

Statement of Financial Interests for 2017



CONTACT INFORMATION

Last Name:

First Name and Middle initial:

Zakim

Josh

Work Phone Number:

Other Phone:

(617) 209-9316

Work Email:

Other Email:

dws@joshzakim.com

Primary Residence Address:

Redacted

Contact mailing address

Redacted

You indicated that you did have a spouse residing in your household during 2017.

You indicated that you had no dependent child(ren) residing in your household at any time during 2017.

Candidacy and Public Service

1. If you are a candidate for public office, please indicate the public office you are seeking.

Office

Secretary of State

2. Identify the position you now hold, or have held, which requires you to file a Statement of Financial Interests and provide the required information for that position. If you held more than one public position which requires you to file, identify each position.

Filer reported none.

3. Other than the position(s) identified in Question 2, identify every public position you held, and every public agency to which you provided services, at any time during 2017, whether compensated or not, and whether full- or part-time.

Public Agency	Public Agency Name	Address	Position	Amount of Income	Consultant / Contractor?	Services Provided
Municipal	City of Boston	One City Hall Square, Boston, MA, 02201, US	City Councilor	\$60,001 to 100,000	N/A	

4. Identify every public position your spouse and/or any dependent child(ren) residing in your household held, and every public agency to which your spouse and/or any dependent child(ren) residing in your household provided services, at any time during 2017, whether compensated or not, and whether full- or part-time.

Filer reported none.

Private Employment and Leaves of Absence

5. Identify every Business for which you worked as an employee, manager, consultant, or independent contractor at any time during 2017, whether compensated or not, and whether full- or part-time, and provide the required information for each.

Business Name	Self-employed	Address	Position	Income
Posternak Blankstein & Lund LLP	N/A	800 Boylston Street, 32nd Floor, Boston, MA, 02199, US	Employee	\$60,001 to 100,000

6. Identify any Business from which you were on a leave of absence at any time during 2017, and provide its address.

Filer reported none.

7. Identify every Business for which your spouse and/or any dependent child(ren) residing in your household worked as an employee, manager, consultant, or independent contractor at any time during 2017, whether compensated or not, and whether full- or part-time, and provide the required information for each.

Business Name	Self-employed	Address	Position
Isaacson, Miller Boston	N/A	263 Summer Street, 7th Floor, Boston, MA, 02210, US	Employee

Business Ownership and Transfers

8. Identify each Business of which you were, in whole or in part, an owner, partner, or proprietor, or in which you owned more than 1% of any class of the outstanding stock or similar ownership interest, at any time during 2017, and provide the required information for each.

Business Name	Address	Percentage of stock	Income
Jay Zee Enterprises, LLC	177 COMMONWEALTH AVENUE UNIT 2, Boston, MA, 02116, US	100%	\$5,001 to 10,000

9. Identify each Business of which your spouse and/or any dependent child(ren) residing in your household was, in whole or in part, an owner, partner, or proprietor, or in which your spouse and/or any dependent child(ren) residing in your household owned more than 1% of any class of the outstanding stock or similar ownership interest, at any time during 2017, and provide the required information for each.

Filer reported none.

10. Identify any stock or similar ownership interest in a Business which you transferred to your spouse and/or any dependent child(ren) residing in your household during 2017, and provide the required information for each.

Filer reported none.

Service as an Officer, Director, or Trustee

11. Identify any Business in which you served as an officer, director, or trustee, at any time during 2017, whether compensated or not, and whether full- or part-time, and provide the required information for each.

Business Name	Address	Position	Income
Lenny Zakim Fund	33 Arch Street, 26th Floor, Boston, MA, 02110, US	Director	N/A
Mission Hill Neighborhood Housing Services	One Brigham Circle, 1620 Tremont Street, Boston, MA, 02120, US	Director	N/A

12. Identify any Business in which your spouse and/or any dependent child(ren) residing in your household served as an officer, director, or trustee, at any time during 2017, whether compensated or not, and whether full- or part-time, and provide the required information for each.

Business Name	Address	Position
Planned Parenthood Advocacy Fund of Massachusetts	1055 Commonwealth Avenue, Boston, MA, 02215, US	Director

NOTE: If the Filer answered “YES” to a Question in the following section, one or more columns in the Table for that Question may be blank in the following situations: If the Filer indicated that the name of the person and/or the trust was a family member’s name or address, the Filer was not required to provide that name. If the Filer indicated that an address was a family member’s address, the Filer was not required to provide that address.

Real Estate

13. Identify all Real Estate in Massachusetts which you owned directly or through a Business as of December 31, 2017, and which had an assessed value greater than \$1,000, and provide the required information for each Real Estate holding.

Ownership Legend:
Filer = F
Spouse/Child(ren) = S/C
Trust = T

Property Address	Owner	Transferred?	Transferor Name	Transferor Address	Assessed Value
Redacted	F	No			\$100,001 or more
309 Summit Avenue, Boston, MA, 02135, US	F	No			\$100,001 or more

14. Other than the Real Estate identified in Question 13, identify all Real Estate in Massachusetts which your spouse and/or any dependent child(ren) residing in your household owned directly or through a Business as of December 31, 2017, and which had an assessed value greater than \$1,000, and provide the required information for each Real Estate holding.

Filer reported none.

15. Identify any Trust of which you were a beneficiary and which owned Real Estate in Massachusetts as of December 31, 2017, with an assessed value greater than \$1,000, and provide the required information for each such Trust and Real Estate holding.

Beneficiary Legend:
Filer = F
Spouse/Child(ren) = S/C
Trust = T

Filer reported none.

16. Other than the Real Estate identified in Question 15, identify any Trust of which your spouse and/or any dependent child(ren) residing in your household was a beneficiary and which owned Real Estate in Massachusetts as of December 31, 2017, with an assessed value greater than \$1,000, and provide the required information for each such Trust and Real Estate holding.

Filer reported none.

17. Identify any Real Estate in Massachusetts with an assessed value greater than \$1,000, that was transferred to another person or entity by you, or by a Trust of which you were a beneficiary, at any time during 2017, and provide the required information for each Real Estate holding.

Ownership Legend:
Filer = F
Spouse/Child(ren) = S/C
Trust = T

Filer reported none.

18. Other than the Real Estate identified in Question 17, identify any Real Estate in Massachusetts with an assessed value greater than \$1,000, that was transferred to another person or entity by your spouse and/or any dependent child(ren) residing in your household, or by a Trust of which your spouse and/or any dependent child(ren) residing in your household was a beneficiary, at any time during 2017, and provide the required information for each piece of Real Estate transferred.

Ownership Legend:
Filer = F
Spouse/Child(ren) = S/C
Trust = T

Filer reported none.

19. Identify any Real Estate in Massachusetts with an assessed value greater than \$1,000, on which, as of December 31, 2017, you, or a Trust of which you were a beneficiary, had a lien, attachment, or mortgage receivable, and provide the required information for each.

Ownership Legend:
Filer = F
Spouse/Child(ren) = S/C
Trust = T

Filer reported none.

20. Other than the Real Estate identified in Question 19, identify any Real Estate in Massachusetts with an assessed value greater than \$1,000, on which, as of December 31, 2017, your spouse and/or any dependent child(ren) residing in your household, or a Trust of which your spouse and/or any dependent child(ren) residing in your household, had a lien, attachment, or mortgage receivable, and provide the required information for each.

Ownership Legend:
Filer = F
Spouse/Child(ren) = S/C
Trust = T

Filer reported none.

NOTE: If the Filer answered “YES” to a Question in the following section, one or more columns in the Table for that Question may be blank in the following situations: If the Filer indicated that the name of the person and/or the trust was a family member’s name or address, the Filer was not required to provide that name. If the Filer indicated that an address was a family member’s address, the Filer was not required to provide that address.

Financial Investments

21. Identify every bond or other security issued by the Commonwealth of Massachusetts or its political subdivisions, agencies, and authorities, which you owned directly or through a Business, as of December 31, 2017, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each such investment.

Ownership Legend:

Filer = F

Spouse/Child(ren) = S/C

Trust = T

Filer reported none.

22. Other than the bonds or other securities identified in Question 21, identify every bond or other security issued by the Commonwealth of Massachusetts or its political subdivisions, agencies, and authorities, which your spouse and/or any dependent child(ren) residing in your household owned directly or through a Business, as of December 31, 2017, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each such investment.

Filer reported none.

23. Identify every bond or other security issued by the Commonwealth of Massachusetts or its political subdivisions, agencies, and authorities, which was owned as of December 31, 2017, by a Trust of which you were a beneficiary, whether directly or through a Business, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each such investment.

Beneficiary Legend:

Filer = F

Spouse/Child(ren) = S/C

Trust = T

Filer reported none.

24. Identify every bond or other security issued by the Commonwealth of Massachusetts or its political subdivisions, agencies, and authorities, which was owned as of December 31, 2017, by a Trust of which your spouse and/or any dependent child(ren) residing in your household was a beneficiary, whether directly or through a Business, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each such investment.

Filer reported none.



25. Identify every Financial Investment that you owned directly or through a Business as of December 31, 2017, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each.

Ownership Legend:
 Filer = F
 Spouse/Child(ren) = S/C
 Trust = T

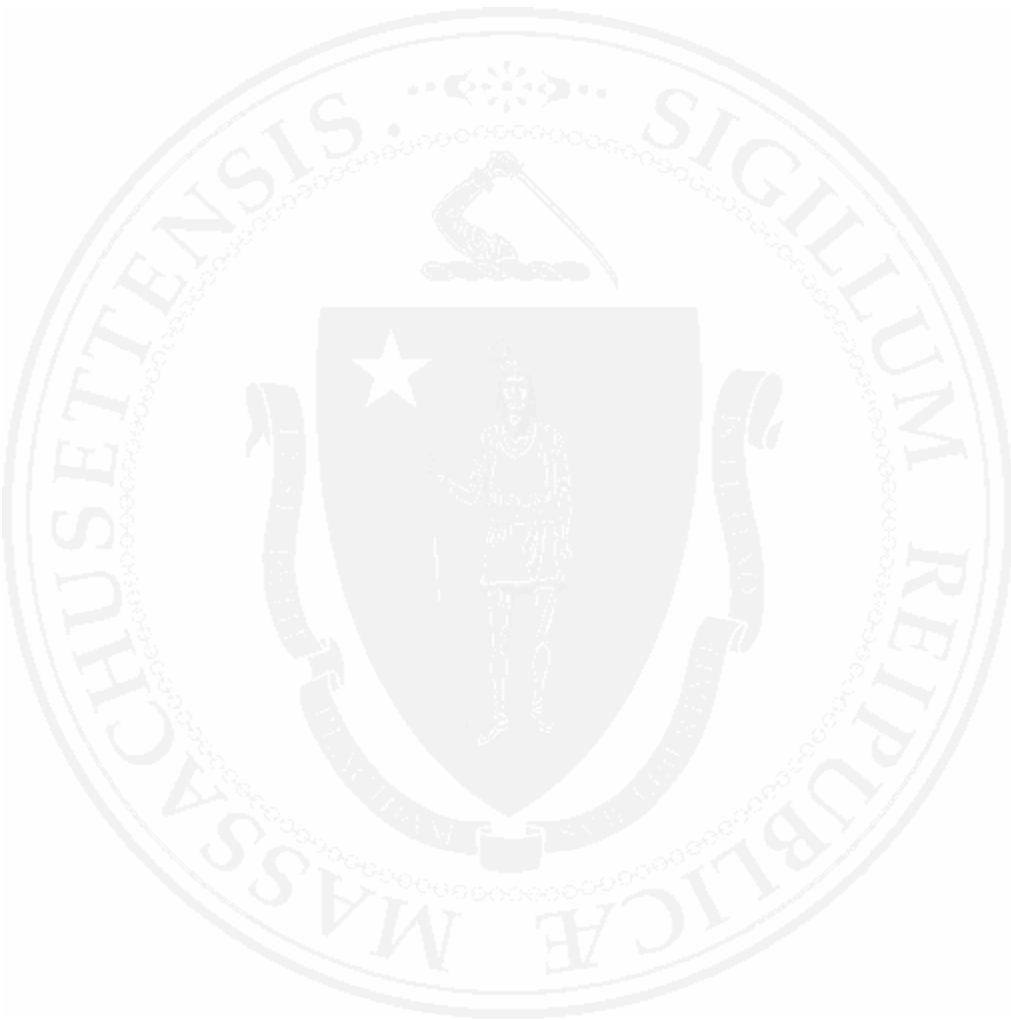
Name of Issuer	Owner	Description of Investment	Principal Place of Business or State of Incorporation	Address
iShares Russell 1000 Growth ETF(IWF)	F			
iShares Russell 1000 Value ETF(IWD)	F			
iShares TIPS Bond ETF(TIP)	F			
BlackRock Funds Low Duration Portfolio Institutional(BF MSX)	F			
Cambiar Funds International Equity Fund Investor Class (CAMIX)	F			
Causeway Emerging Markets Fund Insti Cl(CEMIX)	F			

Edgewood Growth Fund Insti (EGFIX)	F
Harding Loevner Fund, Inc. International Eq Pt (HLMIX)	F
The Hartford MidCap Fund Class I (HFMIX)	F
The Hartford SmallCap Growth Fund Class I (HSLIX)	F
JPMorgan Value Advantage Select (JVASX)	F
Loomis Sayles Funds - Growth Fund Class Y (Trust II) (LSGRX)	F
Metropolitan West Total Return Bond Fd Cl I (MWTIX)	F
Neuberger Berman Intrinsic Value Insti (NINLX)	F

Oakmark International Fund Cl I (OAKIX)	F
PIMCO Short-Term Fund P Class (PTSPX)	F
WFA Special Mid Cap Value Fund Insti Class (WFMIX)	F
PIMCO Enhanced Short Maturity Active Exchange-Traded Fund (MINT)	F
SPDR Gold Trust (GLD)	F
BlackRock Global Long/Short Equity Fund - Institutional Shares (BDMIX)	F
BlackRock Global Long/Short Credit Fd Insti Shs (BGCIX)	F
WisdomTree Japan Hedged Equity Fund (DXJ)	F

Eaton Vance
Income Fd of
Boston Insti
Shs (EIBIX)

F



26. Other than the Financial Investments identified in Question 25, identify every Financial Investment that your spouse and/or any dependent child(ren) residing in your household owned directly or through a Business as of December 31, 2017, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each. For any Financial Investment not included on the drop-down list of publicly traded stock, you must provide the issuer's principal place of business or state of incorporation as well as its address.

Name of Issuer	Description of Investment	Principal Place of Business or State of Incorporation	Address
First Trust Utilities AlphaDEX Fund(FXU)			
FlexShares Quality Dividend Index Fund(QDF)			
Goldman Sachs ActiveBeta U.S. Large Cap Equity ETF(GSLC)			
iShares Core MSCI EAFE ETF(IEFA)			
iShares Core MSCI Emerging Markets ETF(IEMG)			

iShares International Select Dividend ETF(IDV)
iShares MSCI All Country Asia ex Japan Index Fund(AAXJ)
iShares MSCI Australia Index Fund(EWA)
iShares MSCI Canada Index Fund(EWC)
iShares MSCI EAFE Growth ETF(EFG)
iShares MSCI EAFE Value ETF(EFV)

iShares U.S. Financial Services ETF(IYG)		
PowerShares Aerospace & Defense (PPA)		
PowerShares FTSE RAFI Emerging Markets Portfolio (PXH)		
PowerShares QQQ Trust, Series 1 (QQQ)		
iShares Core S&P 500 ETF (IVV)		
SPDR NYSE TECHNOLOGY ETF (XNTK)	Common Stock MA	One Lincoln Street, Boston, MA, 02111, US

SPDR S&P Capital
Markets ETF (KCE)

SPDR Select Sector
Fund -
Financial (XLF)

WisdomTree Europe
Hedged Equity
Fund (HEDJ)

WisdomTree Japan
SmallCap Fund (DFJ)

WisdomTree
International
Hedged Quality
Dividend Growth
Fund (IHDG)

27. Identify every Financial Investment that was owned as of December 31, 2017, by a Trust of which you were a beneficiary, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each. For any Financial Investment not included on the drop-down list of publicly traded stock, you must provide the issuer's principal place of business or state of incorporation as well as its address.

Beneficiary Legend:

Filer = F

Spouse/Child(ren) = S/C

Trust = T

Name of Issuer	Beneficiary	Description of Investment	Principal Place of Business or State of Incorporation	Issuer Address	Name of Trust
Abbott Laboratories Common Stock (ABT)	F				Joshua Zakim 2011 Irrevocable Trust
Alphabet Inc. - Class C Capital Stock (GOOG)	F				Joshua Zakim 2011 Irrevocable Trust
Black Knight Financial Services, Inc. Class A Common Stock (BKFS)	F				Joshua Zakim 2011 Irrevocable Trust
Brunswick Corporation Common Stock (BC)	F				Joshua Zakim 2011 Irrevocable Trust
Celgene Corporation - Common Stock (CELG)	F				Joshua Zakim 2011 Irrevocable Trust
Cognizant Technology Solutions Corporation - Class A Common Stock (CTSH)	F				Joshua Zakim 2011 Irrevocable Trust

Delta Air Lines, Inc. Common Stock (DAL)	F				Joshua Zakim 2011 Irrevocable Trust
HD Supply Holdings, Inc. - Common Stock (HDS)	F				Joshua Zakim 2011 Irrevocable Trust
Jeld-Wen Holding Inc	F	Common Stock	NC	2645 Silver Crescent Drive, Charlotte, NC, 28273, US	Joshua Zakim 2011 Irrevocable Trust
Microsoft Corporation - Common Stock (MSFT)	F				Joshua Zakim 2011 Irrevocable Trust
Nike, Inc. Common Stock (NKE)	F				Joshua Zakim 2011 Irrevocable Trust
QUALCOMM Incorporated - Common Stock (QCOM)	F				Joshua Zakim 2011 Irrevocable Trust
Quanta Services, Inc. Common Stock (PWR)	F				Joshua Zakim 2011 Irrevocable Trust

Southwest Airlines Company Common Stock (LUV)	F				Joshua Zakim 2011 Irrevocable Trust
Ulta Salon, Cosmetics & Fragrance, Inc. - Common Stock (ULTA)	F				Joshua Zakim 2011 Irrevocable Trust
Westrock Company Common Stock (WRK)	F				Joshua Zakim 2011 Irrevocable Trust
Zimmer Biomet Holdings, Inc. Common Stock (ZBH)	F				Joshua Zakim 2011 Irrevocable Trust
Broadcom Corporation - Class A Common Stock (BRCM)	F				Joshua Zakim 2011 Irrevocable Trust
Airbus SE	F	Common Stock	The Netherlands	Mendelweg 30, 2333 CS Leiden	Joshua Zakim 2011 Irrevocable Trust
Brookfield Asset Management Inc. Common Stock (BAM)	F				Joshua Zakim 2011 Irrevocable Trust

Royal Dutch Shell PLC Royal Dutch Shell American Depository Shares (Each representing two Class A Ordinary Shares) (RDS.A)	F	Joshua Zakim 2011 Irrevocable Trust
Apple Inc. - Common Stock (AAPL)	F	Joshua Zakim 2011 Irrevocable Trust

28. Other than the Financial Investments identified in Question 27, identify every Financial Investment that was owned as of December 31, 2017, by a Trust of which your spouse and/or any dependent child(ren) residing in your household was a beneficiary, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each. For any Financial Investment not included on the drop-down list of publicly traded stock, you must provide the issuer's principal place of business or state of incorporation as well as its address.

Filer reported none.

NOTE: If the Filer answered "YES" to a Question in the following section, one or more columns in the Table for that Question may be blank in the following situations: If the Filer indicated that the name of the person and/or the trust was a family member's name or address, the Filer was not required to provide that name. If the Filer indicated that an address was a family member's address, the Filer was not required to provide that address.

Debts and Mortgages

29. Identify all mortgages, including home equity and reverse mortgage loans, on your Primary Residence, on which more than \$1,000 was owed as of December 31, 2017, where the creditor (person who loaned you the money) is NOT, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative, and provide the required information for each.

Creditor Name	Creditor Address	Mortgage Term	Interest Rate (%)	Termination Year
US Alliance FCU	411 Theodore Fremd Avenue, Suite 350, Rye, NY, 10580, US	30 years	2.875	2046

30. Did you have a mortgage, including a home equity or reverse mortgage loan, on any property OTHER than your Primary Residence, on which more than \$1,000 was owed as of December 31, 2017, which you were obligated to pay and where the creditor (person who loaned you the money) is NOT, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative?

Obligor Legend:
 Filer = F
 Spouse/Child(ren) = S/C
 Trust = T

Real Estate Address	Obligor	Original Amount	Outstanding Amount	Mortgage Term	Interest Rate (%)	Termination Year	Creditor Name	Creditor Address
309 Summit Avenue, Boston, MA, 02135, US	F	\$100,001 or more	\$100,001 or more	30 years	3.875	2042	Mr. Cooper	8950 Cypress Waters Blvd, Coppell, TX, 75019, US

31. Identify all mortgages, including home equity and reverse mortgage loans, OTHER than any mortgage on your Primary Residence or any mortgage identified in response to Question 30, on which more than \$1,000 was owed as of December 31, 2017, and which your spouse and/or any dependent child(ren) residing in your household were obligated to pay and where the creditor (person who loaned the money to your spouse and/or dependent child(ren) residing in your household) is NOT, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative, and provide the required information for each.

Filer reported none.

32. Identify all non-mortgage debts of more than \$1,000 that you owed as of December 31, 2017, IF the person to whom you owed the debt is NOT, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative, and provide the required information for each.

Filer reported none.

33. Identify all non-mortgage debts of more than \$1,000 that your spouse and/or any dependent child(ren) residing in your household owed as of December 31, 2017, IF the person to whom your spouse and/or any dependent child(ren) residing in your household owed the debt is NOT, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative, and provide the required information for each.

Filer reported none.

34. Identify non-mortgage debts of more than \$1,000 which you owed and which were forgiven at any time during 2017, EXCLUDING debts forgiven by a person who is, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative, and provide the required information for each.

Filer reported none.

35. Identify non-mortgage debts of more than \$1,000 that were owed by your spouse and/or any dependent child(ren) residing in your household and were forgiven at any time during 2017, EXCLUDING debts forgiven by a person who is, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative, and provide the required information for each.

Filer reported none.

Reimbursements, Gifts, and Honoraria

36. Identify any Reimbursements for expenses in excess of \$100 provided to you at any time during 2017 by any legislative agent or executive agent (lobbyist).

Filer reported none.

36.a Identify any Reimbursements for expenses in excess of \$100, other than those identified in response to Question 36, you received at any time during 2017 from any person having a direct interest in legislation, legislative action, or a matter before a governmental body.

Filer reported none.

37. Identify any Reimbursements for expenses in excess of \$100 provided to your spouse and/or any dependent child(ren) residing in your household at any time during 2017 by any legislative agent or executive agent (lobbyist).

Filer reported none.

37.a Identify any Reimbursements for expenses in excess of \$100, other than those identified in response to Question 37, provided to your spouse and/or dependent child(ren) residing in your household at any time during 2017 by any person having a direct interest in legislation, legislative action, or a matter before a governmental body.

Filer reported none.

38. Identify any Gifts and/or Honoraria worth more than \$100 provided to you at any time during 2017 by any person having a direct interest in legislation, legislative action, or a matter before a governmental body.

Filer reported none.

39. Identify any Gifts and/or Honoraria worth more than \$100 provided to your spouse and/or any dependent child(ren) residing in your household at any time during 2017 by any person having a direct interest in legislation, legislative action, or a matter before a governmental body.

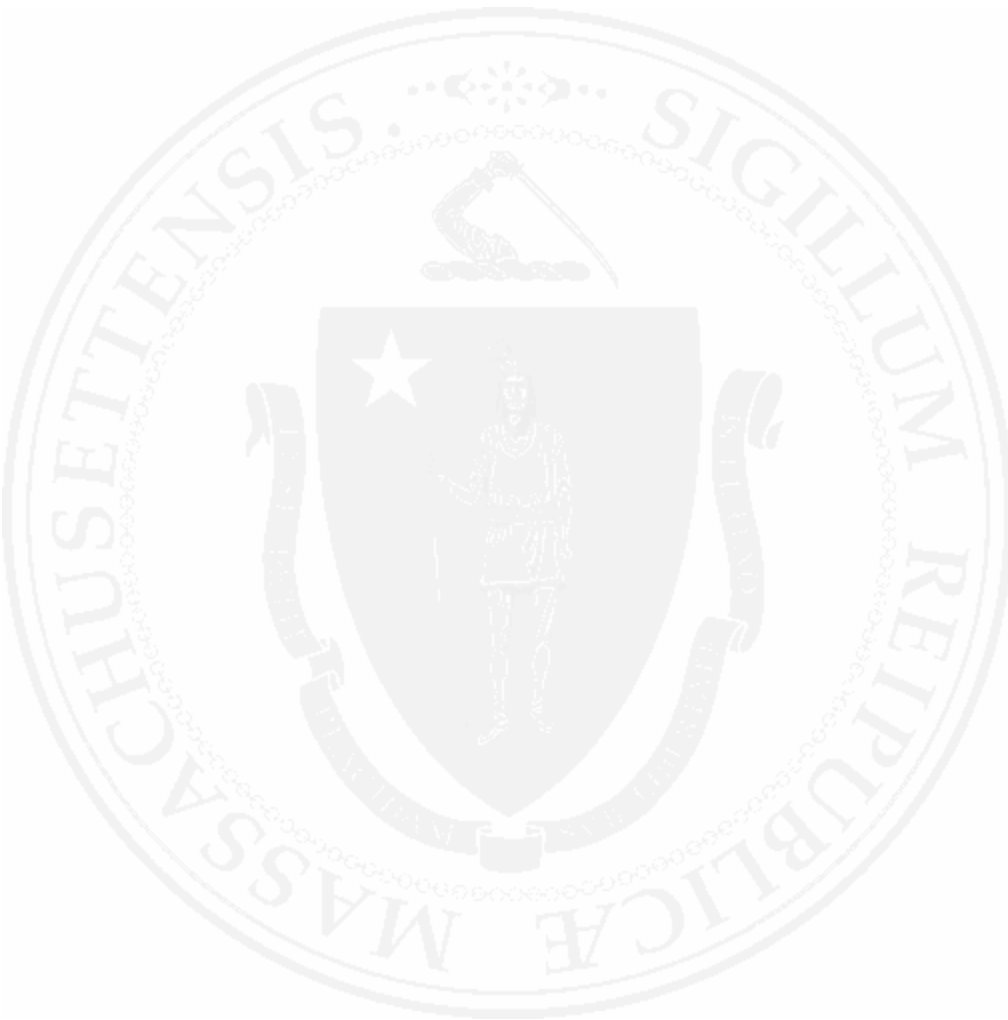
Filer reported none.

NOTE: If the Filer answered “YES” to a Question in the following section, one or more columns in the Table for that Question may be blank in the following situations: If the Filer indicated that the name of the person and/or the trust was a family member’s name or address, the Filer was not required to provide that name. If the Filer indicated that an address was a family member’s address, the Filer was not required to provide that address.

Blind Trusts

40. Did you, your spouse and/or any dependent child(ren) residing in your household during 2017, own anything that you have not reported on this Statement of Financial Interests because it was held in a Blind Trust during 2017?

Filer reported none.



CERTIFICATION

I, Josh Zakim, certify under the pains and penalties of perjury that:

I made a diligent effort to obtain the required information concerning myself and my spouse and/or any dependent child(ren); and

The information provided on this form is true and complete, to the best of my knowledge.

Submitted: 05/20/2018

Did your spouse and/or any dependent child(ren) residing in your household decline to disclose information which is necessary to complete this form fully and accurately?

The following are the specific Question(s) which I decline to answer in whole or in part because I assert that the information is privileged by law:

Please explain the basis of your claim of privilege:

IMPORTANT:

1. No DESIGNATED PUBLIC EMPLOYEE shall be allowed to continue in their duties or to receive compensation from public funds unless they have filed a Statement of Financial Interests with the State Ethics Commission.
2. The State Ethics Commission does not accept a faxed or emailed copy of a Statement of Financial Interests for filing.
3. If you were required to amend your Statement of Financial Interests last year, we encourage you to carefully review your 2017 filing before submitting.