

# Statement of Financial Interests for 2022



## CONTACT INFORMATION

<b>Last Name:</b>	<b>First Name and Middle initial:</b>
McCarthy-Neyman	Kathleen M
<b>Work Phone Number:</b>	<b>Other Phone:</b>
(617) 788-8130	Redacted
<b>Work Email:</b>	<b>Other Email:</b>
k.mccarthyneyman@jud.state.ma.us	Redacted
<b>Primary Residence Address:</b>	
Redacted	
<b>Contact mailing address</b>	
Redacted	
You indicated that you did have a spouse residing in your household during 2022.	
You indicated that you had no dependent child(ren) residing in your household at any time during 2022.	

## Candidacy and Public Service

1. If you are a candidate for public office, please indicate the public office you are seeking.

Filer reported none.

2. Identify the position you now hold, or have held, which requires you to file a Statement of Financial Interests and provide the required information for that position. If you held more than one public position which requires you to file, identify each position.

Agency Name	Address	Position	Date	Amount of Income
Superior Court, Administrative Office	One Pemberton Square, Boston, MA, 02108, US	Associate Justice	01/22/2019	\$100,001 or more

3. Other than the position(s) identified in Question 2, identify every public position you held, and every public agency to which you provided services, at any time during 2022, whether compensated or not, and whether full- or part-time.

Filer reported none.

4. Identify every public position your spouse and/or any dependent child(ren) residing in your household held, and every public agency to which your spouse and/or any dependent child(ren) residing in your household provided services, at any time during 2022, whether compensated or not, and whether full- or part-time.

Filer reported none.

### Private Employment and Leaves of Absence

5. Identify every Business for which you worked as an employee, manager, consultant, or independent contractor at any time during 2022, whether compensated or not, and whether full- or part-time, and provide the required information for each.

Filer reported none.

6. Identify any Business from which you were on a leave of absence at any time during 2022, and provide its address.

Filer reported none.

7. Identify every Business for which your spouse and/or any dependent child(ren) residing in your household worked as an employee, manager, consultant, or independent contractor at any time during 2022, whether compensated or not, and whether full- or part-time, and provide the required information for each.

Business Name	Self-employed	Address	Position
Law Office of Stephen Neyman	<input checked="" type="checkbox"/>	10 Tremont Street #602, Boston, MA, 02108, US	

### Business Ownership and Transfers

8. Identify each Business of which you were, in whole or in part, an owner, partner, or proprietor, or in which you owned more than 1% of any class of the outstanding stock or similar ownership interest, at any time during 2022, and provide the required information for each.

Filer reported none.

9. Identify each Business of which your spouse and/or any dependent child(ren) residing in your household was, in whole or in part, an owner, partner, or proprietor, or in which your spouse and/or any dependent child(ren) residing in your household owned more than 1% of any class of the outstanding stock or similar ownership interest, at any time during 2022, and provide the required information for each.

Business Name	Address
Law Office of Stephen Neyman	10 Tremont Street #602, Boston, MA, 02108, US

10. Identify any stock or similar ownership interest in a Business which you transferred to your spouse and/or any dependent child(ren) residing in your household during 2022, and provide the required information for each.

Filer reported none.

### Service as an Officer, Director, or Trustee

11. Identify any Business in which you served as an officer, director, or trustee, at any time during 2022, whether compensated or not, and whether full- or part-time, and provide the required information for each.

Filer reported none.

12. Identify any Business in which your spouse and/or any dependent child(ren) residing in your household served as an officer, director, or trustee, at any time during 2022, whether compensated or not, and whether full- or part-time, and provide the required information for each.

Business Name	Address	Position
Law Office of Stephen Neyman	10 Tremont Street #602, Boston, MA, 02108, US	Officer

**NOTE:** If the Filer answered “YES” to a Question in the following section, one or more columns in the Table for that Question may be blank in the following situations: If the Filer indicated that the name of the person and/or the trust was a family member’s name or address, the Filer was not required to provide that name. If the Filer indicated that an address was a family member’s address, the Filer was not required to provide that address.

### Real Estate

13. Identify all Real Estate in Massachusetts which you owned directly or through a Business as of December 31, 2022, and which had an assessed value greater than \$1,000, and provide the required information for each Real Estate holding.

Ownership Legend:  
 Filer = F  
 Spouse/Child(ren) = S/C  
 Trust = T

Property Address	Owner	Transferred?	Transferor Name	Transferor Address	Assessed Value
Redacted	F, S/C	No			\$100,001 or more

14. Other than the Real Estate identified in Question 13, identify all Real Estate in Massachusetts which your spouse and/or any dependent child(ren) residing in your household owned directly or through a Business as of December 31, 2022, and which had an assessed value greater than \$1,000, and provide the required information for each Real Estate holding.

Filer reported none.

15. Identify any Trust of which you were a beneficiary, and which owned Real Estate in Massachusetts as of December 31, 2022, with an assessed value greater than \$1,000, and provide the required information for each such Trust and Real Estate holding.

Beneficiary Legend:  
Filer = F  
Spouse/Child(ren) = S/C  
Trust = T

Filer reported none.

16. Other than the Real Estate identified in Question 15, identify any Trust of which your spouse and/or any dependent child(ren) residing in your household was a beneficiary, and which owned Real Estate in Massachusetts as of December 31, 2022, with an assessed value greater than \$1,000, and provide the required information for each such Trust and Real Estate holding.

Filer reported none.

17. Identify any Real Estate in Massachusetts with an assessed value greater than \$1,000, that was transferred to another person or entity by you, or by a Trust of which you were a beneficiary, at any time during 2022, and provide the required information for each Real Estate holding.

Ownership Legend:  
Filer = F  
Spouse/Child(ren) = S/C  
Trust = T

Filer reported none.

18. Other than the Real Estate identified in Question 17, identify any Real Estate in Massachusetts with an assessed value greater than \$1,000, that was transferred to another person or entity by your spouse and/or any dependent child(ren) residing in your household, or by a Trust of which your spouse and/or any dependent child(ren) residing in your household was a beneficiary, at any time during 2022, and provide the required information for each piece of Real Estate transferred.

Ownership Legend:  
Filer = F  
Spouse/Child(ren) = S/C  
Trust = T

Filer reported none.

19. Identify any Real Estate in Massachusetts with an assessed value greater than \$1,000, on which, as of December 31, 2022, you, or a Trust of which you were a beneficiary, had a lien, attachment, or mortgage receivable, and provide the required information for each.

Ownership Legend:  
Filer = F  
Spouse/Child(ren) = S/C  
Trust = T

Filer reported none.

20. Other than the Real Estate identified in Question 19, identify any Real Estate in Massachusetts with an assessed value greater than \$1,000, on which, as of December 31, 2022, your spouse and/or any dependent child(ren) residing in your household, or a Trust of which your spouse and/or any dependent child(ren) residing in your household, had a lien, attachment, or mortgage receivable, and provide the required information for each.

Ownership Legend:  
Filer = F  
Spouse/Child(ren) = S/C  
Trust = T

Filer reported none.

**NOTE:** If the Filer answered “YES” to a Question in the following section, one or more columns in the Table for that Question may be blank in the following situations: If the Filer indicated that the name of the person and/or the trust was a family member’s name or address, the Filer was not required to provide that name. If the Filer indicated that an address was a family member’s address, the Filer was not required to provide that address.

## Financial Investments

21. Identify every bond or other security issued by the Commonwealth of Massachusetts or its political subdivisions, agencies, and authorities, which you owned directly or through a Business, as of December 31, 2022, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each such investment.

Ownership Legend:  
Filer = F  
Spouse/Child(ren) = S/C  
Trust = T

Filer reported none.

22. Other than the bonds or other securities identified in Question 21, identify every bond or other security issued by the Commonwealth of Massachusetts or its political subdivisions, agencies, and authorities, which your spouse and/or any dependent child(ren) residing in your household owned directly or through a Business, as of December 31, 2022, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each such investment.

Filer reported none.

23. Identify every bond or other security issued by the Commonwealth of Massachusetts or its political subdivisions, agencies, and authorities, which was owned as of December 31, 2022, by a Trust of which you were a beneficiary, whether directly or through a Business, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each such investment.

Beneficiary Legend:  
Filer = F  
Spouse/Child(ren) = S/C  
Trust = T

Filer reported none.

24. Identify every bond or other security issued by the Commonwealth of Massachusetts or its political subdivisions, agencies, and authorities, which was owned as of December 31, 2022, by a Trust of which your spouse and/or any dependent child(ren) residing in your household was a beneficiary, whether directly or through a Business, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each such investment.

Filer reported none.



25. Identify every Financial Investment that you owned directly or through a Business as of December 31, 2022, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each.

Ownership Legend:  
 Filer = F  
 Spouse/Child(ren) = S/C  
 Trust = T

Name of Issuer	Owner	Description of Investment	Principal Place of Business or State of Incorporation	Address
Alphabet Inc. - Class A Common Stock (GOOGL)	F			
Amgen Inc. - Common Stock (AMGN)	F			
Apple Inc. - Common Stock (AAPL)	F			
Berkshire Hathaway Inc. New Common Stock (BRK.B)	F			
BlackRock, Inc. Common Stock (BLK)	F			
JP Morgan Chase & Co. Common Stock (JPM)	F			

Mastercard Incorporated Common Stock(MA)	F			
Microsoft Corporation - Common Stock (MSFT)	F			
Pepsico, Inc. Common Stock(PEP)	F			
Pfizer, Inc. Common Stock(PFE)	F			
Salesforce.com Inc Common Stock (CRM)	F			
Tencent Holdings LTD (TCEHY)	F	Common Stock	Shenzhen, Guangdong, 518057 China	Gaoxinnan No. 1 Road, High-tech Zone,, 518057
Thermo Fisher Scientific Inc Common Stock(TMO)	F			
Walt Disney Company (The) Common Stock(DIS)	F			

iShares S&P 500 Growth ETF (IVW)	F			
Blackrock iShares S&P 500 (IVE)	F	Exchange Traded Fund	New York, NY	55 E 52nd Street, New York, NY, 10055, US
PIMCO Enhanced Short Maturity Active Exchange-Traded Fund (MINT)	F			
Vanguard FTSE European ETF (VGK)	F			
Vanguard REIT ETF - DNQ (VNQ)	F			
CVS Health Corporation Common Stock (CVS)	F			
Comcast Corporation - Class A Common Stock (CMCSA)	F			
iShares Core MSCI Emerging Markets ETF (IEMG)	F			

iShares MSCI International Developed Quality Factors ETF (IQLT)	F			
Vanguard Mid-Cap ETF - DNQ (VO)	F			
Vanguard Small-Cap ETF - DNQ (VB)	F			
UnitedHealth Group Incorporated Common Stock (DE) (UNH)	F			
BlackRock Strategic Income Opportunities Fkund (BSIIX)	F	Mutual Fund	Wilmington, Delaware	100 Bellevue Parkway, Wilmington, DE, 19809, US
Pimco Dynamic Bond Fund (PUCPX) Pacific Investment Management Company, LLC	F	Mutual Fund	Newport Beach, California	840 Newport Center Drive Suite #100, Newport Beach , CA, 92660, US
Putnam Ultra Short Duration Income Fund (PSDYX)	F	Mutual Fund	Boston, Massachusetts	100 Federal Street, Boston, MA, 02110, US
TCW Total Return Bond I (TGLMX)	F	Mutual Fund	Los Angeles California	865 South Figuroa Street, Los Angeles, CA, 90017, US

Axon Enterprises, Inc. (AXON)	F	Common Stock	Scottsdale Arizona	17800 N. 85th Street, Scottsdale , AZ, 85255, US
Liberty Media Corp. (FWONK)	F	Common Stock	Englewood, Colorado	Unknown, Englewood, CO, 80112, US
Raytheon Technologies (RTX)	F	Common Stock	Waltham, Massachusetts	870 Winter Street, Waltham, MA, 02451, US
Lockheed Martin Corporation Common Stock (LMT)	F			
Matthews Asia Dividend Fund Insti Class (MIPIX)	F			
CITIGROUP CAPT XIII (C.N.) (C.N.)	F	Corporate Fixed Income	388-390 Greenwich Street New York, NY	388-390 Greenwich Street, New York, NY, MA, 10013, US
Alphabet Inc. - Class C Capital Stock (GOOG)	F			
Amazon.com, Inc. - Common Stock (AMZN)	F			

Capital One Financial Corporation Common Stock(COF)	F
iShares Core U.S. Aggregate Bond ETF (AGG)	F
KraneShares CSI China Internet ETF (KWEB)	F
SPDR S&P China ETF (GXC)	F
Exxon Mobil Corporation Common Stock(XOM)	F
Vanguard Small-Cap Value ETF - DNQ (VBR)	F
Duke Energy Corporation (Holding Company) Common Stock(DUK)	F, S/C
The AES Corporation Common Stock(AES)	F

Alibaba Group Holding Limited American Depositary Shares each representing one Ordinary share (BABA)	F			
Vanguard Total Bond Market ETF (BND)	F			
Vanguard Short-Term Corporate Bond ETF (VCSH)	F			
SERVICE NOW (NOW)	F	Common Stock	Santa Clara, California	2225 Lawson Lane, Santa Clara, CA, 95064, US
WALMART (WMT)	F	Common Stock	Bentonville Arkansas	702 S.W. 8th Street, Bentonville , AR, 72712, US
INVESCO S&P 500 PURE VALUE ETF (RPV)	F	US Large Cap Value Exchange Traded Fund	Atlanta, Georgia	1331 Spring Street NW, Suite 2500, Atlanta , GA, 30309, US

26. Other than the Financial Investments identified in Question 25, identify every Financial Investment that your spouse and/or any dependent child(ren) residing in your household owned directly or through a Business as of December 31, 2022, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each. For any Financial Investment not included on the drop-down list of publicly traded stock, you must provide the issuer's principal place of business or state of incorporation as well as its address.

Filer reported none.

27. Identify every Financial Investment that was owned as of December 31, 2022, by a Trust of which you were a beneficiary, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each. For any Financial Investment not included on the drop-down list of publicly traded stock, you must provide the issuer's principal place of business or state of incorporation as well as its address.

Beneficiary Legend:  
Filer = F  
Spouse/Child(ren) = S/C  
Trust = T

Filer reported none.

28. Other than the Financial Investments identified in Question 27, identify every Financial Investment that was owned as of December 31, 2022, by a Trust of which your spouse and/or any dependent child(ren) residing in your household was a beneficiary, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each. For any Financial Investment not included on the drop-down list of publicly traded stock, you must provide the issuer's principal place of business or state of incorporation as well as its address.

Filer reported none.

NOTE: If the Filer answered "YES" to a Question in the following section, one or more columns in the Table for that Question may be blank in the following situations: If the Filer indicated that the name of the person and/or the trust was a family member's name or address, the Filer was not required to provide that name. If the Filer indicated that an address was a family member's address, the Filer was not required to provide that address.

### Debts and Mortgages

29. Identify all mortgages, including home equity and reverse mortgage loans, on your Primary Residence, on which more than \$1,000 was owed as of December 31, 2022, where the creditor (person who loaned you the money) is not, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great-grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative, and provide the required information for each.

Filer reported none.

30. Did you have a mortgage, including a home equity or reverse mortgage loan, on any property OTHER than your Primary Residence, on which more than \$1,000 was owed as of December 31, 2022, which you were obligated to pay and where the creditor (person who loaned you the money) is NOT, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great-grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative?

Obligor Legend:  
 Filer = F  
 Spouse/Child(ren) = S/C  
 Trust = T

Real Estate Address	Obligor	Original Amount	Outstanding Amount	Mortgage Term	Interest Rate (%)	Termination Year	Creditor Name	Creditor Address
22 Russell Way, Ogunquit, ME, 03907, US	F, S/C	\$100,001 or more	\$100,001 or more	Thirty-year mortgage	2.7	2051	Morgan Stanley Private Bank NA	C/O Cenlar FSB 425 Phillips Blvd, Ewing, NJ, 08618, US

31. Identify all mortgages, including home equity and reverse mortgage loans, other than any mortgage on your Primary Residence or any mortgage identified in response to Question 30, on which more than \$1,000 was owed as of December 31, 2022, and which your spouse and/or any dependent child(ren) residing in your household were obligated to pay and where the creditor (person who loaned the money to your spouse and/or dependent child(ren) residing in your household) is not, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great-grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative, and provide the required information for each.

Filer reported none.

32. Identify all non-mortgage debts of more than \$1,000 that you owed as of December 31, 2022, IF the person to whom you owed the debt is not, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great-grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative, and provide the required information for each.

Filer reported none.

33. Identify all non-mortgage debts of more than \$1,000 that your spouse and/or any dependent child(ren) residing in your household owed as of December 31, 2022, if the person to whom your spouse and/or any dependent child(ren) residing in your household owed the debt is not, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great-grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative, and provide the required information for each.

Filer reported none.

34. Identify non-mortgage debts of more than \$1,000 which you owed and which were forgiven at any time during 2022, excluding debts forgiven by a person who is, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great-grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative, and provide the required information for each.

Filer reported none.

35. Identify non-mortgage debts of more than \$1,000 that were owed by your spouse and/or any dependent child(ren) residing in your household and were forgiven at any time during 2022, excluding debts forgiven by a person who is, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great-grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative, and provide the required information for each.

Filer reported none.

### Reimbursements, Gifts, and Honoraria

36. Identify any Reimbursements for expenses in excess of \$100 provided to you at any time during 2022 by any legislative agent or executive agent (lobbyist).

Filer reported none.

36.a Identify any Reimbursements for expenses in excess of \$100, other than those identified in response to Question 36, you received at any time during 2022 from any person having a direct interest in a matter before the governmental body by which you were or are now employed.

Filer reported none.

37. Identify any Reimbursements for expenses in excess of \$100 provided to your spouse and/or any dependent child(ren) residing in your household at any time during 2022 by any legislative agent or executive agent (lobbyist).

Filer reported none.

37.a Identify any Reimbursements for expenses in excess of \$100, other than those identified in response to Question 36, provided to your spouse and/or dependent child(ren) residing in your household at any time during 2022 by any person having a direct interest in a matter before the governmental body by which you were or are now employed.

Filer reported none.

38. Identify any Gifts and/or Honoraria worth more than \$100 provided to you at any time during 2022 by any person having a direct interest in a matter before a governmental body by which you were or are now employed.

Filer reported none.

39. Identify any Gifts and/or Honoraria worth more than \$100 provided to your spouse and/or any dependent child(ren) residing in your household at any time during 2022 by any person having a direct interest in a matter before the governmental body by which you were or are now employed.

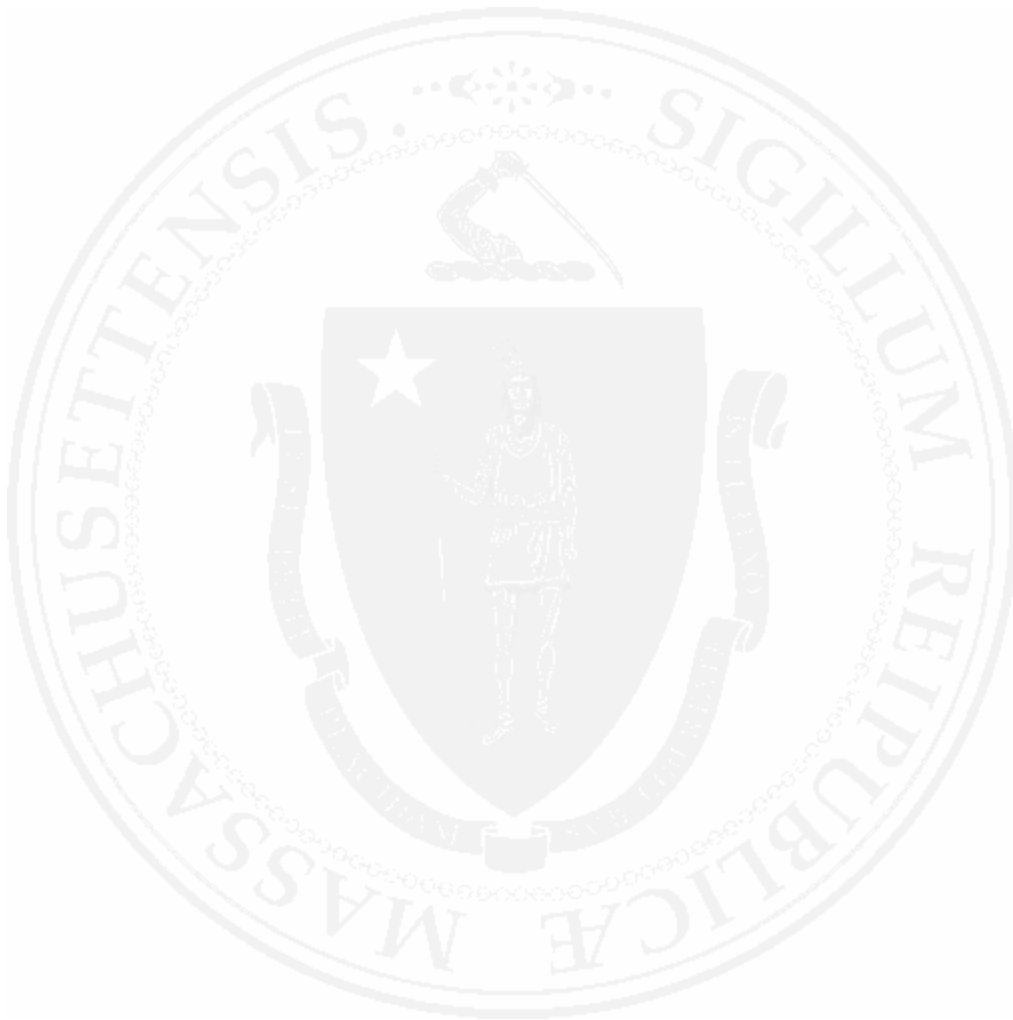
Filer reported none.

**NOTE:** If the Filer answered “YES” to a Question in the following section, one or more columns in the Table for that Question may be blank in the following situations: If the Filer indicated that the name of the person and/or the trust was a family member’s name or address, the Filer was not required to provide that name. If the Filer indicated that an address was a family member’s address, the Filer was not required to provide that address.

### Blind Trusts

40. Did you, your spouse and/or any dependent child(ren) residing in your household during 2022, own anything that you have not reported on this Statement of Financial Interests because it was held in a Blind Trust during 2022?

Filer reported none.



## CERTIFICATION

I, Kathleen M McCarthy-Neyman, certify under the pains and penalties of perjury that:

I made a diligent effort to obtain the required information concerning myself and my spouse and/or any dependent child(ren); and

The information provided on this form is true and complete, to the best of my knowledge.

Submitted: 04/21/2023

Did your spouse and/or any dependent child(ren) residing in your household decline to disclose information which is necessary to complete this form fully and accurately?

The following are the specific Question(s) which I decline to answer in whole or in part because I assert that the information is privileged by law:

Please explain the basis of your claim of privilege:

### IMPORTANT:

1. No DESIGNATED PUBLIC EMPLOYEE shall be allowed to continue in their duties or to receive compensation from public funds unless they have filed a Statement of Financial Interests with the State Ethics Commission.
2. The State Ethics Commission does not accept a faxed or emailed copy of a Statement of Financial Interests for filing.
3. If you were required to amend your Statement of Financial Interests last year, we encourage you to carefully review your 2022 filing before submitting.