21. Identify every bond or other security issued by the Commonwealth of Massachusetts or its political subdivisions, agencies, and authorities, which you owned directly or through a Business, as of December 31, 2015, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each such investment.

Ownership Legend: Filer = F Spouse/Child(ren) = S/C Trust = T

Filer reported none.



Original Page 7 of 25

22. Other than the bonds or other securities identified in Question 21, identify every bond or other security issued by the Commonwealth of Massachusetts or its political subdivisions, agencies, and authorities, which your spouse and/or any dependent child(ren) residing in your household owned directly or through a Business, as of December 31, 2015, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each such investment.

Name of Bond/ Other Security	Description of Investment	
Rochester MA	Bond	
N. Danking M.	Paral	
N. Reading MA	Bond	
Seeking MA	Bond	
Ayer Shirley RSD MA	Bond	

23. Identify every bond or other security issued by the Commonwealth of Massachusetts or its political subdivisions, agencies, and authorities, which was owned as of December 31, 2015, by a Trust of which you were a beneficiary, whether directly or through a Business, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each such investment.

Beneficiary Legend:
Filer = F
Spouse/Child(ren) = S/C
Trust = T

Filer reported none.

Original Page 8 of 25

24. Identify every bond or other security issued by the Commonwealth of Massachusetts or its political subdivisions, agencies, and authorities, which was owned as of December 31, 2015, by a Trust of which your spouse and/or any dependent child(ren) residing in your household was a beneficiary, whether directly or through a Business, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each such investment.

ame of Trust	Name of Bond/ Other Security	<b>Description of Investment</b>
	Holyoke MA	Bond
	Bourne MA	Bond
	Groton-Dunstable	Bond
	UP Island S/D MA	Bond
	Bridgewater MA	Bond
	Raynham MA	Bond
	Greater Lawrence MA	Bond

Original Page 9 of 25

	Seekonk MA	Bond
	Ayer Shirley RSD MA	Bond
	Hudson MA	Bond
	Hyannis MA G/O	Bond
25. Identify every Financial Investment	that you owned directly or through a Busin	ness as of December 31, 2015, and
	at date greater than \$1,000, and provide the	
		# 1
		Ownership Legend:
		Filer = F Spouse/Child(ren) = S/C Trust = T

Original Page 10 of 25

Filer reported none.

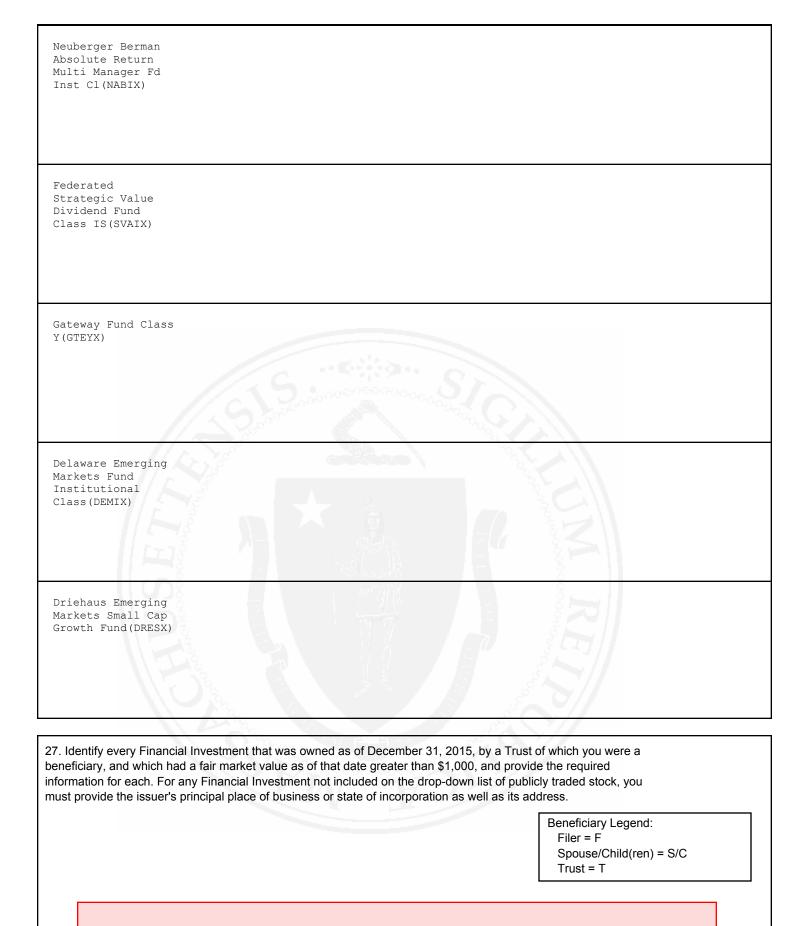
26. Other than the Financial Investments identified in Question 25, identify every Financial Investment that your spouse and/or any dependent child(ren) residing in your household owned directly or through a Business as of December 31, 2015, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each. For any Financial Investment not included on the drop-down list of publicly traded stock, you must provide the issuer's principal place of business or state of incorporation as well as its address.

Name of Issuer	Description of Investment	Principal Place of Business or Address State of Incorporation
Adams Diversified Equity Fund Inc. (ADX)		
Exxon Mobil Corporation Common Stock(XOM)	615	
Tompkins Financial Corporation Common Stock(TMP)		
First Trust 1910 Corporate Investment Grade Ptf Intermediate Srs 5(FCIVGX)		
iShares MSCI USA Minimum Volatility ETF(USMV)		H7 D

Original Page 11 of 25

Vanguard Value ETF - DNQ(VTV) iShares Core S&P Mid-Cap ETF(IJH) WisdomTree Europe Hedged Equity Fund (HEDJ) EuroPacific Growth Fund, Class F-2 Shs(AEPFX) Fidelity Advisor Real Estate Income Fund: Class I(FRIRX) Calamos Market Neutral Fd Cl I(CMNIX)

Original Page 12 of 25



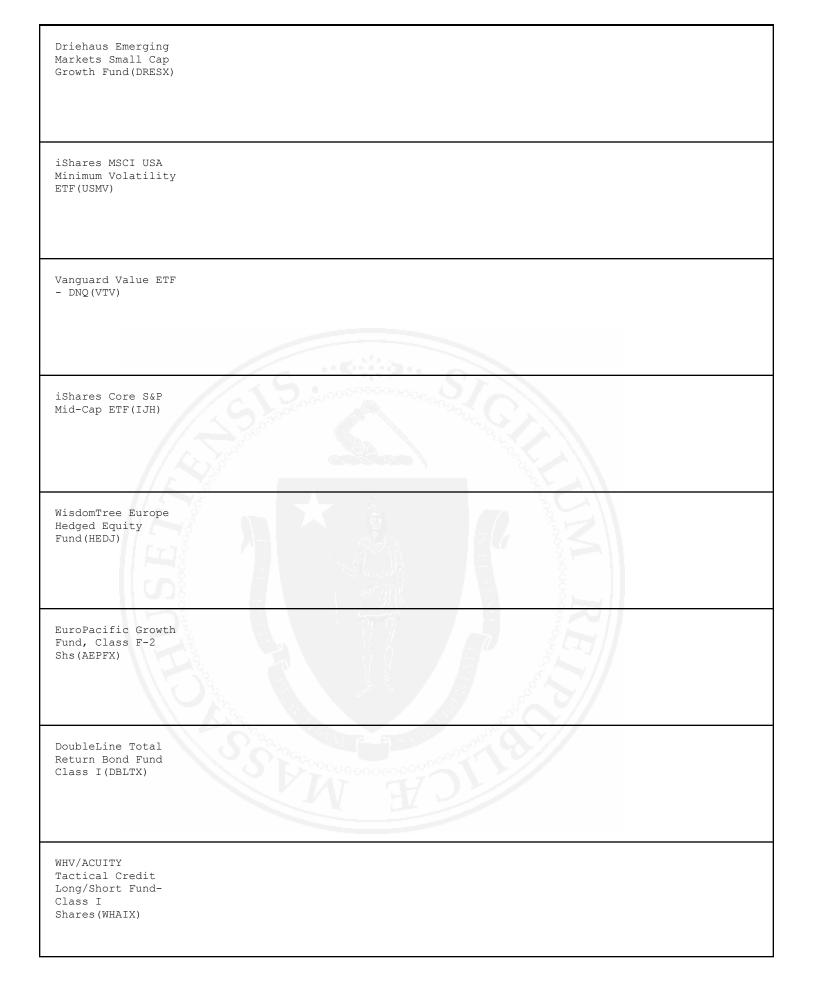
Original Page 13 of 25

Filer reported none.

28. Other than the Financial Investments identified in Question 27, identify every Financial Investment that was owned as of December 31, 2015, by a Trust of which your spouse and/or any dependent child(ren) residing in your household was a beneficiary, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each. For any Financial Investment not included on the drop-down list of publicly traded stock, you must provide the issuer's principal place of business or state of incorporation as well as its address.

Name of Issuer	Description of Investment	Principal Place of Business or State of Incorporation	Issuer Address	Name of Trust
Fidelity Advisor Real Estate Income Fund: Class I(FRIRX)				
Calamos Market Neutral Fd Cl I(CMNIX)	615	330900000000000000000000000000000000000		
Neuberger Berman Absolute Return Multi Manager Fd Inst Cl(NABIX)	AE			
Federated Strategic Value Dividend Fund Class IS(SVAIX)			R	
Gateway Fund Class Y(GTEYX)				
Delaware Emerging Markets Fund Institutional Class(DEMIX)		N W		

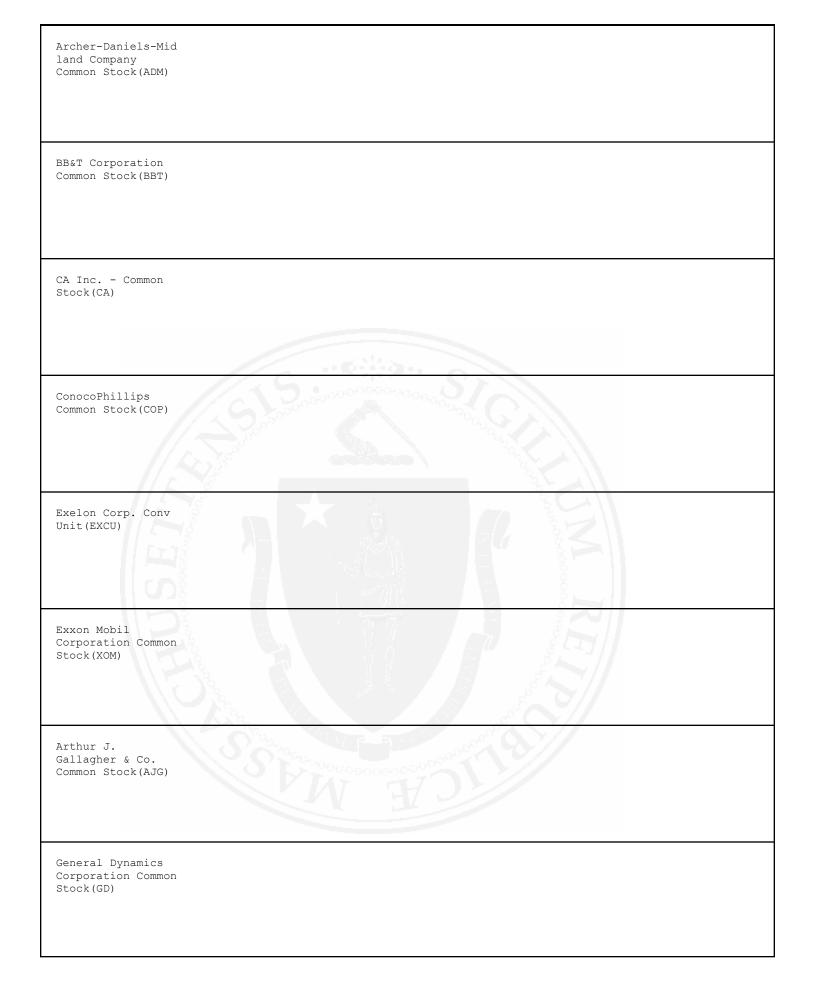
Original Page 14 of 25



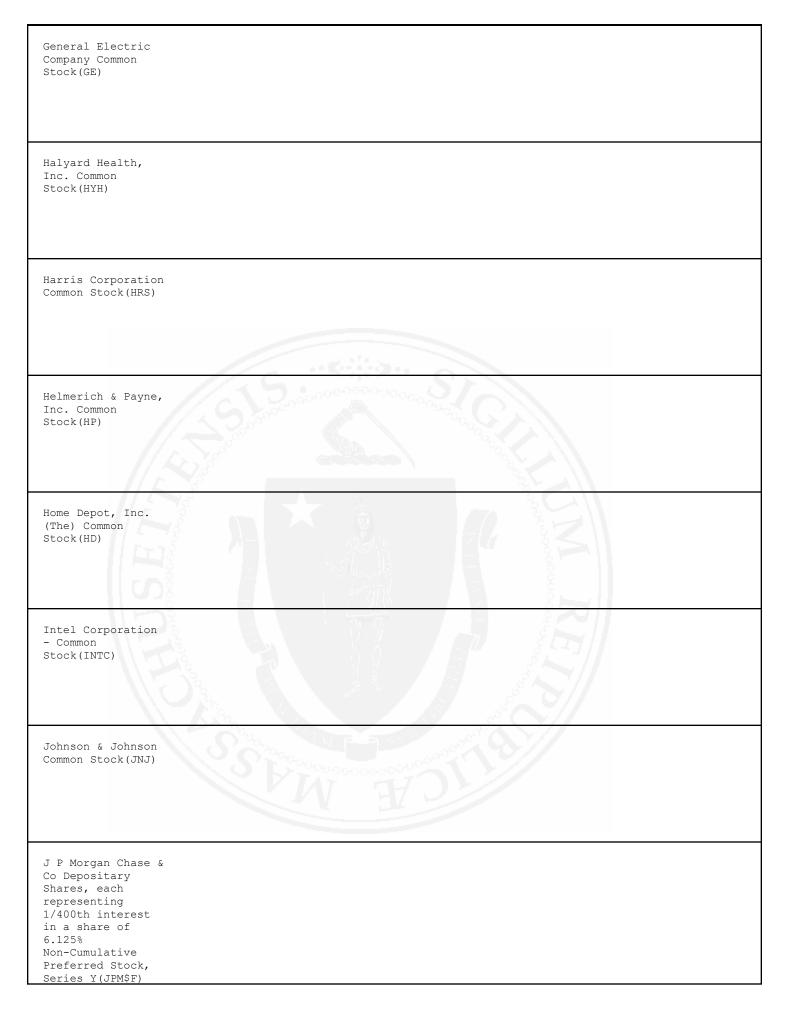
Original Page 15 of 25

Legg Mason BW Alternative Credit Fd Cl I(LMANX) Neuberger Berman Strategic Income Fund Institutional Class(NSTLX) PIMCO Income Fund Insti Class(PIMIX) BlackRock Global Long/Short Credit Fd Insti Shs (BGCIX) BlackRock Funds II, Strategic Income Opportunities Ptf Institutional (BSII X) AbbVie Inc. Common Stock (ABBV) Alerian MLP ETF(AMLP) Altria Group, Inc. (MO)

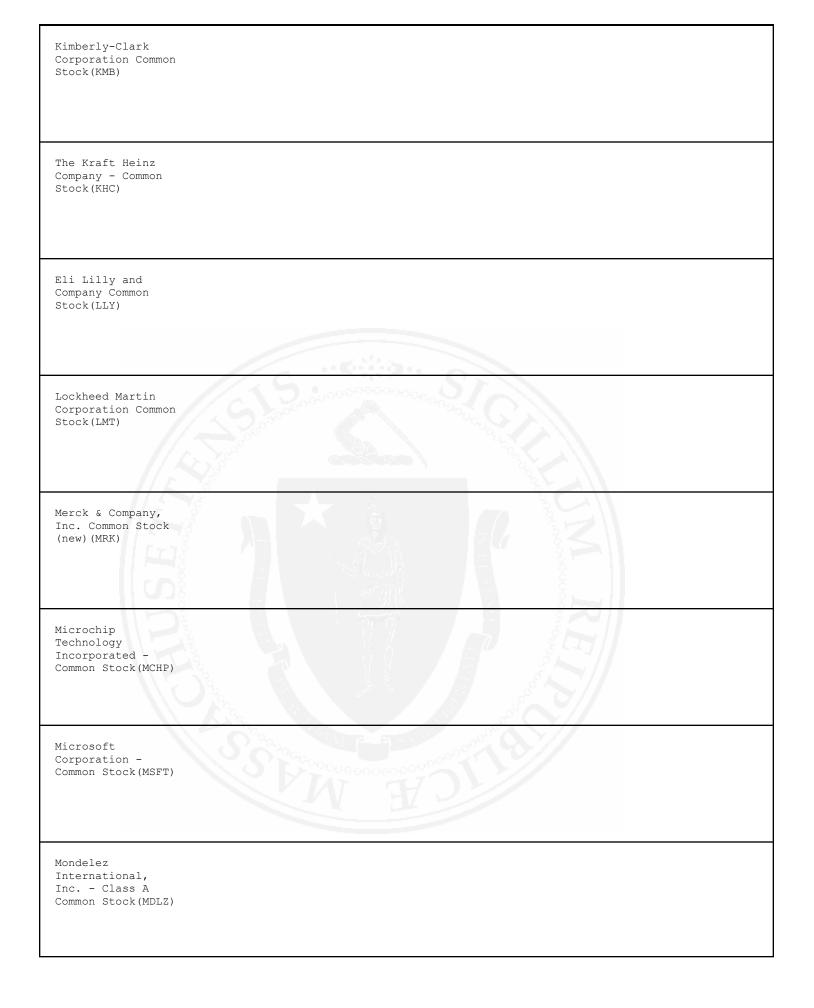
Original Page 16 of 25



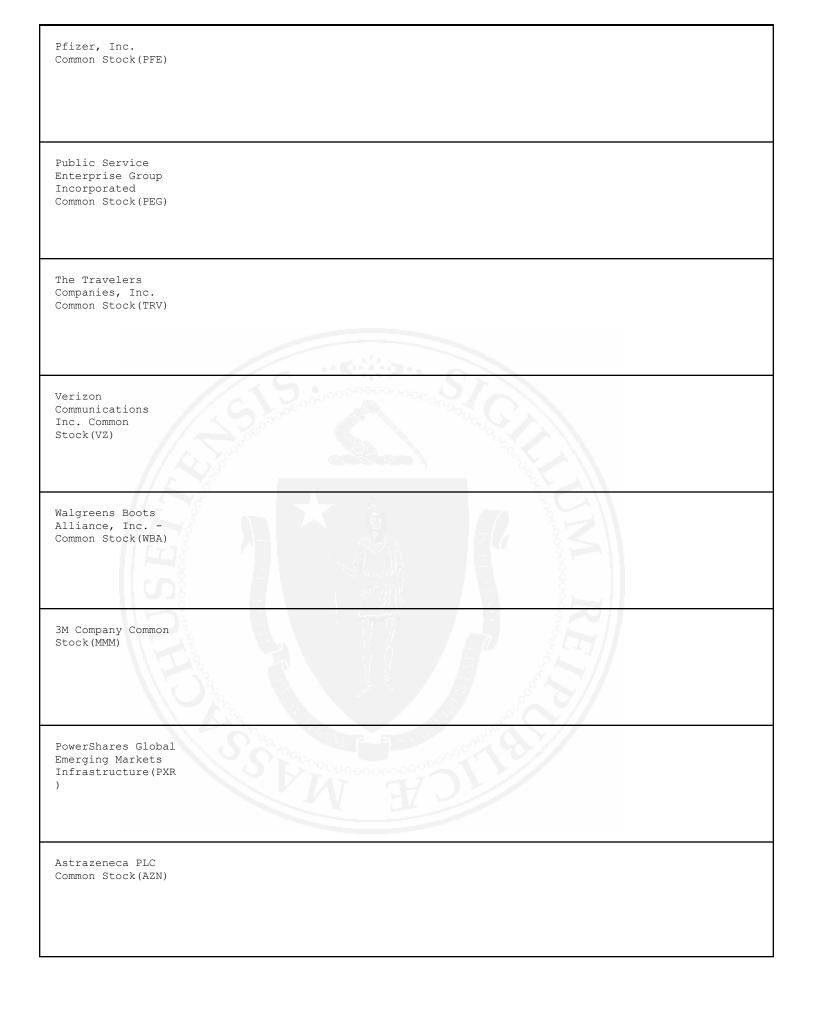
Original Page 17 of 25



Original Page 18 of 25



Original Page 19 of 25



Original Page 20 of 25

T. Rowe Price New America Growth Fd(PRWAX)

JPMorgan Small Cap Equity Select Share Class(VSEIX)

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### **Debts and Mortgages**

29. Identify all mortgages, including home equity and reverse mortgage loans, on your Primary Residence, on which more than \$1,000 was owed as of December 31, 2015, where the creditor (person who loaned you the money) is NOT, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative, and provide the required information for each.

Creditor Name	Creditor Address	Mortgage Term	Interest Rate (%)	Termination Year
Webster Bank	P.O. Box 30, Waterbury, CT, 06144 1809, US	30 yrs.	3.875	2045
11				

30. Did you have a mortgage, including a home equity or reverse mortgage loan, on any property OTHER than your Primary Residence, on which more than \$1,000 was owed as of December 31, 2015, which you were obligated to pay and where the creditor (person who loaned you the money) is NOT, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative?

Obligor Legend:
Filer = F
Spouse/Child(ren) = S/C
Trust = T

Filer reported none.

Original Page 21 of 25

31. Identify all mortgages, including home equity and reverse mortgage loans, OTHER than any mortgage on your Primary Residence, on which more than \$1,000 was owed as of December 31, 2015, and which your spouse and/or any dependent child(ren) residing in your household were obligated to pay and where the creditor (person who loaned your spouse and/or dependent child(ren) residing in your household the money) is NOT, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative, and provide the required information for each.

Filer reported none.

32. Identify all non-mortgage debts of more than \$1,000 that you owed as of December 31, 2015, IF the person to whom you owed the debt is NOT, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative, and provide the required information for each.

Filer reported none.

33. Identify all non-mortgage debts of more than \$1,000 that your spouse and/or any dependent child(ren) residing in your household owed as of December 31, 2015, IF the person to whom your spouse and/or any dependent child(ren) residing in your household owed the debt is NOT, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative, and provide the required information for each.

Interest Rate(%)	Date RayPayment Due	Loan Collateral	Creditor Name	Creditor Address
4.5	06/22/2016	On Demand - Stocks	First Clearing house	10700 Wheat Drive, Glen Allen, PA, 02510, US
4.0	06/22/2016	On Demand - Stocks	Tompkins Investment Services	P.O. Box 6437, Ithaca, NY, 14851 6437, US

34. Identify non-mortgage debts of more than \$1,000 which you owed and which were forgiven at any time during 2015, EXCLUDING debts forgiven by a person who is, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative, and provide the required information for each.

Filer reported none.

Original Page 22 of 25

residin	entify non-mortgage debts of more than \$1,000 that were owed by your spouse and/or any dependent child(ren) ag in your household and were forgiven at any time during 2015, EXCLUDING debts forgiven by a person who blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great grandchild, aunt, uncle, brother, niece, nephew, or the spouse of any such relative, and provide the required information for each.	
	Filer reported none.	
Reim	bursments, Gifts, and Honoraria	
legisla	entify any Reimbursements for expenses in excess of \$100 provided to you at any time during 2015 by any tive agent or executive agent (lobbyist) or any person having a direct interest in a matter before the nmental body by which you were or are employed.	
	Filer reported none.	
Questi	dentify any Reimbursements for expenses in excess of \$100, other than those identified in response to ion 36, you received at any time during 2015 from any person having a direct interest in a matter before the nmental body by which you were or are now employed.	
ı		
	Filer reported none.	
	entify any Reimbursements for expenses in excess of \$100 provided to your spouse and/or any dependent en) residing in your household at any time during 2015 by any legislative agent or executive agent (lobbyist).	
	Filer reported none.	

Original Page 23 of 25

37.a Identify any Reimbursements for expenses in excess of \$100, other than those identified in response to Question 36, provided to your spouse and/or dependent child(ren) residing in your household at any time during 2015 by any person having a direct interest in a matter before the governmental body by which you were or are now employed.				
	Filer reported none.			
having	entify any Gifts and/or Honoraria worth more than \$100 provided to you at any time during 2015 by any person g a direct interest in a matter before a governmental body by which you were or are now employed, and provide quired information for each.			
	Filer reported none.			
child(r	entify any Gifts and/or Honoraria worth more than \$100 provided to your spouse and/or any dependent ren) residing in your household at any time during 2015 by any person having a direct interest in a matter before overnmental body by which you were or are now employed, and provide the required information for each.			
	Filer reported none.			
Questi family	E: If the Filer answered "YES" to a Question in the following section, one or more columns in the Table for that tion may be blank in the following situations: If the Filer indicated that the name of the person and/or the trust was a member's name or address, the Filer was not required to provide that name. If the Filer indicated that an address family member's address, the Filer was not required to provide that address.			
40. E	Did you, your spouse and/or any dependent child(ren) residing in your household during 2015, own anything that have not reported on this Statement of Financial Interests because it was held in a Blind Trust during 2015?			
	Filer reported none.			

Original Page 24 of 25



I, James G Collins, certify under the pains and penalties of perjury that:

I made a diligent effort to obtain the required information concerning myself and my spouse and/or any dependent child(ren); and

The information provided on this form is true and complete, to the best of my knowledge.

Submitted: 06/20/2016

Did your spouse and/or any dependent child(ren) residing in your household decline to disclose information which is necessary to complete this form fully and accurately?

The following are the specific Question(s) which I decline to answer in whole or in part because I assert that the information is privileged by law:

Please explain the basis of your claim of privilege:

#### IMPORTANT:

- 1. No DESIGNATED PUBLIC EMPLOYEE shall be allowed to continue in their duties or to receive compensation from public funds unless they have filed a Statement of Financial Interests with the State Ethics Commission.
- 2. The State Ethics Commission does not accept a faxed or emailed copy of a Statement of Financial Interests for filing.
- 3. If you were required to amend your Statement of Financial Interests last year, we encourage you to carefully review your 2015 filling before submitting.

Original Page 25 of 25