

Statement of Financial Interests for 2020



CONTACT INFORMATION

Last Name:

Schwartz

First Name and Middle initial:

Kurt N

Contact Phone Number:

Redacted

Other Phone:

Redacted

Contact Email :

Redacted

Other Email:

Primary Residence Address:

Redacted

Contact mailing address

Redacted

You indicated that you did have a spouse residing in your household during 2020.

You indicated that you had no dependent child(ren) residing in your household at any time during 2020.

Candidacy and Public Service

1. If you are a candidate for public office, please indicate the public office you are seeking.

Filer reported none.

2. Identify the position you now hold, or have held, which requires you to file a Statement of Financial Interests and provide the required information for that position. If you held more than one public position which requires you to file, identify each position.

Agency Name	Address	Position	Date	Amount of Income
Middlesex County District Attorney's Office	15 Commonwealth Avenue, Woburn, MA, 01801, US	Senior Advisor for Law Enforcement	09/01/2019	\$1,001 to 5,000

3. Other than the position(s) identified in Question 2, identify every public position you held, and every public agency to which you provided services, at any time during 2020, whether compensated or not, and whether full- or part-time.

Filer reported none.

4. Identify every public position your spouse and/or any dependent child(ren) residing in your household held, and every public agency to which your spouse and/or any dependent child(ren) residing in your household provided services, at any time during 2020, whether compensated or not, and whether full- or part-time.

Public Agency	Public Agency Name	Address	Position	Consultant / Contractor?	Services Provided
State	MCI Concord, Dept. of Corrections	965 Elm Street, Concord, MA, 01742, US	Volunteer	N/A	

Private Employment and Leaves of Absence

5. Identify every Business for which you worked as an employee, manager, consultant, or independent contractor at any time during 2020, whether compensated or not, and whether full- or part-time, and provide the required information for each.

Business Name	Self-employed	Address	Position	Income
Boston Athletic Association	<input checked="" type="checkbox"/>	185 Dartmouth Street, Boston, MA, 02116, US	Independent Contractor	N/A
IEM Emergency Management	<input checked="" type="checkbox"/>	2801 Slater Road, Morrisville, NC, 27560, US	Independent Contractor	\$5,001 to 10,000
Combined Jewish Philanthropies	N/A	126 High Street, Boston, MA, 02110, US	Independent Contractor	\$1,001 to 5,000
Equality Asset Management Company	N/A	800 Boylston Street, 29th Floor, Boston, MA, 02199, US	Independent Contractor	\$1,001 to 5,000

6. Identify any Business from which you were on a leave of absence at any time during 2020, and provide its address.

Filer reported none.

7. Identify every Business for which your spouse and/or any dependent child(ren) residing in your household worked as an employee, manager, consultant, or independent contractor at any time during 2020, whether compensated or not, and whether full- or part-time, and provide the required information for each.

Filer reported none.

Business Ownership and Transfers

8. Identify each Business of which you were, in whole or in part, an owner, partner, or proprietor, or in which you owned more than 1% of any class of the outstanding stock or similar ownership interest, at any time during 2020, and provide the required information for each.

Filer reported none.

9. Identify each Business of which your spouse and/or any dependent child(ren) residing in your household was, in whole or in part, an owner, partner, or proprietor, or in which your spouse and/or any dependent child(ren) residing in your household owned more than 1% of any class of the outstanding stock or similar ownership interest, at any time during 2020, and provide the required information for each.

Business Name

Address

Charm Sciences

659 Andover Street, Lawrence, MA, 01843, US

10. Identify any stock or similar ownership interest in a Business which you transferred to your spouse and/or any dependent child(ren) residing in your household during 2020, and provide the required information for each.

Filer reported none.

Service as an Officer, Director, or Trustee

11. Identify any Business in which you served as an officer, director, or trustee, at any time during 2020, whether compensated or not, and whether full- or part-time, and provide the required information for each.

Business Name

Address

Position

Income

Herring Creek Farm
Landowners
Association

Herring Creek Farm, Slough
Cove Road, Edgartown, MA,
02539, US

Director

N/A

IsraAID United
States

PO Box 61227, Palo Alto,
CA, 94306, US

Officer

N/A

Charm Sciences

659 Andover Street,
Lawrence, MA, 01843, US

Director

N/A

12. Identify any Business in which your spouse and/or any dependent child(ren) residing in your household served as an officer, director, or trustee, at any time during 2020, whether compensated or not, and whether full- or part-time, and provide the required information for each.

Business Name	Address	Position
Committee for Accuracy in Middle East Reporting	California Street, Newton, MA, 02459, US	Director
Charm Sciences	659 Andover Street, Lawrence, MA, 01843, US	Director

NOTE: If the Filer answered “YES” to a Question in the following section, one or more columns in the Table for that Question may be blank in the following situations: If the Filer indicated that the name of the person and/or the trust was a family member’s name or address, the Filer was not required to provide that name. If the Filer indicated that an address was a family member’s address, the Filer was not required to provide that address.

Real Estate

13. Identify all Real Estate in Massachusetts which you owned directly or through a Business as of December 31, 2020, and which had an assessed value greater than \$1,000, and provide the required information for each Real Estate holding.

Ownership Legend:
 Filer = F
 Spouse/Child(ren) = S/C
 Trust = T

Property Address	Owner	Transferred?	Transferor Name	Transferor Address	Assessed Value
Redacted	F, S/C	No			\$100,001 or more
9 Forever Wild Way, Edgartown, MA, 02539, US	F, S/C	No			\$100,001 or more
33 Woodsneck Road, Orleans, MA, 02653, US	F, S/C	No			\$100,001 or more
46 Hubbard Street, Concord, MA, 01742, US	F	No			\$100,001 or more

14. Other than the Real Estate identified in Question 13, identify all Real Estate in Massachusetts which your spouse and/or any dependent child(ren) residing in your household owned directly or through a Business as of December 31, 2020, and which had an assessed value greater than \$1,000, and provide the required information for each Real Estate holding.

Filer reported none.

15. Identify any Trust of which you were a beneficiary, and which owned Real Estate in Massachusetts as of December 31, 2020, with an assessed value greater than \$1,000, and provide the required information for each such Trust and Real Estate holding.

Beneficiary Legend:

Filer = F

Spouse/Child(ren) = S/C

Trust = T

Name of Trust	Real Estate Address	Beneficiary	Transferred?	Transferor Name	Transferor Address	Assessed Value
H Dog Realty Trust	52 Hubbard Street, Concord, MA, 01742, US	F, S/C	No			\$100,001 or more

16. Other than the Real Estate identified in Question 15, identify any Trust of which your spouse and/or any dependent child(ren) residing in your household was a beneficiary, and which owned Real Estate in Massachusetts as of December 31, 2020, with an assessed value greater than \$1,000, and provide the required information for each such Trust and Real Estate holding.

Filer reported none.

17. Identify any Real Estate in Massachusetts with an assessed value greater than \$1,000, that was transferred to another person or entity by you, or by a Trust of which you were a beneficiary, at any time during 2020, and provide the required information for each Real Estate holding.

Ownership Legend:

Filer = F

Spouse/Child(ren) = S/C

Trust = T

Filer reported none.

18. Other than the Real Estate identified in Question 17, identify any Real Estate in Massachusetts with an assessed value greater than \$1,000, that was transferred to another person or entity by your spouse and/or any dependent child(ren) residing in your household, or by a Trust of which your spouse and/or any dependent child(ren) residing in your household was a beneficiary, at any time during 2020, and provide the required information for each piece of Real Estate transferred.

Ownership Legend:

Filer = F

Spouse/Child(ren) = S/C

Trust = T

Filer reported none.

19. Identify any Real Estate in Massachusetts with an assessed value greater than \$1,000, on which, as of December 31, 2020, you, or a Trust of which you were a beneficiary, had a lien, attachment, or mortgage receivable, and provide the required information for each.

Ownership Legend:

Filer = F

Spouse/Child(ren) = S/C

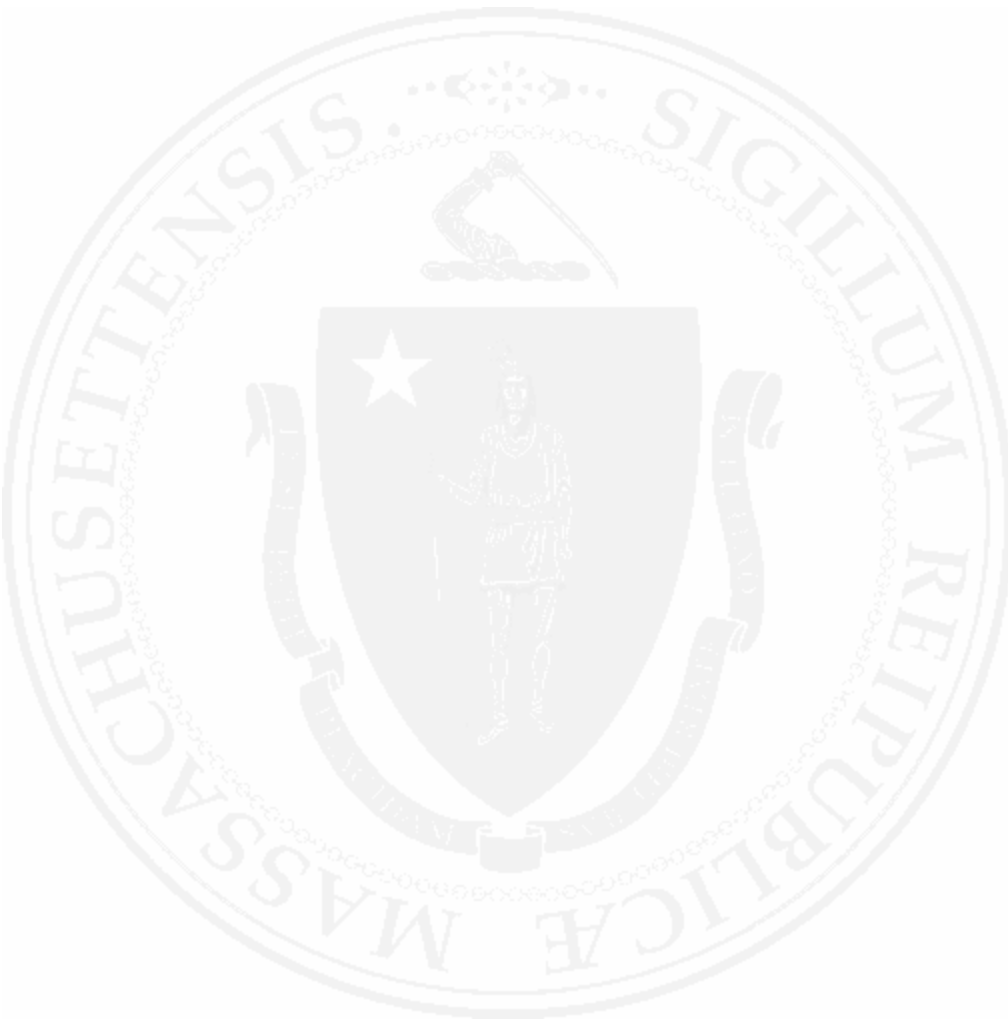
Trust = T

Filer reported none.

20. Other than the Real Estate identified in Question 19, identify any Real Estate in Massachusetts with an assessed value greater than \$1,000, on which, as of December 31, 2020, your spouse and/or any dependent child(ren) residing in your household, or a Trust of which your spouse and/or any dependent child(ren) residing in your household, had a lien, attachment, or mortgage receivable, and provide the required information for each.

Ownership Legend:
Filer = F
Spouse/Child(ren) = S/C
Trust = T

Filer reported none.



NOTE: If the Filer answered “YES” to a Question in the following section, one or more columns in the Table for that Question may be blank in the following situations: If the Filer indicated that the name of the person and/or the trust was a family member’s name or address, the Filer was not required to provide that name. If the Filer indicated that an address was a family member’s address, the Filer was not required to provide that address.

Financial Investments

21. Identify every bond or other security issued by the Commonwealth of Massachusetts or its political subdivisions, agencies, and authorities, which you owned directly or through a Business, as of December 31, 2020, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each such investment.

Ownership Legend:

Filer = F

Spouse/Child(ren) = S/C

Trust = T

Name of Bond/ Other Security	Owner	Description of Investment	Income from Investment
Massachusetts ST Dev Fin Agy	F, S/C	Bond	\$1,001 to 5,000
Massachusetts Housing Fin Agy	F, S/C	Bond	\$1,001 to 5,000
massachusetts general obligation bond	F, S/C	Bond	\$1,001 to 5,000
Martha's Vineyard MA LD BK	F, S/C	Bond	\$1,001 to 5,000
Massachusetts Dept Trans MET HW	F, S/C	Bond	\$5,001 to 10,000

University of MA Building Authority	F, S/C	Bond	Less than \$1,001
Waltham MA	F, S/C	Bond	Less than \$1,001
Massachusetts State Educational Finance Authority	F, S/C	Bond	Less than \$1,001
Mattapoisett Municipal Bond	F, S/C	Bond	Less than \$1,001
Arlington MA Municipal Bond	F, S/C	Bond	Less than \$1,001
Dedham MA municipal bond	F, S/C	Bond	Less than \$1,001
Edgartown MA municipal bond	F, S/C	Bond	Less than \$1,001

22. Other than the bonds or other securities identified in Question 21, identify every bond or other security issued by the Commonwealth of Massachusetts or its political subdivisions, agencies, and authorities, which your spouse and/or any dependent child(ren) residing in your household owned directly or through a Business, as of December 31, 2020, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each such investment.

Filer reported none.

23. Identify every bond or other security issued by the Commonwealth of Massachusetts or its political subdivisions, agencies, and authorities, which was owned as of December 31, 2020, by a Trust of which you were a beneficiary, whether directly or through a Business, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each such investment.

Beneficiary Legend:

Filer = F

Spouse/Child(ren) = S/C

Trust = T

Filer reported none.

24. Identify every bond or other security issued by the Commonwealth of Massachusetts or its political subdivisions, agencies, and authorities, which was owned as of December 31, 2020, by a Trust of which your spouse and/or any dependent child(ren) residing in your household was a beneficiary, whether directly or through a Business, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each such investment.

Filer reported none.

25. Identify every Financial Investment that you owned directly or through a Business as of December 31, 2020, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each.

Ownership Legend:

Filer = F

Spouse/Child(ren) = S/C

Trust = T

Name of Issuer	Owner	Description of Investment	Principal Place of Business or State of Incorporation	Address
Artisan Global Opportunities Fd Insti Shs (APHRX)	F, S/C			
iShares MSCI EAFE ETF (EFA)	F, S/C			
iShares Currency Hedged MSCI EAFE ETF (HEFA)	F, S/C			
United States Treasury Trust Class K (UTKXX)	F, S/C			
PIMCO All Asset Fund Institutional Class (PAAIX)	F, S/C			
BlackRock Strategic Municipal Opportunities Fund Institutional Cl (MAMTX)	F, S/C			

Bexas County, Texas	F, S/C	Bond	Texas	Bexar County Housing Corp, 101 West Nueva, San Antonio, TX, 78205, US
Cook County, Ill	F, S/C	Bond	Illinois	114 West 144th Street, Riverdale, IL, 60827, US
E. Hempfield Township, PA	F, S/C	Bond	Pennsylvania	1700 Nissley Road, Box 128, Landsville, PA, 17538, US
East Point, GA	F, S/C	Bond	Georgia	2777 East Point Street, East Poing, GA, 30344, US
City of Farmington, NM	F, S/C	Bond	New Mexico	800 Municipal Drive, Farmington, NM, 87401, US
Georgia State Housing Authority	F, S/C	Bond	Georgia	60 Executive Park South, Atlanta, GA, 30329, US
State of Hawaii Housing & Finance Dev.	F, S/C	Bond	Hawaii	677 Queen Street, Honolulu, HI, 96813, US
Illinois Finance Authority	F, S/C	Bond	Illinois	160 North Lasalle Street, Sute S-1000, Chicago, IL, 60601, US

Kankakee, IL	F, S/C	Bond	Illinois	304 South India Avenue, Kankakee, IL, 60901, US
Lake County Community College, OH	F, S/C	Bond	Ohio	7700 Clocktower Drive, Kirtland, OH, 44094, US
Louisiana Public Facilities Authority	F, S/C	Bond	Louisiana	2237 S. Acadian Thruway, Suite 650, Baton Rouge, LA, 70808, US
Maine Housing Authority	F, S/C	Bond	Maine	353 Water Street, Box 2669, Augusta, ME, 04338, US
Mayfield Ohio	F, S/C	Bond	Ohio	1101 SOM Center Road, Mayfield Heights, OH, 44124, US
Michigan Finance Authority	F, S/C	Bond	Michigan	430 W. Allegan Street, Lansing, MI, 49922, US
Michigan State Housing Dev Authority	F, S/C	Bond	Michigna	735 E. Michigan Avenue, Box 30044, Lansing, MI, 48922, US
Minnesota Housing Finance Auth	F, S/C	Bond	Minnesota	400 Sibley Street, St. Paul, MN, 55101, US

New Mexico Mortgage Finance Authority	F, S/C	Bond	New Mexico	One Aberdeen Square, Aberdeen, NJ, 07747, US
New York Mortgage Agency	F, S/C	Bond	New York	641 Lexington Avenue, New York, NY, 10022, US
Northeast Metro School District	F, S/C	Bond	Minnesota	2540 County Road F East, White Bear Lake, MN, 55110, US
Richmond Hospital Authority	F, S/C	Bond	Indiana	50 North Street, Richmond , IN, 47374, US
Tennessee Housing Dev Authority	F, S/C	Bond	Tennessee	Andrew Jackson Bldg 3rd Flr, 502 Deaderick Street, Nashville, TN, 37243, US
Vermont Housing Finance Agency	F, S/C	Bond	Vermont	164 St. Pual Street, Burlington , VT, 05401, US
Wisconsin Health & Education Facilities	F, S/C	Bond	Wisconsin	18000 W. Sarah Lane, Brookfield, WI, 53045, US
Chicago Wastewater Dept	F, S/C	Bond	Illinois	33 North Lasalle Street, Chicago, IL, 60602, US

Commonwealth Financing Authority	F, S/C	Bond	Pennsylvania	400 North Street, Harisburg, PA, 17120, US
State of Illinois	F, S/C	Bond	Illinois	100 W. Randolph, Chicago, IL, 60601, US
Miami Dade County Water and Sewer	F, S/C	Bond	Florida	3071 SW 38th Avenue, Miami, FL, 33146, US
New Jersey Transportation Auth	F, S/C	Bond	New Jersey	Box 600, Trenton, NJ, 08625, US
New York Dormitory Authority	F, S/C	Bond	New York	515 Broadway, Albany, NY, 12207, US
Northern Arizona University	F, S/C	Bond	Arizona	South San Francisco Street, Flagstaff, AZ, 86011, US
Pasco County School District	F, S/C	Bond	Florida	7227 Land O'Lakes Blvd, Land O Lakes, FL, 34638, US
Philadelphia Water & Wastewater	F, S/C	Bond	Pennsylvania	1401 John F Kennedy Blvd, Philadelphia, PA, 19102, US

Port of Seattle	F, S/C	Bond	Washington	2711 Alaskan Way, Seattle, WA, 98121, US
St Lucie County	F, S/C	Bond	Florida	2300 Virginia Ave, Fort Pierce, FL, 34982, US
San Mateo County	F, S/C	Bond	California	3401 CSM Drive, San Mateo, CA, 94402, US
State of Washington	F, S/C	Bond	Washington	Legislative Building, Box 4020, Olympia, WA, 98504, US
Washoe County School Dist	F, S/C	Bond	Nevada	425 E. 9th Street, Reno, NV, 89512, US
Wisconsin Health & Education Facilities	F, S/C	Bond	Wisconsin	Ascension Health Credit Group, 4600 Edmundson Road, St Louis, MO, 63134, US
Chicago Metropolitan Water District	F, S/C	Bond	Illinois	100 E. Erie Street, Chicago, IL, 60611, US
Minneapolis	F, S/C	Bond	Minnesota	350 S. 5th Street, Minneapolis, MN, 55415, US

New York City	F, S/C	Bond	New York	City Hall Park, New York, NY, 10007, US
BlackRock Global Alloc I (MALOX)	F, S/C			
iShares MSCI Israel Capped Investable Market Index Fund(EIS)	F, S/C			
Vanguard Emerging Markets Stock Index Fd Admiral Shs (VEMAX)	F, S/C			
Florida Housing Finance Corp	F, S/C	Bond	Florida	400 South Monroe Street, Tallahassee, FL, 32301, US
Genessee County Michigan	F, S/C	Bond	Michigan	1101 Beach Street, Flint, MI, 48502, US
Illinois Housing Development Authority	F, S/C	Bond	Illinois	111 E. Wacker Drive, Suite 1000, Chicago, IL, 60601, US
Indiana State Finance Authority	F, S/C	Bond	Indiana	One North Capitol, Suite 900, Indianapolis, IN, 46204, US

Lancaster PA Hospital Authority	F, S/C	Bond	Pennsylvania	150 N. Queen Street, Lancaster, PA, 17603, US
Michigan (State of)	F, S/C	Bond	Michigan	Dep't of Treasury, Lansing, MI, 48922, US
Muskegon Heights Michigan	F, S/C	Bond	Michigan	2724 Peck Street, Muskegon Heights, MA, 49444, US
New Hampshire Housing Authority	F, S/C	Bond	New Hampshire	32 Constitution Drive, Bedford, NH, 03108, US
New York City Housing Development Corp	F, S/C	Bond	New York	110 William Street, New York, NY, 10038, US
North Dakota Housing Finance Agency	F, S/C	Bond	North Dakota	2624 Vermont Avenue, Bismarck, ND, 58502, US
South Dakota Health and Education Facilities Authority	F, S/C	Bond	South Dakota	330 South Poplar, Pierre, SD, 57501, US
Tarrant County Texas Cultural Facilities	F, S/C	Bond	Texas	100 E. Weatherford Street, Fort Worth, TX, 76102, US

Vancouver County Washington Housing Authority	F, S/C	Bond	Washington	2500 Main Street, Vancouver, WA, 98660, US
Washington State Housing Finance Commission	F, S/C	Bond	Washington	1000 2nd Avenue, Seattle, WA, 98104, US
Wyoming Municipal Power Agency	F, S/C	Bond	Wyoming	4041 US-20, Lusk, WY, 82225, US
Invesco Municipal Trust Common Stock (VKQ)	F, S/C			
Invesco Trust for Investment Grade Municipals Common Stock (DE) (VGM)	F, S/C			
Invesco Municipal Opportunity Trust Common Stock (VMO)	F, S/C			
Invesco Advantage Municipal Income Trust II Common Shares of Beneficial Interest (DE) (VKI)	F, S/C			
California (State of)	F, S/C	Bond	California	915 Capital Mall, Sacramento, CA, 94209, US

Pennsylvania Capital Region Water Authority	F, S/C	Bond	Pennsylvania	212 Locust Street, Harrisburg, PA, 17101, US
District of Columbia	F, S/C	Bond	District of Columbia	1350 Pennsylvania Avenue NW, Washington, D.C., DC, 20004, US
Monroe County Florida School District	F, S/C	Bond	Florida	241 Trumbo Road, Key West, FL, 33040, US
Orange County Florida School Board	F, S/C	Bond	Florida	2100 Summerfield Road, Winter Park, FL, 32792, US
University of Colorado Hospital Authority	F, S/C	Bond	Colorado	12605 E. 16th Street, Aurora, CO, 80045, US
T. Rowe Price New Era Fd(PRNEX)	F, S/C			
Clarke County Georgia	F, S/C	Bond	Georgia	Box 1868, Athens, GA, 30603, US
Northern Texas Tollway Authority	F, S/C	Bond	Texas	930 E. Adams Street, Brownsville, TX, 78520, US

Chicago O'Hare Int'l Airport	F, S/C	Bond	Illinois	1000 west o'hare, chicago, IL, 60666, US
Seattle Housing Authority	F, S/C	Bond	Washington	190 Queen Anne Avenue, Seattle, WA, 98109, US
Grandeur Peak International Stalwarts Fund Institutional Class (GISYX)	F, S/C			
Vanguard 500 Index Fd Admiral Shs (VFIAX)	F, S/C			
Atlanta GA Water & Wastewater	F, S/C	Bond	Georgia	68 Mitchell St SW, , Atlanta, GA, 30301, US
CA State Health Facilities Finance Authority	F, S/C	Bond	California	915 Capitol Mall C-15 , Sacramento, CA, 95814, US
Chicago Waterworks	F, S/C	Bond	Illinois	121 N La Salle St, Chicago, IL, 60602, US
Clark County Airport	F, S/C	Bond	Nevada	5757 Wayne Newton Boulevard, Las Vegas, NV, 89119, US

Clark County	F, S/C	Bond	Nevada	500 S Grand Central Pkwy, Las Vegas, NV, 89155, US
Hamilton County Ohio	F, S/C	Bond	Ohio	138 East Court Street, Cincinnati, OH, 45202, US
Jefferson Parish LA	F, S/C	Bond	Louisiana	6437 Jefferson Hwy , New Orleans, LA, 70123, US
Leander Texas	F, S/C	Bond	Texas	113 E 8th St, Georgetown, TX, Georgetown, TX, 78627, US
Lee County	F, S/C	Bond	Florida	800 Dunlop Rd, Sanibel, FL, 33957, US
State of Michigan	F, S/C	Bond	Michigan	P.O. Box 30756, Lansing, MI, 48901, US
Metropolitan Transit Authority	F, S/C	Bond	New York	370 Jay Street, Brooklyn, NY, 11201, US
State of Mississippi	F, S/C	Bond	Mississippi	P.O. Box 138, Jackson, MS, 39205, US

New York City Municipal Water Authority	F, S/C	Bond	New York	255 Greenwich Street, New York, NY, 10007, US
City of New York	F, S/C	Bond	New York	260 Broadway, Manhattan, NY, 10007, US
New York State Urban Development Corporation	F, S/C	Bond	New York	633 Third Avenue, New York, NY, 10017, US
City of Richmond VA	F, S/C	Bond	Virginia	900, E Broad Street, Richmond, VA, 23219, US
Wayne State Univeristy	F, S/C	Bond	Michigan	656 West Kirby Street, Detroit, MI, 48202, US
Wisconsin Health and Education Facilities Agency	F, S/C	Bond	Wisconsin	18000 West Sarah Laneld, Brookfield, WI, 53045, US
Illinois State University	F, S/C	Bond	Illinois	100 N University St, Norman, IL, 61761, US
Louisiana State Housing Finance Authority	F, S/C	Bond	Louisiana	2415 Quail Dr, Baton Rouge, LA, 70808, US

Nuveen Quality Income Municipal Fd Inc (XNQUX)	F, S/C			
Nevada State Housing Division	F, S/C	Bond	Nevada	3300 W Sahara Blvd Ste 300 , Las Vegas, NV, 89102, US
Ohio State Housing Finance Agency	F, S/C	Bond	Ohio	57 E Main St, Columbus, OH, 43215, US
Palm Beach County	F, S/C	Bond	Florida	301 N. Olive Avenue , West Palm Beach, FL, 33401, US
University Park Recreation District Florida	F, S/C	Bond	Florida	1112 Manatee Avenue West, Bradenton, FL, 34206, US
Virginia State Housing Development Authority	F, S/C	Bond	Virginia	601 S Belvidere St, Richmond, VA, 23220, US
Acadian Emerging Market Portfolio Instl Cl (AEMGX)	F, S/C			
BlackRock Long-Term Municipal Advantage Trust (XBTAX)	F, S/C			

Florida State Housing Finance Corp	F, S/C	Bond	Florida	227 North Bronough Street, Tallahassee, FL, 32301, US
Invesco Quality Municipal Income Trust Common Stock (IQI)	F, S/C			
Las Vegas Convention Authority	F, S/C	Bond	Nevada	3150 Paradise Road, Las Vegas, NV, 89109, US
Sangamon & Macoupin Counties	F, S/C	Bond	Illinois	200 South Ninth Street, Springfield, IL, 62701, US
Maine Housing Authority	F, S/C	Bond	Maine	26 Edison Drive, Augusta, ME, 04330, US
New Jersey Educational Facilities Authority	F, S/C	Bond	New Jersey	103 College Road East, Princeton, NJ, 08540, US
New Jersey Highed Education Student Assistance Authority	F, S/C	Bond	New Jersey	4 Quakerbridge Plaza, Trenton, NJ, 08619, US
New Mexico Mortgage Finance Authority	F, S/C	Bond	New Mexico	344 4th Street SW, Albuquerque, NM, 87102, US

Wiscohsin Housing & Economic Development Authority	F, S/C	Bond	Wisconsin	611 W National Ave, Milwaukee, WI, 53204, US
Bay Area Toll Authority	F, S/C	Bond	California	375 Beale Street, San Francisco, CA, 94105, US
Capital Region Water Authority	F, S/C	Bond	Pennsylvania	100 Pine Dr., Harrisburg, PA, 17103, US
Colorado Health Facilities Authority	F, S/C	Bond	Colorado	3033 1st Avenue, Denver, CO, 80206, US
Collin County	F, S/C	Bond	Texas	2300 Bloomdale Road, McKinney, TX, 75071, US
Cook County	F, S/C	Bond	Illinois	118 N. Clark Street, Chicago, IL, 60602, US
Denver County	F, S/C	Bond	Colorado	520 W. Colfax Avenue, Denver, CO, 80204, US
Hillsborough County	F, S/C	Bond	Florida	601 E. Kennedy Road, Tampa, FL, 33602, US

Michigan Finance Authority	F, S/C	Bond	Michigan	430 W. Allegan Street, Lansing, MI, 48933, US
Miami Dade County	F, S/C	Bond	Florida	111 NW 1st Street, Miami, FL, 33128, US
North Fort Bend Water Authority	F, S/C	Bond	Texas	13380 Bellaire Blvd, Houston, TX, 77083, US
Colorado Regional Transportation District	F, S/C	Bond	Colorado	1660 Blake Street, Denver, CO, 80202, US
SPDR S&P 500 (SPY)	F, S/C			
iShares Russell 2000 ETF (IWM)	F, S/C			
iShares MSCI Israel Capped Investable Market Index Fund (EIS)	F, S/C			
BlackRock Funds II, Strategic Income Opportunities Ptf Institutional (BSI IX)	F, S/C			

BlackRock Lg Cap Core A (MDLRX)	F, S/C
BlackRock Capital Appreciation Fund Inc. Investor A (MDFGX)	F, S/C
BlackRock Total Return Fund Class A (MDHQX)	F, S/C
BlackRock Basic Value A (MDBAX)	F, S/C
BlackRock Global Alloc A (MDLOX)	F, S/C
BlackRock Glbl Sm Cap A (MDGCX)	F, S/C
BlackRock International A (MDILX)	F, S/C
3M Company Common Stock (MMM)	F, S/C

Abbott Laboratories Common Stock (ABT)	F, S/C
AbbVie Inc. Common Stock (ABBV)	F, S/C
ACADIA Pharmaceuticals Inc. - Common Stock (ACAD)	F, S/C
Accelaron Pharma Inc. - Common Stock (XLRN)	F, S/C
Accenture plc. Class A Ordinary Shares (Ireland) (ACN)	F, S/C
Activision Blizzard, Inc - Common Stock (ATVI)	F, S/C
Adobe Systems Incorporated - Common Stock (ADBE)	F, S/C
Advanced Micro Devices, Inc. - Common Stock (AMD)	F, S/C

Agilent Technologies, Inc. Common Stock (A)	F, S/C
Air Products and Chemicals, Inc. Common Stock (APD)	F, S/C
Akamai Technologies, Inc. - Common Stock (AKAM)	F, S/C
Albemarle Corporation Common Stock (ALB)	F, S/C
Alexion Pharmaceuticals, Inc. - Common Stock (ALXN)	F, S/C
Align Technology, Inc. - Common Stock (ALGN)	F, S/C
Alliant Energy Corporation Common Stock (LNT)	F, S/C
Alnylam Pharmaceuticals, Inc. - Common Stock (ALNY)	F, S/C

Alphabet Inc. - Class A Common Stock (GOOGL)	F, S/C
Alphabet Inc. - Class C Capital Stock (GOOG)	F, S/C
Alliant Energy Corporation Common Stock (LNT)	F, S/C
Amazon.com, Inc. - Common Stock (AMZN)	F, S/C
Ameren Corporation Common Stock (AEE)	F, S/C
American Express Company Common Stock (AXP)	F, S/C
American International Group, Inc. New Common Stock (AIG)	F, S/C
American Tower Corporation (REIT) Common Stock (AMT)	F, S/C

American Water Works Company, Inc. Common Stock (AWK)	F, S/C
Amgen Inc. - Common Stock (AMGN)	F, S/C
ANSYS, Inc. - Common Stock (ANSS)	F, S/C
Anthem, Inc. Common Stock (ANTM)	F, S/C
Aon plc Class A Ordinary Shares (UK) (AON)	F, S/C
Apple Inc. - Common Stock (AAPL)	F, S/C
Applied Materials, Inc. - Common Stock (AMAT)	F, S/C
Arrow Electronics, Inc. Common Stock (ARW)	F, S/C

Associated Banc-Corp Common Stock (ASB)	F, S/C
AT&T Inc. (T)	F, S/C
Autodesk, Inc. - Common Stock (ADSK)	F, S/C
Automatic Data Processing, Inc. - Common Stock (ADP)	F, S/C
AutoZone, Inc. Common Stock (AZO)	F, S/C
Axovant Sciences Ltd. Common Shares (AXON)	F, S/C
Bank of America Corporation Common Stock (BAC)	F, S/C
Baxter International Inc. Common Stock (BAX)	F, S/C

Becton, Dickinson and Company Common Stock (BDX)	F, S/C
Berkshire Hathaway Inc. New Common Stock (BRK.B)	F, S/C
Biogen Inc. - Common Stock (BIIB)	F, S/C
BlackRock, Inc. Common Stock (BLK)	F, S/C
Boeing Company (The) Common Stock (BA)	F, S/C
Boston Beer Company, Inc. (The) Common Stock (SAM)	F, S/C
Boston Scientific Corporation Common Stock (BSX)	F, S/C
Bristol-Myers Squibb Company Common Stock (BMY)	F, S/C

Avago Technologies Limited - Ordinary Shares (AVGO)	F, S/C
Brown & Brown, Inc. Common Stock (BRO)	F, S/C
Cabot Corporation Common Stock (CBT)	F, S/C
Cadence Design Systems, Inc. - Common Stock (CDNS)	F, S/C
Camden Property Trust Common Stock (CPT)	F, S/C
Capital One Financial Corporation Common Stock (COF)	F, S/C
CarMax Inc (KMX)	F, S/C
Carnival Corporation Common Stock (CCL)	F, S/C

Catalent, Inc. Common Stock (CTLT)	F, S/C
Caterpillar, Inc. Common Stock (CAT)	F, S/C
CBRE Group Inc Common Stock Class A (CBG)	F, S/C
CDK Global, Inc. - Common Stock (CDK)	F, S/C
Celanese Corporation Celanese Corporation Series A Common Stock (CE)	F, S/C
Charter Communications, Inc. - Class A Common Stock (CHTR)	F, S/C
Chegg, Inc. Common Stock (CHGG)	F, S/C
Chevron Corporation Common Stock (CVX)	F, S/C

Chipotle Mexican Grill, Inc. Common Stock (CMG)	F, S/C
Chubb Corporation (The) Common Stock (CB)	F, S/C
Church & Dwight Company, Inc. Common Stock (CHD)	F, S/C
Cigna Corporation Common Stock (CI)	F, S/C
Cisco Systems, Inc. - Common Stock (CSCO)	F, S/C
Citigroup, Inc. Common Stock (C)	F, S/C
CME Group Inc. - Class A Common Stock (CME)	F, S/C
CMS Energy Corporation Common Stock (CMS)	F, S/C

Coca-Cola Company (The) Common Stock(KO)	F, S/C
Cognizant Technology Solutions Corporation - Class A Common Stock(CTSH)	F, S/C
Colgate-Palmolive Company Common Stock(CL)	F, S/C
Columbia Sportswear Company - Common Stock(COLM)	F, S/C
Comcast Corporation - Class A Common Stock(CMCSA)	F, S/C
CNX Coal Resources LP Common Units representing limited partner interests(CNXC)	F, S/C
ConocoPhillips Common Stock(COP)	F, S/C
ConocoPhillips Common Stock(COP)	F, S/C

Constellation Brands, Inc. Common Stock (STZ)	F, S/C
CoStar Group, Inc. - Common Stock (CSGP)	F, S/C
Costco Wholesale Corporation - Common Stock (COST)	F, S/C
Cree, Inc. - Common Stock (CREE)	F, S/C
Crown Castle International Corp. (REIT) Common Stock (CCI)	F, S/C
Curtiss-Wright Corporation Common Stock (CW)	F, S/C
CVS Health Corporation Common Stock (CVS)	F, S/C
Danaher Corporation Common Stock (DHR)	F, S/C

Deere & Company Common Stock (DE)	F, S/C
Delta Air Lines, Inc. Common Stock (DAL)	F, S/C
DexCom, Inc. - Common Stock (DXCM)	F, S/C
Diamondback Energy, Inc. - Common Stock (FANG)	F, S/C
Digital Realty Trust, Inc. 6.350% Series I Cumulative Redeemable Preferred Stock, par value \$0.01 per share (DLR\$I)	F, S/C
Discover Financial Services Common Stock (DFS)	F, S/C
Discovery Communications, Inc. - Series A Common Stock (DISCA)	F, S/C
Discovery Communications, Inc. - Series C Common Stock (DISCK)	F, S/C

Dollar General Corporation Common Stock (DG)	F, S/C
Dow Chemical Company (The) Common Stock (DOW)	F, S/C
Eastman Chemical Company Common Stock (EMN)	F, S/C
eBay Inc. - Common Stock (EBAY)	F, S/C
Edwards Lifesciences Corporation Common Stock (EW)	F, S/C
Enphase Energy, Inc. - Common Stock (ENPH)	F, S/C
Entergy Corporation Common Stock (ETR)	F, S/C
EOG Resources, Inc. Common Stock (EOG)	F, S/C

EPAM Systems, Inc. Common Stock (EPAM)	F, S/C
Equinix, Inc. - Common Stock (EQIX)	F, S/C
Estee Lauder Companies, Inc. (The) Common Stock (EL)	F, S/C
Exact Sciences Corporation - Common Stock (EXAS)	F, S/C
Exelixis, Inc. - Common Stock (EXEL)	F, S/C
Expedia, Inc. - Common Stock (EXPE)	F, S/C
Exxon Mobil Corporation Common Stock (XOM)	F, S/C
Federal Realty Investment Trust Common Stock (FRT)	F, S/C

FedEx Corporation Common Stock(FDX)	F, S/C
Fidelity National Information Services, Inc. Common Stock(FIS)	F, S/C
FireEye, Inc. - Common Stock(FEYE)	F, S/C
Fiserv, Inc. - Common Stock(FISV)	F, S/C
Five9, Inc. - Common Stock(FIVN)	F, S/C
F.N.B. Corporation Common Stock(FNB)	F, S/C
Ford Motor Company Common Stock(F)	F, S/C
Fortinet, Inc. - Common Stock(FTNT)	F, S/C

Freeport-McMoRan, Inc. Common Stock (FCX)	F, S/C
Generac Holdings Inc. Common Stock (GNRC)	F, S/C
General Electric Company Common Stock (GE)	F, S/C
General Mills, Inc. Common Stock (GIS)	F, S/C
General Motors Company Common Stock (GM)	F, S/C
Gilead Sciences, Inc. - Common Stock (GILD)	F, S/C
Global Payments Inc. Common Stock (GPN)	F, S/C
Globant S.A. Common Shares (GLOB)	F, S/C

GoDaddy Inc. Class A Common Stock (GDDY)	F, S/C
Goldman Sachs Group, Inc. (The) Common Stock (GS)	F, S/C
Halliburton Company Common Stock (HAL)	F, S/C
Hanover Insurance Group Inc (THG)	F, S/C
HCA Holdings, Inc. Common Stock (HCA)	F, S/C
Healthcare Trust of America, Inc. Class A Common Stock (HTA)	F, S/C
Highwoods Properties, Inc. Common Stock (HIW)	F, S/C
Hilton Worldwide Holdings Inc. Common Stock (HLT)	F, S/C

Hologic, Inc. - Common Stock (HOLX)	F, S/C
Home Depot, Inc. (The) Common Stock (HD)	F, S/C
Honeywell International Inc. Common Stock (HON)	F, S/C
Horizon Pharma plc - common stock (HZNP)	F, S/C
Hewlett-Packard Company Common Stock (HPQ)	F, S/C
HubSpot, Inc. Common Stock (HUBS)	F, S/C
Humana Inc. Common Stock (HUM)	F, S/C
IAC/InterActiveCo rp - Common Stock (IACI)	F, S/C

IDACORP, Inc. Common Stock (IDA)	F, S/C
IDEXX Laboratories, Inc. - Common Stock (IDXX)	F, S/C
Intel Corporation - Common Stock (INTC)	F, S/C
Intercontinental Exchange Inc. Common Stock (ICE)	F, S/C
International Business Machines Corporation Common Stock (IBM)	F, S/C
International Flavors & Fragrances, Inc. Common Stock (IFF)	F, S/C
Intuit Inc. - Common Stock (INTU)	F, S/C
Intuitive Surgical, Inc. - Common Stock (ISRG)	F, S/C

ITT Corporation Common Stock New (ITT)	F, S/C
J.M. Smucker Company (The) New Common Stock (SJM)	F, S/C
Johnson & Johnson Common Stock (JNJ)	F, S/C
JP Morgan Chase & Co. Common Stock (JPM)	F, S/C
Juniper Networks, Inc. Common Stock (JNPR)	F, S/C
Kellogg Company Common Stock (K)	F, S/C
Keysight Technologies Inc. Common Stock (KEYS)	F, S/C
KLA-Tencor Corporation - Common Stock (KLAC)	F, S/C

Kroger Company (The) Common Stock (KR)	F, S/C
Laboratory Corporation of America Holdings Common Stock (LH)	F, S/C
Lam Research Corporation - Common Stock (LRCX)	F, S/C
Lennar Corporation Class A Common Stock (LEN)	F, S/C
Liberty Broadband Corporation - Class C Common Stock (LBRDK)	F, S/C
Lincoln Electric Holdings, Inc. - Common Shares (LECO)	F, S/C
Littelfuse, Inc. - Common Stock (LFUS)	F, S/C
Live Nation Entertainment, Inc. Common Stock (LYV)	F, S/C

Lockheed Martin Corporation Common Stock (LMT)	F, S/C
Lowe's Companies, Inc. Common Stock (LOW)	F, S/C
lululemon athletica inc. - Common Stock (LULU)	F, S/C
Marathon Petroleum Corporation Common Stock (MPC)	F, S/C
MarketAxess Holdings, Inc. - Common Stock (MKTX)	F, S/C
Marriott International - Class A Common Stock (MAR)	F, S/C
Marsh & McLennan Companies, Inc. Common Stock (MMC)	F, S/C
Marvell Technology Group Ltd. - Common Stock (MRVL)	F, S/C

Mastercard Incorporated Common Stock (MA)	F, S/C
McCormick & Company, Incorporated Common Stock (MKC)	F, S/C
McDonald's Corporation Common Stock (MCD)	F, S/C
McKesson Corporation Common Stock (MCK)	F, S/C
Medtronic plc. Ordinary Shares (MDT)	F, S/C
Merck & Company, Inc. Common Stock (new) (MRK)	F, S/C
Microchip Technology Incorporated - Common Stock (MCHP)	F, S/C
Micron Technology, Inc. - Common Stock (MU)	F, S/C

Microsoft Corporation - Common Stock (MSFT)	F, S/C
Mid-America Apartment Communities, Inc. Common Stock (MAA)	F, S/C
Moody's Corporation Common Stock (MCO)	F, S/C
MSCI Inc Common Stock (MSCI)	F, S/C
Nektar Therapeutics - Common Stock (NKTR)	F, S/C
Netflix, Inc. - Common Stock (NFLX)	F, S/C
News Corporation - Class A Common Stock (NWSA)	F, S/C
NextEra Energy, Inc. Common Stock (NEE)	F, S/C

Nike, Inc. Common Stock (NKE)	F, S/C
NiSource Inc Common Stock (NI)	F, S/C
Norfolk Southern Corporation Common Stock (NSC)	F, S/C
Northrop Grumman Corporation Common Stock (NOC)	F, S/C
Norwegian Cruise Line Holdings Ltd. - Ordinary Shares (NCLH)	F, S/C
NovoCure Limited - Ordinary Shares (NVCR)	F, S/C
Nuance Communications, Inc. - Common Stock (NUAN)	F, S/C
NVIDIA Corporation - Common Stock (NVDA)	F, S/C

O'Reilly Automotive, Inc. - Common Stock (ORLY)	F, S/C
Occidental Petroleum Corporation Common Stock (OXY)	F, S/C
Omnicom Group Inc. Common Stock (OMC)	F, S/C
Oracle Corporation Common Stock (ORCL)	F, S/C
Paycom Software, Inc. Common Stock (PAYC)	F, S/C
PayPal Holdings, Inc. - Common Stock (PYPL)	F, S/C
Penumbra, Inc. Common Stock (PEN)	F, S/C
Pepsico, Inc. Common Stock (PEP)	F, S/C

Pfizer, Inc. Common Stock(PFE)	F, S/C
Philip Morris International Inc Common Stock(PM)	F, S/C
Phillips 66 Common Stock(PSX)	F, S/C
Pinnacle Financial Partners, Inc. - Common Stock(PNFP)	F, S/C
PNC Financial Services Group, Inc. (The) Common Stock(PNC)	F, S/C
Procter & Gamble Company (The) Common Stock(PG)	F, S/C
Progressive Corporation (The) Common Stock(PGR)	F, S/C
ProLogis, Inc. Common Stock(PLD)	F, S/C

Prudential Financial, Inc. Common Stock (PRU)	F, S/C
QUALCOMM Incorporated - Common Stock (QCOM)	F, S/C
Quanta Services, Inc. Common Stock (PWR)	F, S/C
Raytheon Company Common Stock (RTN)	F, S/C
Regal Beloit Corporation Common Stock (RBC)	F, S/C
Regeneron Pharmaceuticals, Inc. - Common Stock (REGN)	F, S/C
Repligen Corporation - Common Stock (GEN)	F, S/C
Rexford Industrial Realty, Inc. Common Stock (REXR)	F, S/C

Robert Half International Inc. Common Stock (RHI)	F, S/C
Roper Technologies, Inc. Common Stock (ROP)	F, S/C
Ross Stores, Inc. - Common Stock (ROST)	F, S/C
Salesforce.com Inc Common Stock (CRM)	F, S/C
Sarepta Therapeutics, Inc. - Common Stock (SRPT)	F, S/C
Schlumberger N.V. Common Stock (SLB)	F, S/C
Charles Schwab Corporation (The) Common Stock (SCHW)	F, S/C
Seattle Genetics, Inc. - Common Stock (SGEN)	F, S/C

ServiceNow, Inc. Common Stock (NOW)	F, S/C
Sherwin-Williams Company (The) Common Stock (SHW)	F, S/C
Signature Bank - Common Stock (SBNY)	F, S/C
Simon Property Group, Inc. Common Stock (SPG)	F, S/C
Skyworks Solutions, Inc. - Common Stock (SWKS)	F, S/C
SolarEdge Technologies, Inc. - Common Stock (SEDG)	F, S/C
Sonoco Products Company Common Stock (SON)	F, S/C
Starbucks Corporation - Common Stock (SBUX)	F, S/C

Steel Dynamics, Inc. - Common Stock (STLD)	F, S/C
Stryker Corporation Common Stock (SYK)	F, S/C
Synchrony Financial Common Stock (SYF)	F, S/C
Synopsys, Inc. - Common Stock (SNPS)	F, S/C
Sysco Corporation Common Stock (SYY)	F, S/C
T. Rowe Price Group, Inc. - Common Stock (TROW)	F, S/C
T-Mobile US, Inc. Common Stock (TMUS)	F, S/C
Take-Two Interactive Software, Inc. - Common Stock (TTWO)	F, S/C

Tandem Diabetes Care, Inc. - Common Stock (TNDM)	F, S/C
Target Corporation Common Stock (TGT)	F, S/C
TCF Financial Corporation Common Stock (TCB)	F, S/C
Teradata Corporation Common Stock (TDC)	F, S/C
Tesla Motors, Inc. - Common Stock (TSLA)	F, S/C
Texas Instruments Incorporated - Common Stock (TXN)	F, S/C
Thermo Fisher Scientific Inc Common Stock (TMO)	F, S/C
Timken Company (The) Common Stock (TKR)	F, S/C

TJX Companies, Inc. (The) Common Stock (TJX)	F, S/C
Toro Company (The) Common Stock (TTC)	F, S/C
Transdigm Group Incorporated Transdigm Group Inc. Common Stock (TDG)	F, S/C
TripAdvisor, Inc. - Common Stock (TRIP)	F, S/C
Union Pacific Corporation Common Stock (UNP)	F, S/C
United Parcel Service, Inc. Common Stock (UPS)	F, S/C
United Rentals, Inc. Common Stock (URI)	F, S/C
UnitedHealth Group Incorporated Common Stock (DE) (UNH)	F, S/C

Unum Group Common Stock (UNM)	F, S/C
U.S. Bancorp Common Stock (USB)	F, S/C
Valero Energy Corporation Common Stock (VLO)	F, S/C
Veeva Systems Inc. Class A Common Stock (VEEV)	F, S/C
Verisk Analytics, Inc. - Common Stock (VRSK)	F, S/C
Verizon Communications Inc. Common Stock (VZ)	F, S/C
Vertex Pharmaceuticals Incorporated - Common Stock (VRTX)	F, S/C
V.F. Corporation Common Stock (VFC)	F, S/C

Visa Inc.(V)	F, S/C
Voya Financial, Inc. Common Stock (VOYA)	F, S/C
Vulcan Materials Company (Holding Company) Common Stock (VMC)	F, S/C
Walgreens Boots Alliance, Inc. - Common Stock (WBA)	F, S/C
Wal-Mart Stores, Inc. Common Stock (WMT)	F, S/C
Walt Disney Company (The) Common Stock (DIS)	F, S/C
Wayfair Inc. Class A Common Stock (W)	F, S/C
Wells Fargo & Company Common Stock (WFC)	F, S/C

Western Digital Corporation - Common Stock (WDC)	F, S/C
Williams Companies, Inc. (The) Common Stock (WMB)	F, S/C
Williams-Sonoma, Inc. Common Stock (DE) (WSM)	F, S/C
Workday, Inc. Common Stock (WDAY)	F, S/C
Wyndham Worldwide Corp Common Stock (WYN)	F, S/C
Xilinx, Inc. - Common Stock (XLNX)	F, S/C
Yum! Brands, Inc. (YUM)	F, S/C
Zebra Technologies Corporation - Class A Common Stock (ZBRA)	F, S/C

Zendesk, Inc. F, S/C
Common Stock(ZEN)

Zimmer Biomet F, S/C
Holdings, Inc.
Common Stock(ZBH)

Zions F, S/C
Bancorporation -
Common
Stock(ZION)

Zoetis Inc. Class F, S/C
A Common
Stock(ZTS)

Zynga Inc. - F, S/C
Class A Common
Stock(ZNGA)

26. Other than the Financial Investments identified in Question 25, identify every Financial Investment that your spouse and/or any dependent child(ren) residing in your household owned directly or through a Business as of December 31, 2020, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each. For any Financial Investment not included on the drop-down list of publicly traded stock, you must provide the issuer's principal place of business or state of incorporation as well as its address.

Filer reported none.

27. Identify every Financial Investment that was owned as of December 31, 2020, by a Trust of which you were a beneficiary, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each. For any Financial Investment not included on the drop-down list of publicly traded stock, you must provide the issuer's principal place of business or state of incorporation as well as its address.

Beneficiary Legend:

Filer = F

Spouse/Child(ren) = S/C

Trust = T

Filer reported none.

28. Other than the Financial Investments identified in Question 27, identify every Financial Investment that was owned as of December 31, 2020, by a Trust of which your spouse and/or any dependent child(ren) residing in your household was a beneficiary, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each. For any Financial Investment not included on the drop-down list of publicly traded stock, you must provide the issuer's principal place of business or state of incorporation as well as its address.

Filer reported none.

NOTE: If the Filer answered "YES" to a Question in the following section, one or more columns in the Table for that Question may be blank in the following situations: If the Filer indicated that the name of the person and/or the trust was a family member's name or address, the Filer was not required to provide that name. If the Filer indicated that an address was a family member's address, the Filer was not required to provide that address.

Debts and Mortgages

29. Identify all mortgages, including home equity and reverse mortgage loans, on your Primary Residence, on which more than \$1,000 was owed as of December 31, 2020, where the creditor (person who loaned you the money) is not, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great-grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative, and provide the required information for each.

Filer reported none.

30. Did you have a mortgage, including a home equity or reverse mortgage loan, on any property OTHER than your Primary Residence, on which more than \$1,000 was owed as of December 31, 2020, which you were obligated to pay and where the creditor (person who loaned you the money) is NOT, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great-grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative?

Obligor Legend:

Filer = F

Spouse/Child(ren) = S/C

Trust = T

Filer reported none.

31. Identify all mortgages, including home equity and reverse mortgage loans, other than any mortgage on your Primary Residence or any mortgage identified in response to Question 30, on which more than \$1,000 was owed as of December 31, 2020, and which your spouse and/or any dependent child(ren) residing in your household were obligated to pay and where the creditor (person who loaned the money to your spouse and/or dependent child(ren) residing in your household) is not, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great-grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative, and provide the required information for each.

Filer reported none.

32. Identify all non-mortgage debts of more than \$1,000 that you owed as of December 31, 2020, IF the person to whom you owed the debt is not, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great-grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative, and provide the required information for each.

Filer reported none.

33. Identify all non-mortgage debts of more than \$1,000 that your spouse and/or any dependent child(ren) residing in your household owed as of December 31, 2020, if the person to whom your spouse and/or any dependent child(ren) residing in your household owed the debt is not, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great-grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative, and provide the required information for each.

Filer reported none.

34. Identify non-mortgage debts of more than \$1,000 which you owed and which were forgiven at any time during 2020, excluding debts forgiven by a person who is, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great-grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative, and provide the required information for each.

Filer reported none.

35. Identify non-mortgage debts of more than \$1,000 that were owed by your spouse and/or any dependent child(ren) residing in your household and were forgiven at any time during 2020, excluding debts forgiven by a person who is, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great-grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative, and provide the required information for each.

Filer reported none.

Reimbursements, Gifts, and Honoraria

36. Identify any Reimbursements for expenses in excess of \$100 provided to you at any time during 2020 by any legislative agent or executive agent (lobbyist).

Filer reported none.

36.a Identify any Reimbursements for expenses in excess of \$100, other than those identified in response to Question 36, you received at any time during 2020 from any person having a direct interest in a matter before the governmental body by which you were or are now employed.

Filer reported none.

37. Identify any Reimbursements for expenses in excess of \$100 provided to your spouse and/or any dependent child(ren) residing in your household at any time during 2020 by any legislative agent or executive agent (lobbyist).

Filer reported none.

37.a Identify any Reimbursements for expenses in excess of \$100, other than those identified in response to Question 36, provided to your spouse and/or dependent child(ren) residing in your household at any time during 2020 by any person having a direct interest in a matter before the governmental body by which you were or are now employed.

Filer reported none.

38. Identify any Gifts and/or Honoraria worth more than \$100 provided to you at any time during 2020 by any person having a direct interest in a matter before a governmental body by which you were or are now employed.

Filer reported none.

39. Identify any Gifts and/or Honoraria worth more than \$100 provided to your spouse and/or any dependent child(ren) residing in your household at any time during 2020 by any person having a direct interest in a matter before the governmental body by which you were or are now employed.

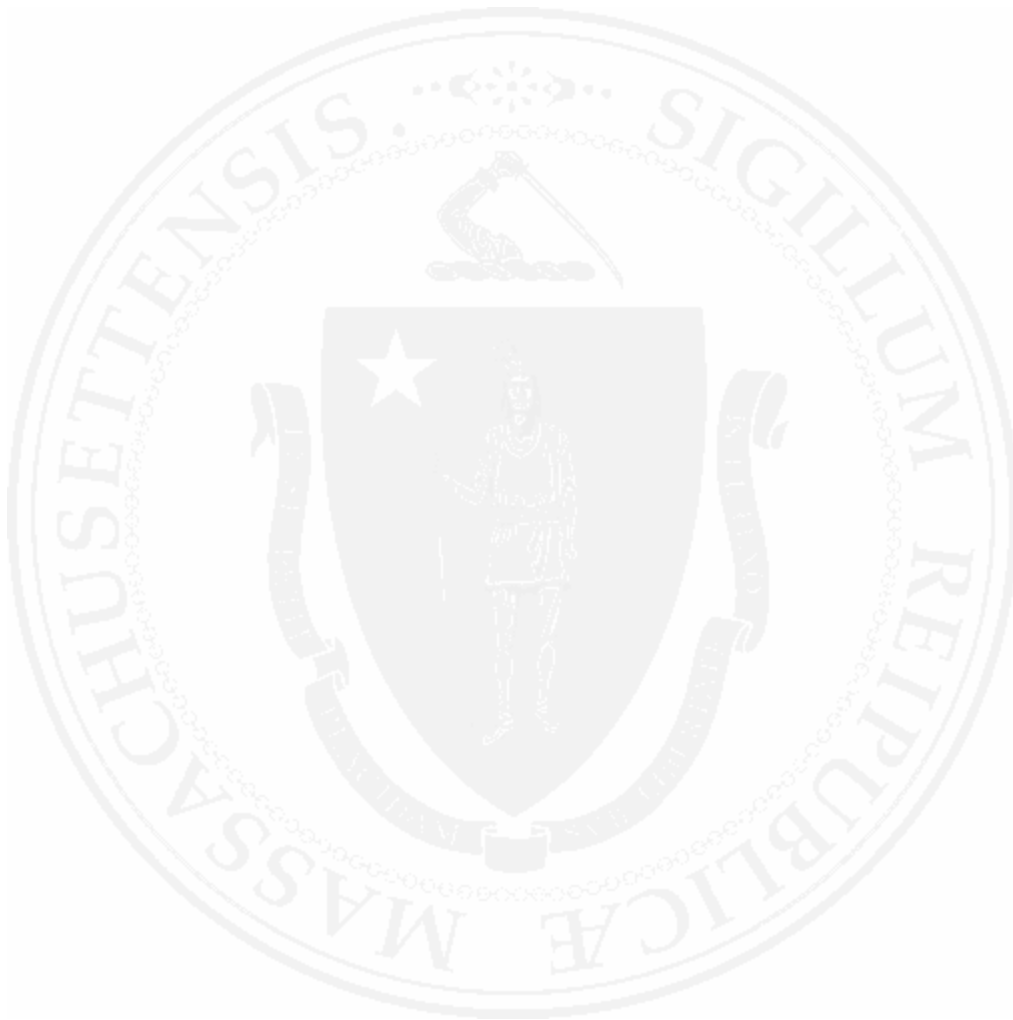
Filer reported none.

NOTE: If the Filer answered “YES” to a Question in the following section, one or more columns in the Table for that Question may be blank in the following situations: If the Filer indicated that the name of the person and/or the trust was a family member’s name or address, the Filer was not required to provide that name. If the Filer indicated that an address was a family member’s address, the Filer was not required to provide that address.

Blind Trusts

40. Did you, your spouse and/or any dependent child(ren) residing in your household during 2020, own anything that you have not reported on this Statement of Financial Interests because it was held in a Blind Trust during 2020?

Filer reported none.



CERTIFICATION

I, Kurt N Schwartz, certify under the pains and penalties of perjury that:

I made a diligent effort to obtain the required information concerning myself and my spouse and/or any dependent child(ren); and

The information provided on this form is true and complete, to the best of my knowledge.

Submitted: 04/29/2021

Did your spouse and/or any dependent child(ren) residing in your household decline to disclose information which is necessary to complete this form fully and accurately?

The following are the specific Question(s) which I decline to answer in whole or in part because I assert that the information is privileged by law:

Please explain the basis of your claim of privilege:

IMPORTANT:

1. No DESIGNATED PUBLIC EMPLOYEE shall be allowed to continue in their duties or to receive compensation from public funds unless they have filed a Statement of Financial Interests with the State Ethics Commission.
2. The State Ethics Commission does not accept a faxed or emailed copy of a Statement of Financial Interests for filing.
3. If you were required to amend your Statement of Financial Interests last year, we encourage you to carefully review your 2020 filing before submitting.