

# Statement of Financial Interests for 2017



## CONTACT INFORMATION

**Last Name:**

Schwartz

**First Name and Middle initial:**

Kurt N

**Work Phone Number:**

(508) 820-2010

**Other Phone:**

Redacted

**Work Email:**

kurt.schwartz@massmail.state.ma.us

**Other Email:**

**Primary Residence Address:**

Redacted

**Contact mailing address**

Redacted

You indicated that you did have a spouse residing in your household during 2017.

You indicated that you had no dependent child(ren) residing in your household at any time during 2017.

## Candidacy and Public Service

1. If you are a candidate for public office, please indicate the public office you are seeking.

Filer reported none.

2. Identify the position you now hold, or have held, which requires you to file a Statement of Financial Interests and provide the required information for that position. If you held more than one public position which requires you to file, identify each position.

Agency Name	Address	Position	Date	Amount of Income
Massachusetts Emergency Management Agency (MEMA)	400 Worcester Road, Framingham, MA, 01702, US	Director	06/01/2010	\$100,001 or more

3. Other than the position(s) identified in Question 2, identify every public position you held, and every public agency to which you provided services, at any time during 2017, whether compensated or not, and whether full- or part-time.

Filer reported none.

4. Identify every public position your spouse and/or any dependent child(ren) residing in your household held, and every public agency to which your spouse and/or any dependent child(ren) residing in your household provided services, at any time during 2017, whether compensated or not, and whether full- or part-time.

Public Agency	Public Agency Name	Address	Position	Consultant / Contractor?	Services Provided
State	MCI Concord, Dept. of Corrections	965 Elm Street, Concord, MA, 01742, US	Volunteer	N/A	

### Private Employment and Leaves of Absence

5. Identify every Business for which you worked as an employee, manager, consultant, or independent contractor at any time during 2017, whether compensated or not, and whether full- or part-time, and provide the required information for each.

Filer reported none.

6. Identify any Business from which you were on a leave of absence at any time during 2017, and provide its address.

Filer reported none.

7. Identify every Business for which your spouse and/or any dependent child(ren) residing in your household worked as an employee, manager, consultant, or independent contractor at any time during 2017, whether compensated or not, and whether full- or part-time, and provide the required information for each.

Filer reported none.

### Business Ownership and Transfers

8. Identify each Business of which you were, in whole or in part, an owner, partner, or proprietor, or in which you owned more than 1% of any class of the outstanding stock or similar ownership interest, at any time during 2017, and provide the required information for each.

Filer reported none.

9. Identify each Business of which your spouse and/or any dependent child(ren) residing in your household was, in whole or in part, an owner, partner, or proprietor, or in which your spouse and/or any dependent child(ren) residing in your household owned more than 1% of any class of the outstanding stock or similar ownership interest, at any time during 2017, and provide the required information for each.

Business Name	Address
Charm Sciences	659 Andover Street, Lawrence, MA, 01843, US

10. Identify any stock or similar ownership interest in a Business which you transferred to your spouse and/or any dependent child(ren) residing in your household during 2017, and provide the required information for each.

Filer reported none.

### Service as an Officer, Director, or Trustee

11. Identify any Business in which you served as an officer, director, or trustee, at any time during 2017, whether compensated or not, and whether full- or part-time, and provide the required information for each.

Filer reported none.

12. Identify any Business in which your spouse and/or any dependent child(ren) residing in your household served as an officer, director, or trustee, at any time during 2017, whether compensated or not, and whether full- or part-time, and provide the required information for each.

Filer reported none.

**NOTE:** If the Filer answered "YES" to a Question in the following section, one or more columns in the Table for that Question may be blank in the following situations: If the Filer indicated that the name of the person and/or the trust was a family member's name or address, the Filer was not required to provide that name. If the Filer indicated that an address was a family member's address, the Filer was not required to provide that address.

### Real Estate

13. Identify all Real Estate in Massachusetts which you owned directly or through a Business as of December 31, 2017, and which had an assessed value greater than \$1,000, and provide the required information for each Real Estate holding.

Ownership Legend:  
Filer = F  
Spouse/Child(ren) = S/C  
Trust = T

Property Address	Owner	Transferred?	Transferor Name	Transferor Address	Assessed Value
Redacted	F, S/C	No			\$100,001 or more
9 Forever Wild Way, Edgartown, MA, 02539, US	F, S/C	No			\$100,001 or more
33 Woodsneck Road, Orleans, MA, 02653, US	F, S/C	No			\$100,001 or more

14. Other than the Real Estate identified in Question 13, identify all Real Estate in Massachusetts which your spouse and/or any dependent child(ren) residing in your household owned directly or through a Business as of December 31, 2017, and which had an assessed value greater than \$1,000, and provide the required information for each Real Estate holding.

Filer reported none.

15. Identify any Trust of which you were a beneficiary and which owned Real Estate in Massachusetts as of December 31, 2017, with an assessed value greater than \$1,000, and provide the required information for each such Trust and Real Estate holding.

Beneficiary Legend:  
Filer = F  
Spouse/Child(ren) = S/C  
Trust = T

Filer reported none.

16. Other than the Real Estate identified in Question 15, identify any Trust of which your spouse and/or any dependent child(ren) residing in your household was a beneficiary and which owned Real Estate in Massachusetts as of December 31, 2017, with an assessed value greater than \$1,000, and provide the required information for each such Trust and Real Estate holding.

Filer reported none.

17. Identify any Real Estate in Massachusetts with an assessed value greater than \$1,000, that was transferred to another person or entity by you, or by a Trust of which you were a beneficiary, at any time during 2017, and provide the required information for each Real Estate holding.

Ownership Legend:  
Filer = F  
Spouse/Child(ren) = S/C  
Trust = T

Filer reported none.

18. Other than the Real Estate identified in Question 17, identify any Real Estate in Massachusetts with an assessed value greater than \$1,000, that was transferred to another person or entity by your spouse and/or any dependent child(ren) residing in your household, or by a Trust of which your spouse and/or any dependent child(ren) residing in your household was a beneficiary, at any time during 2017, and provide the required information for each piece of Real Estate transferred.

Ownership Legend:  
Filer = F  
Spouse/Child(ren) = S/C  
Trust = T

Filer reported none.

19. Identify any Real Estate in Massachusetts with an assessed value greater than \$1,000, on which, as of December 31, 2017, you, or a Trust of which you were a beneficiary, had a lien, attachment, or mortgage receivable, and provide the required information for each.

Ownership Legend:  
Filer = F  
Spouse/Child(ren) = S/C  
Trust = T

Filer reported none.

20. Other than the Real Estate identified in Question 19, identify any Real Estate in Massachusetts with an assessed value greater than \$1,000, on which, as of December 31, 2017, your spouse and/or any dependent child(ren) residing in your household, or a Trust of which your spouse and/or any dependent child(ren) residing in your household, had a lien, attachment, or mortgage receivable, and provide the required information for each.

Ownership Legend:  
Filer = F  
Spouse/Child(ren) = S/C  
Trust = T

Filer reported none.

**NOTE:** If the Filer answered “YES” to a Question in the following section, one or more columns in the Table for that Question may be blank in the following situations: If the Filer indicated that the name of the person and/or the trust was a family member’s name or address, the Filer was not required to provide that name. If the Filer indicated that an address was a family member’s address, the Filer was not required to provide that address.

## Financial Investments

21. Identify every bond or other security issued by the Commonwealth of Massachusetts or its political subdivisions, agencies, and authorities, which you owned directly or through a Business, as of December 31, 2017, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each such investment.

Ownership Legend:  
Filer = F  
Spouse/Child(ren) = S/C  
Trust = T

Name of Bond/ Other Security	Owner	Description of Investment	Income from Investment
Massachusetts ST Dev Fin Agy (Caregroup)	F, S/C	Bond	\$1,001 to 5,000
Massachusetts St Dev Fin Agy (Dexter-Southfield)	F, S/C	Bond	\$1,001 to 5,000
Massachusetts Housing Fin Agy	F, S/C	Bond	\$1,001 to 5,000
Massachusetts St Hsg Fin Agy	F, S/C	Bond	\$1,001 to 5,000
Massachusetts ST HSG Fin Agy (Single Family Revenue)	F, S/C	Bond	\$1,001 to 5,000

barnstable massachusetts	F, S/C	Bond	\$1,001 to 5,000
massachusetts general obligation bond	F, S/C	Bond	\$1,001 to 5,000
Haverhill Municipal Bond	F, S/C	Bond	\$5,001 to 10,000
Dracut MA Mun Purpose	F, S/C	Bond	\$1,001 to 5,000
Martha's Vineyard MA LD BK	F, S/C	Bond	\$1,001 to 5,000
Wrentham MA PWR Agency	F, S/C	Bond	\$1,001 to 5,000

22. Other than the bonds or other securities identified in Question 21, identify every bond or other security issued by the Commonwealth of Massachusetts or its political subdivisions, agencies, and authorities, which your spouse and/or any dependent child(ren) residing in your household owned directly or through a Business, as of December 31, 2017, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each such investment.

Filer reported none.



23. Identify every bond or other security issued by the Commonwealth of Massachusetts or its political subdivisions, agencies, and authorities, which was owned as of December 31, 2017, by a Trust of which you were a beneficiary, whether directly or through a Business, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each such investment.

Beneficiary Legend:  
Filer = F  
Spouse/Child(ren) = S/C  
Trust = T

Filer reported none.

24. Identify every bond or other security issued by the Commonwealth of Massachusetts or its political subdivisions, agencies, and authorities, which was owned as of December 31, 2017, by a Trust of which your spouse and/or any dependent child(ren) residing in your household was a beneficiary, whether directly or through a Business, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each such investment.

Filer reported none.

25. Identify every Financial Investment that you owned directly or through a Business as of December 31, 2017, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each.

Ownership Legend:  
 Filer = F  
 Spouse/Child(ren) = S/C  
 Trust = T

Name of Issuer	Owner	Description of Investment	Principal Place of Business or State of Incorporation	Address
Altria Group, Inc. (MO)	F, S/C			
The Kraft Heinz Company - Common Stock (KHC)	F, S/C			
Mondelez International, Inc. - Class A Common Stock (MDLZ)	F, S/C			
Pepsico, Inc. Common Stock (PEP)	F, S/C			
Philip Morris International Inc Common Stock (PM)	F, S/C			
Chevron Corporation Common Stock (CVX)	F, S/C			

ConocoPhillips Common Stock (COP)	F, S/C
Devon Energy Corporation Common Stock (DVN)	F, S/C
Phillips 66 Common Stock (PSX)	F, S/C
Ameriprise Financial, Inc. Common Stock (AMP)	F, S/C
BB&T Corporation Common Stock (BBT)	F, S/C
Citigroup Inc. C-Tracks ETN Miller/Howard Strategic Dividend Reinvestors Due 9/16/2014 (DIVC)	F, S/C
JP Morgan Chase & Co. Common Stock (JPM)	F, S/C
Wells Fargo & Company Common Stock (WFC)	F, S/C

Johnson & Johnson Common Stock (JNJ)	F, S/C
Danaher Corporation Common Stock (DHR)	F, S/C
Engility Holdings, Inc. Common Stock (EGL)	F, S/C
General Electric Company Common Stock (GE)	F, S/C
L-3 Communications Holdings, Inc. Common Stock (LLL)	F, S/C
Lockheed Martin Corporation Common Stock (LMT)	F, S/C
United Technologies Corporation Common Stock (UTX)	F, S/C
Aviat Networks, Inc. - Common Stock (AVNW)	F, S/C

Cisco Systems, Inc. - Common Stock (CSCO)	F, S/C
Harris Corporation Common Stock (HRS)	F, S/C
International Business Machines Corporation Common Stock (IBM)	F, S/C
Knowles Corporation Common Stock (KN)	F, S/C
Microsoft Corporation - Common Stock (MSFT)	F, S/C
Texas Instruments Incorporated - Common Stock (TXN)	F, S/C
Praxair, Inc. Common Stock (PX)	F, S/C
Dominion Resources, Inc. Common Stock (D)	F, S/C

Artisan Global Opportunities Fd Insti Shs (APHRX)	F, S/C
iShares MSCI EAFE ETF (EFA)	F, S/C
iShares Russell 2000 Value ETF (IWN)	F, S/C
iShares Currency Hedged MSCI EAFE ETF (HEFA)	F, S/C
SPDR S&P 500 (SPY)	F, S/C
Vanguard Index Trust 500 Index Fund (VFINX)	F, S/C
United States Treasury Trust Class K (UTKXX)	F, S/C
PIMCO All Asset Fund Institutional Class (PAAIX)	F, S/C

Acadian Emerging Market Portfolio Instl Cl (AEMGX)	F, S/C			
BlackRock Total Return Fund BlackRock Class (MPHGX)	F, S/C			
BlackRock Large Cap Core Fund Service Class (MSLRX)	F, S/C			
BlackRock Basic Value A (MDBAX)	F, S/C			
Blackrock Capital and Income Fund, Inc. (CII)	F, S/C			
BlackRock Strategic Municipal Opportunities Fund Institutional Cl (MAMTX)	F, S/C			
Bexas County, Texas	F, S/C	Bond	Texas	Bexar County Housing Corp, 101 West Nueva, San Antonio, TX, 78205, US
Chicago, Illinois	F, S/C	Bond	Illinois	Dept. of Aviation, 10510 W. Zemke Rd, Chicago, IL, 60666, US

Connecticut Housing Finance Auth	F, S/C	Bond	Connecticut	909 West Street, Rocky Hill, CT, 06067, US
Cook County, Ill	F, S/C	Bond	Illinois	114 West 144th Street, Riverdale, IL, 60827, US
District of Columbia Ballpark	F, S/C	Bond	District of Columbia	1101 4th Street, NW, Suite 850, Washington, DC, 20024, US
E. Hempfield Township, PA	F, S/C	Bond	Pennsylvania	1700 Nissley Road, Box 128, Landsville, PA, 17538, US
East Point, GA	F, S/C	Bond	Georgia	2777 East Point Street, East Poing, GA, 30344, US
City of Farmington, NM	F, S/C	Bond	New Mexico	800 Municipal Drive, Farmington, NM, 87401, US
Georgia State Housing Authority	F, S/C	Bond	Georgia	60 Executive Park South, Atlanta, GA, 30329, US
State of Hawaii Housing & FInance Dev.	F, S/C	Bond	Hawaii	677 Queen Street, Honolulu, HI, 96813, US



Illinois Finance Authority	F, S/C	Bond	Illinois	160 North Lasalle Street, Sute S-1000, Chicago, IL, 60601, US
Illinois Sports Facilities Authority	F, S/C	Bond	Illinois	333 West 35th Street, Chicago, IL, 60616, US
Kankakee, IL	F, S/C	Bond	Illinois	304 South India Avenue, Kankakee, IL, 60901, US
Lake County Community College, OH	F, S/C	Bond	Ohio	7700 Clocktower Drive, Kirtland, OH, 44094, US
Louisiana Public Facilities Authority	F, S/C	Bond	Louisiana	2237 S. Acadian Thruway, Suite 650, Baton Rouge, LA, 70808, US
Maine Housing Authority	F, S/C	Bond	Maine	353 Water Street, Box 2669, Augusta, ME, 04338, US
Maine State Housing Authority	F, S/C	Bond	Maine	353 Water Street, Augusta, ME, 04338, US
Mayfield Ohio	F, S/C	Bond	Ohio	1101 SOM Center Road, Mayfield Heights, OH, 44124, US

Michigan Finance Authority	F, S/C	Bond	Michigan	430 W. Allegan Street, Lansing, MI, 49922, US
Michigan State Housing Dev Authority	F, S/C	Bond	Michigna	735 E. Michigan Avenue, Box 30044, Lansing, MI, 48922, US
Minnesota Housing Finance Auth	F, S/C	Bond	Minnesota	400 Sibley Street, St. Paul, MN, 55101, US
New Mexico Mortgage Finance Authority	F, S/C	Bond	New Mexico	One Aberdeen Square, Aberdeen, NJ, 07747, US
New York Mortgage Agency	F, S/C	Bond	New York	641 Lexington Avenue, New York, NY, 10022, US
Northeast Metro School District	F, S/C	Bond	Minnesota	2540 County Road F East, White Bear Lake, MN, 55110, US
Oregon Econ & Comm Dev Dept	F, S/C	Bond	Oregon	775 Summer Street NE, Salem, OR, 97301, US
Pennsylvania Housing Finance Agency	F, S/C	Bond	Pennsylvania	211 North Front Street, Harrisburg, PA, 17101, US

Richmond Hospital Authority	F, S/C	Bond	Indiana	50 North Street, Richmond , IN, 47374, US
Taylor Brownfield Redevel Authority	F, S/C	Bond	Michigan	23555 Goddard Road, Taylor, MI, 48180, US
Tennessee Housing Dev Authority	F, S/C	Bond	Tennessee	Andrew Jackson Bldg 3rd Flr, 502 Deaderick Street, Nashville, TN, 37243, US
Vermont Housing Finance Agency	F, S/C	Bond	Vermont	164 St. Pual Street, Burlington , VT, 05401, US
Wisconsin Health & Education Facilities	F, S/C	Bond	Wisconsin	18000 W. Sarah Lane, Brookfield, WI, 53045, US
Akron Ohio	F, S/C	Bond	Ohio	166 South High Street, Akron, OH, 44308, US
State of California	F, S/C	Bond	California	915 Capitol Mall, Sacramento, CA, 95814, US
Chicago, Ill	F, S/C	Bond	Illinois	33 North Lasalle Street, Chicago, IL, 60602, US

Chicago Wastewater Dept	F, S/C	Bond	Illinois	33 North Lasalle Street, Chicago, IL, 60602, US
Colorado Health Facilities Auth	F, S/C	Bond	Colorado	3033 East 1st Avenue, Denver, CO, 80206, US
Commonwealth Financing Authority	F, S/C	Bond	Pennsylvania	400 North Street, Harisburg, PA, 17120, US
Cook County, Illinois	F, S/C	Bond	Illinois	118 North Clark Street, Chicago, IL, 60602, US
Cypress Fairbanks	F, S/C	Bond	Texas	10300 Jones Road, Houston, TX, 77065, US
Dekalb Conty Water & Sewer Auth	F, S/C	Bond	Georgia	330 W. Ponce de Leon Avenue, Decatur, GA, 30080, US
FYI PPTY Washington Lease District	F, S/C	Bond	Washington	1218 3rd Avenue, Seattle, WA, 98101, US
Florida Dept of Environment	F, S/C	Bond	Florida	3900 Commonwealth Avenue, Tallahasee, FL, 32399, US

State of Illinois	F, S/C	Bond	Illinois	100 W. Randolph, Chicago, IL, 60601, US
Metropolitan Atlanta Transit Auth	F, S/C	Bond	Georgia	2424 Piedmont Street, Atlanta, GA, 30324, US
Miami Dade County Expressway	F, S/C	Bond	Florida	3790 Northwest 21st Street, Miami, FL, 38142, US
Miami Dade County Water and Sewer	F, S/C	Bond	Florida	3071 SW 38th Avenue, Miami, FL, 33146, US
State of Mississippi	F, S/C	Bond	Mississippi	Box 138, Jackson, MS, 39205, US
New Jersey Transportation Auth	F, S/C	Bond	New Jersey	Box 600, Trenton, NJ, 08625, US
New Mexico Severance Tax Ser	F, S/C	Bond	New Mexico	41 Plaza la Prensa, Santa Fe, NM, 87507, US
New York Dormitory Authority	F, S/C	Bond	New York	515 Broadway, Albany, NY, 12207, US

North Fort Bend Water Auth	F, S/C	Bond	Texas	13402 Bellaire Blvd, Houston , TX, 77083, US
Northern Arizona University	F, S/C	Bond	Arizona	South San Francisco Street, Flagstaff, AZ, 86011, US
Pasco County School District	F, S/C	Bond	Florida	7227 Land O'Lakes Blvd, Land O Lakes, FL, 34638, US
Pennsylvania State University	F, S/C	Bond	Pennsylvania	201 Old Main Street, University Park, PA, 16802, US
Philadelphia Water & Wastewater	F, S/C	Bond	Pennsylvania	1401 John F Kennedy Blvd, Philadelphia, PA, 19102, US
Pima County Sewer System	F, S/C	Bond	Arizona	115 N. Church Ave, Tucson, AZ, 85701, US
Port of Seattle	F, S/C	Bond	Washington	2711 Alaskan Way, Seattle, WA, 98121, US
Denver Regional Transit Auth	F, S/C	Bond	Colorado	1600 Blake Street, Denver, CO, 80202, US

St Lucie County	F, S/C	Bond	Florida	2300 Virginia Ave, Fort Pierce, FL, 34982, US
San Antonio Texas Schools	F, S/C	Bond	Texas	145 Navarro Street, San Antonio, TX, 78296, US
San Mateo County	F, S/C	Bond	California	3401 CSM Drive, San Mateo, CA, 94402, US
Texas Transportation	F, S/C	Bond	Texas	125 E. 11th Street, Austin, TX, 78701, US
University of Idaho	F, S/C	Bond	Idaho	Box 444264, Moscow, ID, 83844, US
State of Washington	F, S/C	Bond	Washington	Legislative Building, Box 4020, Olympia, WA, 98504, US
Washoe County School Dist	F, S/C	Bond	Nevada	425 E. 9th Street, Reno, NV, 89512, US
Will County Forest Preservation	F, S/C	Bond	Illinois	17540 W. Laraway Road, Joilet, IL, 60433, US

Wisconsin Health & Education Facilities	F, S/C	Bond	Wisconsin	Ascension Health Credit Group, 4600 Edmundson Road, St Louis, MO, 63134, US
Chicago Metropolitan Water District	F, S/C	Bond	Illinois	100 E. Erie Street, Chicago, IL, 60611, US
State of Michigan	F, S/C	Bond	Michigan	430 W. Allegan Street, Lansing, MI, 48922, US
Minneapolis	F, S/C	Bond	Minnesota	350 S. 5th Street, Minneapolis, MN, 55415, US
New York City	F, S/C	Bond	New York	City Hall Park, New York, NY, 10007, US
Whittier California	F, S/C	Bond	California	13230 Penn Street, Whittier, CA, 90602, US
iShares North American Natural Resources ETF(IGE)	F, S/C			
BlackRock Large Cap Core Fund Service Class (MSLRX)	F, S/C			



BlackRock Global Alloc A (MDLOX)	F, S/C			
BlackRock International A (MDILX)	F, S/C			
BlackRock High Yield Bond Portfolio Investor A Cl (BHYAX)	F, S/C			
BlackRock Global Alloc I (MALOX)	F, S/C			
iShares MSCI Israel Capped Investable Market Index Fund (EIS)	F, S/C			
Vanguard Emerging Markets Stock Index Fd Admiral Shs (VEMAX)	F, S/C			
Florida Housing Finance Corp	F, S/C	Bond	Florida	400 South Monroe Street, Tallahassee, FL, 32301, US
Genessee County Michigan	F, S/C	Bond	Michigan	1101 Beach Street, Flint, MI, 48502, US

Illinois Housing Development Authority	F, S/C	Bond	Illinois	111 E. Wacker Drive, Suite 1000, Chicago, IL, 60601, US
Indiana State Finance Authority	F, S/C	Bond	Indiana	One North Capitol, Suite 900, Indianapolis, IN, 46204, US
Kalamazoo Michigan	F, S/C	Bond	Michigan	241 W. South Street, Kalamazoo, MI, 49007, US
Lancaster PA Hospital Authority	F, S/C	Bond	Pennsylvania	150 N. Queen Street, Lancaster, PA, 17603, US
Michigan (State of)	F, S/C	Bond	Michigan	Dep't of Treasury, Lansing, MI, 48922, US
Muskegon Heights Michigan	F, S/C	Bond	Michigan	2724 Peck Street, Muskegon Heights, MA, 49444, US
New Hampshire Housing Authority	F, S/C	Bond	New Hampshire	32 Constitution Drive, Bedford, NH, 03108, US
New York City Housing Development Corp	F, S/C	Bond	New York	110 William Street, New York, NY, 10038, US

North Carolina Housing Finance Agency	F, S/C	Bond	North Carolina	3508 Bush Street, Raleigh, NC, 27609, US
North Dakota Housing Finance Agency	F, S/C	Bond	North Dakota	2624 Vermont Avenue, Bismarck, ND, 58502, US
South Dakota Health and Education Facilities Authority	F, S/C	Bond	South Dakota	330 South Poplar, Pierre, SD, 57501, US
Tarrant County Texas Cultural Facilities	F, S/C	Bond	Texas	100 E. Weatherford Street, Fort Worth, TX, 76102, US
Vancouver County Washington Housing Authority	F, S/C	Bond	Washington	2500 Main Street, Vancouver, WA, 98660, US
Washington State Housing Finance Commission	F, S/C	Bond	Washington	1000 2nd Avenue, Seattle, WA, 98104, US
Wyoming Municipal Power Agency	F, S/C	Bond	Wyoming	4041 US-20, Lusk, WY, 82225, US
BlackRock Long-Term Municipal Advantage Trust BlackRock Long-Term Municipal Advantage Trust Common Shares of Beneficial Interest (BTA)	F, S/C			

Dreyfus Strategic Municipal Bond Fund, Inc. Common Stock (DSM)	F, S/C			
Federated Premier Municipal Income Fund Federated Premier Municipal Income Fund (FMN)	F, S/C			
Invesco Municipal Trust Common Stock (VKQ)	F, S/C			
Invesco Trust for Investment Grade Municipals Common Stock (DE) (VGM)	F, S/C			
Invesco Municipal Opportunity Trust Common Stock (VMO)	F, S/C			
Invesco Advantage Municipal Income Trust II Common Shares of Beneficial Interest (DE) (VKI)	F, S/C			
Invesco Quality Municipal Income Trust Common Stock (IQI)	F, S/C			
California (State of)	F, S/C	Bond	California	915 Capital Mall, Sacramento, CA, 94209, US

Pennsylvania Capital Region Water Authority	F, S/C	Bond	Pennsylvania	212 Locust Street, Harrisburg, PA, 17101, US
Connecticut Health and Education Facilities	F, S/C	Bond	Connecticut	10 Columbus Boulevard, Hartford, CT, 06106, US
District of Columbia	F, S/C	Bond	District of Columbia	1350 Pennsylvania Avenue NW, Washington, D.C., DC, 20004, US
Minnesota (State of)	F, S/C	Bond	Minnesota	600 Robert Street North, St. Paul, MN, 55101, US
Monroe County Florida School District	F, S/C	Bond	Florida	241 Trumbo Road, Key West, FL, 33040, US
Orange County Florida School Board	F, S/C	Bond	Florida	2100 Summerfield Road, Winter Park, FL, 32792, US
University of Colorado Hospital Authority	F, S/C	Bond	Colorado	12605 E. 16th Street, Aurora, CO, 80045, US

26. Other than the Financial Investments identified in Question 25, identify every Financial Investment that your spouse and/or any dependent child(ren) residing in your household owned directly or through a Business as of December 31, 2017, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each. For any Financial Investment not included on the drop-down list of publicly traded stock, you must provide the issuer's principal place of business or state of incorporation as well as its address.

Filer reported none.



27. Identify every Financial Investment that was owned as of December 31, 2017, by a Trust of which you were a beneficiary, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each. For any Financial Investment not included on the drop-down list of publicly traded stock, you must provide the issuer's principal place of business or state of incorporation as well as its address.

Beneficiary Legend:  
 Filer = F  
 Spouse/Child(ren) = S/C  
 Trust = T

Name of Issuer	Beneficiary	Description of Investment	Principal Place of Business or State of Incorporation	Issuer Address	Name of Trust
iShares Core S&P 500 ETF (IVV)	F, S/C				
iShares MSCI Emerging Index Fund (EEM)	F, S/C				
iShares MSCI EAFE ETF (EFA)	F, S/C				
iShares Russell Mid-Cap ETF (IWR)	F, S/C				
iShares Russell 2000 ETF (IWM)	F, S/C				
Vanguard Short-Term Bond ETF (BSV)	F, S/C				

28. Other than the Financial Investments identified in Question 27, identify every Financial Investment that was owned as of December 31, 2017, by a Trust of which your spouse and/or any dependent child(ren) residing in your household was a beneficiary, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each. For any Financial Investment not included on the drop-down list of publicly traded stock, you must provide the issuer's principal place of business or state of incorporation as well as its address.

Filer reported none.

**NOTE:** If the Filer answered "YES" to a Question in the following section, one or more columns in the Table for that Question may be blank in the following situations: If the Filer indicated that the name of the person and/or the trust was a family member's name or address, the Filer was not required to provide that name. If the Filer indicated that an address was a family member's address, the Filer was not required to provide that address.

### Debts and Mortgages

29. Identify all mortgages, including home equity and reverse mortgage loans, on your Primary Residence, on which more than \$1,000 was owed as of December 31, 2017, where the creditor (person who loaned you the money) is NOT, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative, and provide the required information for each.

Filer reported none.

30. Did you have a mortgage, including a home equity or reverse mortgage loan, on any property OTHER than your Primary Residence, on which more than \$1,000 was owed as of December 31, 2017, which you were obligated to pay and where the creditor (person who loaned you the money) is NOT, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative?

Obligor Legend:  
Filer = F  
Spouse/Child(ren) = S/C  
Trust = T

Filer reported none.



31. Identify all mortgages, including home equity and reverse mortgage loans, OTHER than any mortgage on your Primary Residence or any mortgage identified in response to Question 30, on which more than \$1,000 was owed as of December 31, 2017, and which your spouse and/or any dependent child(ren) residing in your household were obligated to pay and where the creditor (person who loaned the money to your spouse and/or dependent child(ren) residing in your household) is NOT, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative, and provide the required information for each.

Filer reported none.

32. Identify all non-mortgage debts of more than \$1,000 that you owed as of December 31, 2017, IF the person to whom you owed the debt is NOT, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative, and provide the required information for each.

Filer reported none.

33. Identify all non-mortgage debts of more than \$1,000 that your spouse and/or any dependent child(ren) residing in your household owed as of December 31, 2017, IF the person to whom your spouse and/or any dependent child(ren) residing in your household owed the debt is NOT, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative, and provide the required information for each.

Filer reported none.

34. Identify non-mortgage debts of more than \$1,000 which you owed and which were forgiven at any time during 2017, EXCLUDING debts forgiven by a person who is, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative, and provide the required information for each.

Filer reported none.

35. Identify non-mortgage debts of more than \$1,000 that were owed by your spouse and/or any dependent child(ren) residing in your household and were forgiven at any time during 2017, EXCLUDING debts forgiven by a person who is, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative, and provide the required information for each.

Filer reported none.

### Reimbursements, Gifts, and Honoraria

36. Identify any Reimbursements for expenses in excess of \$100 provided to you at any time during 2017 by any legislative agent or executive agent (lobbyist).

Filer reported none.

36.a Identify any Reimbursements for expenses in excess of \$100, other than those identified in response to Question 36, you received at any time during 2017 from any person having a direct interest in a matter before the governmental body by which you were or are now employed.

Filer reported none.

37. Identify any Reimbursements for expenses in excess of \$100 provided to your spouse and/or any dependent child(ren) residing in your household at any time during 2017 by any legislative agent or executive agent (lobbyist).

Filer reported none.

37.a Identify any Reimbursements for expenses in excess of \$100, other than those identified in response to Question 36, provided to your spouse and/or dependent child(ren) residing in your household at any time during 2017 by any person having a direct interest in a matter before the governmental body by which you were or are now employed.

Filer reported none.

38. Identify any Gifts and/or Honoraria worth more than \$100 provided to you at any time during 2017 by any person having a direct interest in a matter before a governmental body by which you were or are now employed.

Filer reported none.

39. Identify any Gifts and/or Honoraria worth more than \$100 provided to your spouse and/or any dependent child(ren) residing in your household at any time during 2017 by any person having a direct interest in a matter before the governmental body by which you were or are now employed.

Filer reported none.

**NOTE:** If the Filer answered "YES" to a Question in the following section, one or more columns in the Table for that Question may be blank in the following situations: If the Filer indicated that the name of the person and/or the trust was a family member's name or address, the Filer was not required to provide that name. If the Filer indicated that an address was a family member's address, the Filer was not required to provide that address.

#### **Blind Trusts**

40. Did you, your spouse and/or any dependent child(ren) residing in your household during 2017, own anything that you have not reported on this Statement of Financial Interests because it was held in a Blind Trust during 2017?

Filer reported none.

## CERTIFICATION

I, Kurt N Schwartz, certify under the pains and penalties of perjury that:

I made a diligent effort to obtain the required information concerning myself and my spouse and/or any dependent child(ren); and

The information provided on this form is true and complete, to the best of my knowledge.

Submitted: 04/18/2018

Did your spouse and/or any dependent child(ren) residing in your household decline to disclose information which is necessary to complete this form fully and accurately?

The following are the specific Question(s) which I decline to answer in whole or in part because I assert that the information is privileged by law:

Please explain the basis of your claim of privilege:

### IMPORTANT:

1. No DESIGNATED PUBLIC EMPLOYEE shall be allowed to continue in their duties or to receive compensation from public funds unless they have filed a Statement of Financial Interests with the State Ethics Commission.
2. The State Ethics Commission does not accept a faxed or emailed copy of a Statement of Financial Interests for filing.
3. If you were required to amend your Statement of Financial Interests last year, we encourage you to carefully review your 2017 filing before submitting.