

# Statement of Financial Interests for 2020



## CONTACT INFORMATION

**Last Name:**

**First Name and Middle initial:**

Unger

Toby R

**Work Phone Number:**

**Other Phone:**

(617) 963-2033

**Work Email:**

**Other Email:**

toby.unger@mass.gov

**Primary Residence Address:**

Redacted

**Contact mailing address**

Redacted

You indicated that you did have a spouse residing in your household during 2020.

You indicated that you did have dependent child(ren) residing in your household during 2020.

## Candidacy and Public Service

1. If you are a candidate for public office, please indicate the public office you are seeking.

Filer reported none.

2. Identify the position you now hold, or have held, which requires you to file a Statement of Financial Interests and provide the required information for that position. If you held more than one public position which requires you to file, identify each position.

Agency Name	Address	Position	Date	Amount of Income
Office of the Attorney General (AGO)	One Ashburton Place, Boston, MA, 02108, US	Chief of the Medicaid Fraud Division	09/26/2016	\$100,001 or more
Office of the Attorney General (AGO)	One Ashburton Place, Boston, MA, 02108, US	Chief of the Medicaid Fraud Division	09/26/2016	\$100,001 or more
Office of the Attorney General (AGO)	One Ashburton Place, Boston, MA, 02108, US	Chief of the Medicaid Fraud Division	09/26/2016	\$100,001 or more
Office of the Attorney General (AGO)	One Ashburton Place, Boston, MA, 02110, US	Chief of the Medicaid Fraud Division	09/01/2016	\$100,001 or more
Office of the Attorney General (AGO)	One Ashburton Place, Boston, MA, 02108, US	Chief of the Medicaid Fraud Division	09/26/2016	\$100,001 or more

3. Other than the position(s) identified in Question 2, identify every public position you held, and every public agency to which you provided services, at any time during 2020, whether compensated or not, and whether full- or part-time.

Filer reported none.

4. Identify every public position your spouse and/or any dependent child(ren) residing in your household held, and every public agency to which your spouse and/or any dependent child(ren) residing in your household provided services, at any time during 2020, whether compensated or not, and whether full- or part-time.

Filer reported none.

### Private Employment and Leaves of Absence

5. Identify every Business for which you worked as an employee, manager, consultant, or independent contractor at any time during 2020, whether compensated or not, and whether full- or part-time, and provide the required information for each.

Filer reported none.

6. Identify any Business from which you were on a leave of absence at any time during 2020, and provide its address.

Filer reported none.

7. Identify every Business for which your spouse and/or any dependent child(ren) residing in your household worked as an employee, manager, consultant, or independent contractor at any time during 2020, whether compensated or not, and whether full- or part-time, and provide the required information for each.

Business Name	Self-employed	Address	Position
Cobb Corner Endodontics	<input checked="" type="checkbox"/>	95 Washington Street, #482, Canton, MA, 02021, US	Manager
Cobb Corner Endodontics	<input checked="" type="checkbox"/>	95 Washington Street, #482, Canton, MA, 02021, US	

### Business Ownership and Transfers

8. Identify each Business of which you were, in whole or in part, an owner, partner, or proprietor, or in which you owned more than 1% of any class of the outstanding stock or similar ownership interest, at any time during 2020, and provide the required information for each.

Filer reported none.

9. Identify each Business of which your spouse and/or any dependent child(ren) residing in your household was, in whole or in part, an owner, partner, or proprietor, or in which your spouse and/or any dependent child(ren) residing in your household owned more than 1% of any class of the outstanding stock or similar ownership interest, at any time during 2020, and provide the required information for each.

Business Name	Address
Cobb Corner Endodontics	95 Washington Street, #485, Canton, MA, 02021, US
Cobb Corner Endodontics	95 Washington Street, #482, Canton, MA, 02021, US

10. Identify any stock or similar ownership interest in a Business which you transferred to your spouse and/or any dependent child(ren) residing in your household during 2020, and provide the required information for each.

Filer reported none.

#### Service as an Officer, Director, or Trustee

11. Identify any Business in which you served as an officer, director, or trustee, at any time during 2020, whether compensated or not, and whether full- or part-time, and provide the required information for each.

Filer reported none.

12. Identify any Business in which your spouse and/or any dependent child(ren) residing in your household served as an officer, director, or trustee, at any time during 2020, whether compensated or not, and whether full- or part-time, and provide the required information for each.

Business Name	Address	Position
Cobb Corner Endodontics	95 Washington Street, Canton, MA, 02021, US	Officer
Cobb Corner Endodontics	95 Washington Street, #482, Canton, MA, 02021, US	Director

**NOTE:** If the Filer answered “YES” to a Question in the following section, one or more columns in the Table for that Question may be blank in the following situations: If the Filer indicated that the name of the person and/or the trust was a family member’s name or address, the Filer was not required to provide that name. If the Filer indicated that an address was a family member’s address, the Filer was not required to provide that address.

## Real Estate

13. Identify all Real Estate in Massachusetts which you owned directly or through a Business as of December 31, 2020, and which had an assessed value greater than \$1,000, and provide the required information for each Real Estate holding.

Ownership Legend:  
Filer = F  
Spouse/Child(ren) = S/C  
Trust = T

Property Address	Owner	Transferred?	Transferor Name	Transferor Address	Assessed Value
Redacted	F, S/C	No			\$100,001 or more
Redacted	F, S/C	No			\$100,001 or more

14. Other than the Real Estate identified in Question 13, identify all Real Estate in Massachusetts which your spouse and/or any dependent child(ren) residing in your household owned directly or through a Business as of December 31, 2020, and which had an assessed value greater than \$1,000, and provide the required information for each Real Estate holding.

Filer reported none.

15. Identify any Trust of which you were a beneficiary, and which owned Real Estate in Massachusetts as of December 31, 2020, with an assessed value greater than \$1,000, and provide the required information for each such Trust and Real Estate holding.

Beneficiary Legend:

Filer = F

Spouse/Child(ren) = S/C

Trust = T

Filer reported none.

16. Other than the Real Estate identified in Question 15, identify any Trust of which your spouse and/or any dependent child(ren) residing in your household was a beneficiary, and which owned Real Estate in Massachusetts as of December 31, 2020, with an assessed value greater than \$1,000, and provide the required information for each such Trust and Real Estate holding.

Filer reported none.

17. Identify any Real Estate in Massachusetts with an assessed value greater than \$1,000, that was transferred to another person or entity by you, or by a Trust of which you were a beneficiary, at any time during 2020, and provide the required information for each Real Estate holding.

Ownership Legend:

Filer = F

Spouse/Child(ren) = S/C

Trust = T

Filer reported none.

18. Other than the Real Estate identified in Question 17, identify any Real Estate in Massachusetts with an assessed value greater than \$1,000, that was transferred to another person or entity by your spouse and/or any dependent child(ren) residing in your household, or by a Trust of which your spouse and/or any dependent child(ren) residing in your household was a beneficiary, at any time during 2020, and provide the required information for each piece of Real Estate transferred.

Ownership Legend:

Filer = F

Spouse/Child(ren) = S/C

Trust = T

Filer reported none.

19. Identify any Real Estate in Massachusetts with an assessed value greater than \$1,000, on which, as of December 31, 2020, you, or a Trust of which you were a beneficiary, had a lien, attachment, or mortgage receivable, and provide the required information for each.

Ownership Legend:

Filer = F

Spouse/Child(ren) = S/C

Trust = T

Filer reported none.

20. Other than the Real Estate identified in Question 19, identify any Real Estate in Massachusetts with an assessed value greater than \$1,000, on which, as of December 31, 2020, your spouse and/or any dependent child(ren) residing in your household, or a Trust of which your spouse and/or any dependent child(ren) residing in your household, had a lien, attachment, or mortgage receivable, and provide the required information for each.

Ownership Legend:

Filer = F

Spouse/Child(ren) = S/C

Trust = T

Filer reported none.

**NOTE:** If the Filer answered “YES” to a Question in the following section, one or more columns in the Table for that Question may be blank in the following situations: If the Filer indicated that the name of the person and/or the trust was a family member’s name or address, the Filer was not required to provide that name. If the Filer indicated that an address was a family member’s address, the Filer was not required to provide that address.

## Financial Investments

21. Identify every bond or other security issued by the Commonwealth of Massachusetts or its political subdivisions, agencies, and authorities, which you owned directly or through a Business, as of December 31, 2020, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each such investment.

**Ownership Legend:**

Filer = F

Spouse/Child(ren) = S/C

Trust = T

Filer reported none.

22. Other than the bonds or other securities identified in Question 21, identify every bond or other security issued by the Commonwealth of Massachusetts or its political subdivisions, agencies, and authorities, which your spouse and/or any dependent child(ren) residing in your household owned directly or through a Business, as of December 31, 2020, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each such investment.

Filer reported none.

23. Identify every bond or other security issued by the Commonwealth of Massachusetts or its political subdivisions, agencies, and authorities, which was owned as of December 31, 2020, by a Trust of which you were a beneficiary, whether directly or through a Business, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each such investment.

**Beneficiary Legend:**

Filer = F

Spouse/Child(ren) = S/C

Trust = T

Filer reported none.

24. Identify every bond or other security issued by the Commonwealth of Massachusetts or its political subdivisions, agencies, and authorities, which was owned as of December 31, 2020, by a Trust of which your spouse and/or any dependent child(ren) residing in your household was a beneficiary, whether directly or through a Business, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each such investment.

Filer reported none.



25. Identify every Financial Investment that you owned directly or through a Business as of December 31, 2020, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each.

Ownership Legend:  
 Filer = F  
 Spouse/Child(ren) = S/C  
 Trust = T

Name of Issuer	Owner	Description of Investment	Principal Place of Business or State of Incorporation	Address
Alnylam Pharmaceuticals, Inc. - Common Stock (ALNY)	F, S/C			
Amazon.com, Inc. - Common Stock (AMZN)	F, S/C			
Alibaba Group Holding Limited American Depositary Shares each representing one Ordinary share (BABA)	F, S/C			
Exact Sciences Corporation - Common Stock (EXAS)	F, S/C			
Facebook, Inc. - Class A Common Stock (FB)	F, S/C			
Glaukos Corporation Common Stock (GKOS)	F, S/C			

HDFC Bank Limited Common Stock(HDB)	F, S/C
LGI Homes, Inc. - Common Stock(LGIH)	F, S/C
Netflix, Inc. - Common Stock(NFLX)	F, S/C
Tesla Motors, Inc. - Common Stock(TSLA)	F, S/C
Walt Disney Company (The) Common Stock(DIS)	F, S/C
Meridian Growth Fund(MERDX)	F
Janus Fund D Shares(JANDX)	F
Vanguard Growth ETF - DNQ(VUG)	F, S/C

Alphabet Inc. - Class A Common Stock(GOGL)	F, S/C
Alphabet Inc. - Class C Capital Stock(GOOG)	F, S/C
Apple Inc. - Common Stock(AAPL)	F, S/C
Costco Wholesale Corporation - Common Stock(COST)	F, S/C
Caterpillar, Inc. Common Stock(CAT)	F, S/C
Intel Corporation - Common Stock(INTC)	F, S/C
American States Water Company Common Stock(AWR)	F, S/C
American Water Works Company, Inc. Common Stock(AWK)	F, S/C

California Water Service Group Common Stock (CWT)	F, S/C
DFA Emerging Markets Core Equity Portfolio (DFCEX)	F, S/C
DFA International Core Equity Portfolio (DFIEX)	F, S/C
DFA U.S. Core Equity 1 Portfolio (DFEOX)	F, S/C
Franklin Utilities Fund Advisor Class (FRUAX)	F, S/C
iShares 20 Year Treasury Bond ETF (TLT)	F, S/C
iShares PHLX SOX Semiconductor Sector Index Fund (SOXX)	F, S/C
PowerShares DB Oil Fund (DBO)	F, S/C

Schwab International Equity ETF (SCHF)	F, S/C
Schwab U.S. Large-Cap ETF (SCHX)	F, S/C
Schwab U.S. Small-Cap ETF (SCHA)	F, S/C
Schwab U.S. Mid Cap ETF (SCHM)	F, S/C
Schwab Value Advantage Money Fund (SWVXX)	F, S/C
SPDR S&P Dividend ETF (SDY)	F, S/C
SPDR S&P 500 (SPY)	F, S/C
Vanguard 500 Index Fd Admiral Shs (VFIAX)	F, S/C

Vanguard Mid-Cap ETF - DNQ (VO)	F, S/C
Vanguard REIT ETF - DNQ (VNQ)	F, S/C
Vanguard Small-Cap ETF - DNQ (VB)	F, S/C
FT 4970: Profound Israeli Equity Portfolio Series (FBYDTX)	F, S/C
PowerShares QQQ Trust, Series 1 (QQQ)	F, S/C
Schwab Emerging Markets Equity ETF (SCHE)	F
Schwab U.S. Broad Market ETF (SCHB)	F
Schwab Advisor Cash Reserves Sweep Shares (SWQXX)	F, S/C

MercadoLibre, Inc. - Common Stock (MELI)	F, S/C
Bank of America Corporation Bank of America Corporation Depository Shares (Each representing a 1/1200th interest in a Share of 6.375% Non-Cumulative Preferred Stock, Series 3) (BML\$I)	F, S/C
Berkshire Hathaway Inc. New Common Stock (BRK.B)	F, S/C
Comcast Corporation - Class A Common Stock (CMCSA)	F, S/C
The Hershey Company Common Stock (HSY)	F, S/C
Microsoft Corporation - Common Stock (MSFT)	F, S/C
Nike, Inc. Common Stock (NKE)	F, S/C

SPDR Select Sector Fund - Energy Select Sector (XLE)	F, S/C
Aerojet Rocketdyne Holdings, Inc. Common Stock (AJRD)	F, S/C
Chegg, Inc. Common Stock (CHGG)	F, S/C
Globant S.A. Common Shares (GLOB)	F, S/C
Invitae Corporation Common Stock (NVTA)	F, S/C
Shopify Inc. Class A Subordinate Voting Shares (SHOP)	F, S/C
T-Mobile US, Inc. Common Stock (TMUS)	F, S/C
Teladoc, Inc. Common Stock (TDOC)	F, S/C

iShares iBoxx \$ Investment Grade Corporate Bond ETF(LQD)	F, S/C
ProShares Short S&P500 (SH)	F, S/C
ProShares Ultra Oil & Gas(DIG)	F, S/C
ProShares Ultra QQQ(QLD)	F, S/C
ProShares Ultra Bloomberg Crude Oil (UCO)	F, S/C
Schwab Government Money Fund- Purchased Shares (SNVXX)	F, S/C
Schwab US Dividend Equity ETF(SCHD)	F, S/C
SJW Corporation Common Stock(SJW)	F, S/C

Vanguard Telecommunication s Services Index Fd Admiral Shs (VTCAX)	F, S/C			
Vanguard Total Stock Market ETF (VTI)	F, S/C			
Vanguard Utilities ETF - DNQ (VPU)	F, S/C			
iShares U.S. Health Care Providers ETF (IHF)	F, S/C			
PowerShares DB Oil Fund (DBO)	F, S/C			
Match Group Inc.	F, S/C	Common Stock	texas	8750 North Central Expressway, Suite 1400, Dallas, TX, 75231, US
mongodb inc.	F, S/C	Common Stock	new york	229 W. 43rd Street, 5th Floor, New York, NY, 10036, US
ocado group plc	F, S/C	Common Stock	United Kingdom	Business Park Hatfield, United Kingdom AL10 9NE

Okta Inc.	F, S/C	Common Stock	San Francisco	100 1st St., 6th Floor, San Francisco, CA, 94105, US
Roku Inc.	F, S/C	Common Stock	Los Gatos, California	150 Winchester Circle, Los Gatos, CA, 95032, US
Shotspotter, Inc. Com	F, S/C	Common Stock	California	7979 Gateway Blvd, Newark, CA, 94560, US
Tencent Holdings Limited	F, S/C	Common Stock	China	HitechPark Kejizhongyi Avenue, Shenzhen, China 518057
Zai Lab Limited ADS	F, S/C	Common Stock	Shanghai, China	4560 Jinke Road, Pudong Shanghai, China 201210
iShares U.S. Aerospace & Defense ETF (ITA)	F, S/C			
Invesco QQQ ETF	F, S/C	Common Stock	Atlanta, Georgia	1555 Peachtree Street, N.E., Suite 1800, Atlanta, GA, 30309, US
Israel Bonds; Development Corporation for Israel	F, S/C	Bond	New York	641 Lexington Avenue 9th Floor, New York, NY, 10022, US

Codexis, Inc. - Common Stock (CDXS)	F, S/C			
Enphase Energy, Inc. - Common Stock (ENPH)	F, S/C			
Magenta Therapeutics, Inc.	F, S/C	Common Stock	Cambridge MA	100 Technology Square, Cambridge, MA, 02139, US
Peloton Interactive Inc.	F, S/C	Common Stock	New York, New York	125 West 25th Street, New York, NY, 10001, US
Quanterix Corp. Com	F, S/C	Common Stock	Lexington, MA	113 Hartwell Avenue, Lexington, MA, 02421, US
Shake Shack, Inc. Class A Common Stock (SHAK)	F, S/C			
ShockWave Med Inc. Com	F, S/C	Common Stock	Santa Clara, CA	5403 Besty Ross Drive, Santa Clara, CA, 95054, US
StoneCo Ltd. Com	F, S/C	Common Stock	Brazil	Rua Fidencio Ramos 308, Olimpia, Sao Paulo, 04551-010 Brazil

Natera, Inc. - Common Stock (NTRA)	F, S/C			
CVS Health Corporation Common Stock (CVS)	F, S/C			
Lions Gate Entertainment Corporation Common Stock (LGF)	F, S/C			
Marriott International - Class A Common Stock (MAR)	F, S/C			
Salesforce.com Inc Common Stock (CRM)	F, S/C			
Adaptive Biotechnologies Corp Com	F, S/C	Common Stock	Washington	1551 Eastlake Avenue E, Suite 200, Seattle, WA, 98102, US
Arena Pharmaceuticals, Inc. - Common Stock (ARNA)	F, S/C			
Carvana Co CL A	F, S/C	Common Stock	Arizona	1930 W. Rio Salado Pkway, Tempe, AZ, 85281, US

Chewy Inc.	F, S/C	Common Stock	Florida	1855 Griffin Road, Dania Beach, FL, 33004, US
Denali Therapeutics Inc	F, S/C	Common Stock	California	151 Oyster Point Blvd, San Francisco, CA, 94080, US
Docusign Inc.	F, S/C	Common Stock	Washington	999 3rd Avenue, Suite 1800, Seattle, WA, 98104, US
Pulmonx Corp Com	F, S/C	Common Stock	California	700 Chesapeake Drive, Redwood City, CA, 94063, US
Safaricom PLC	F, S/C	Common Stock	Kenya	LR No 13263, Nairobi, Kenya
Scotts Miracle-Gro Company (The) Common Stock(SMG)	F, S/C			
Seres Therapeutics, Inc. - Common Stock (MCRB)	F, S/C			
Sunrun Inc. - Common Stock (RUN)	F, S/C			

WuXi PharmaTech (Cayman) Inc. American Depositary Shares (WX)	F, S/C			
Schrodinger, Inc	F, S/C	Common Stock	Massachusetts	222 Third St #2230, Cambridge, MA, 02142, US
Unity Software Inc.	F, S/C	Common Stock	California	795 Folsom Street, Suite 200, San Francisco, CA, 94107, US
Vital Farms Inc.	F, S/C	Common Stock	Texas	3601 S. Congress Ave, Austin, TX, 78704, US

26. Other than the Financial Investments identified in Question 25, identify every Financial Investment that your spouse and/or any dependent child(ren) residing in your household owned directly or through a Business as of December 31, 2020, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each. For any Financial Investment not included on the drop-down list of publicly traded stock, you must provide the issuer's principal place of business or state of incorporation as well as its address.

Filer reported none.

27. Identify every Financial Investment that was owned as of December 31, 2020, by a Trust of which you were a beneficiary, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each. For any Financial Investment not included on the drop-down list of publicly traded stock, you must provide the issuer's principal place of business or state of incorporation as well as its address.

**Beneficiary Legend:**

Filer = F

Spouse/Child(ren) = S/C

Trust = T

Filer reported none.

28. Other than the Financial Investments identified in Question 27, identify every Financial Investment that was owned as of December 31, 2020, by a Trust of which your spouse and/or any dependent child(ren) residing in your household was a beneficiary, and which had a fair market value as of that date greater than \$1,000, and provide the required information for each. For any Financial Investment not included on the drop-down list of publicly traded stock, you must provide the issuer's principal place of business or state of incorporation as well as its address.

Filer reported none.

**NOTE:** If the Filer answered "YES" to a Question in the following section, one or more columns in the Table for that Question may be blank in the following situations: If the Filer indicated that the name of the person and/or the trust was a family member's name or address, the Filer was not required to provide that name. If the Filer indicated that an address was a family member's address, the Filer was not required to provide that address.

### Debts and Mortgages

29. Identify all mortgages, including home equity and reverse mortgage loans, on your Primary Residence, on which more than \$1,000 was owed as of December 31, 2020, where the creditor (person who loaned you the money) is not, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great-grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative, and provide the required information for each.

Creditor Name	Creditor Address	Mortgage Term	Interest Rate (%)	Termination Year
M&T Bank2	PO BOX 1288, BUFFALO, NY, 14240, US	20 years	3.875	2032
M&T Bank2	P.O. Box 1288, Buffalo, NY, 14240, US	20	3.875	2032

30. Did you have a mortgage, including a home equity or reverse mortgage loan, on any property OTHER than your Primary Residence, on which more than \$1,000 was owed as of December 31, 2020, which you were obligated to pay and where the creditor (person who loaned you the money) is NOT, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great-grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative?

Obligor Legend:  
Filer = F  
Spouse/Child(ren) = S/C  
Trust = T

Filer reported none.

31. Identify all mortgages, including home equity and reverse mortgage loans, other than any mortgage on your Primary Residence or any mortgage identified in response to Question 30, on which more than \$1,000 was owed as of December 31, 2020, and which your spouse and/or any dependent child(ren) residing in your household were obligated to pay and where the creditor (person who loaned the money to your spouse and/or dependent child(ren) residing in your household) is not, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great-grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative, and provide the required information for each.

Filer reported none.

32. Identify all non-mortgage debts of more than \$1,000 that you owed as of December 31, 2020, IF the person to whom you owed the debt is not, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great-grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative, and provide the required information for each.

Filer reported none.

33. Identify all non-mortgage debts of more than \$1,000 that your spouse and/or any dependent child(ren) residing in your household owed as of December 31, 2020, if the person to whom your spouse and/or any dependent child(ren) residing in your household owed the debt is not, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great-grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative, and provide the required information for each.

Filer reported none.

34. Identify non-mortgage debts of more than \$1,000 which you owed and which were forgiven at any time during 2020, excluding debts forgiven by a person who is, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great-grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative, and provide the required information for each.

Filer reported none.

35. Identify non-mortgage debts of more than \$1,000 that were owed by your spouse and/or any dependent child(ren) residing in your household and were forgiven at any time during 2020, excluding debts forgiven by a person who is, by blood or marriage, your parent, grandparent, great grandparent, child, grandchild, great-grandchild, aunt, uncle, sister, brother, niece, nephew, or the spouse of any such relative, and provide the required information for each.

Filer reported none.

### Reimbursements, Gifts, and Honoraria

36. Identify any Reimbursements for expenses in excess of \$100 provided to you at any time during 2020 by any legislative agent or executive agent (lobbyist).

Filer reported none.

36.a Identify any Reimbursements for expenses in excess of \$100, other than those identified in response to Question 36, you received at any time during 2020 from any person having a direct interest in legislation, legislative action, or a matter before a governmental body.

Filer reported none.

37. Identify any Reimbursements for expenses in excess of \$100 provided to your spouse and/or any dependent child(ren) residing in your household at any time during 2020 by any legislative agent or executive agent (lobbyist).

Filer reported none.

37.a Identify any Reimbursements for expenses in excess of \$100, other than those identified in response to Question 37, provided to your spouse and/or dependent child(ren) residing in your household at any time during 2020 by any person having a direct interest in legislation, legislative action, or a matter before a governmental body.

Filer reported none.

38. Identify any Gifts and/or Honoraria worth more than \$100 provided to you at any time during 2020 by any person having a direct interest in legislation, legislative action, or a matter before a governmental body.

Filer reported none.

39. Identify any Gifts and/or Honoraria worth more than \$100 provided to your spouse and/or any dependent child(ren) residing in your household at any time during 2020 by any person having a direct interest in legislation, legislative action, or a matter before a governmental body.

Filer reported none.

**NOTE:** If the Filer answered "YES" to a Question in the following section, one or more columns in the Table for that Question may be blank in the following situations: If the Filer indicated that the name of the person and/or the trust was a family member's name or address, the Filer was not required to provide that name. If the Filer indicated that an address was a family member's address, the Filer was not required to provide that address.

### Blind Trusts

40. Did you, your spouse and/or any dependent child(ren) residing in your household during 2020, own anything that you have not reported on this Statement of Financial Interests because it was held in a Blind Trust during 2020?

Filer reported none.

## CERTIFICATION

I, Toby R Unger, certify under the pains and penalties of perjury that:

I made a diligent effort to obtain the required information concerning myself and my spouse and/or any dependent child(ren); and

The information provided on this form is true and complete, to the best of my knowledge.

Submitted: 05/03/2021

Did your spouse and/or any dependent child(ren) residing in your household decline to disclose information which is necessary to complete this form fully and accurately?

The following are the specific Question(s) which I decline to answer in whole or in part because I assert that the information is privileged by law:

Please explain the basis of your claim of privilege:

### IMPORTANT:

1. No DESIGNATED PUBLIC EMPLOYEE shall be allowed to continue in their duties or to receive compensation from public funds unless they have filed a Statement of Financial Interests with the State Ethics Commission.
2. The State Ethics Commission does not accept a faxed or emailed copy of a Statement of Financial Interests for filing.
3. If you were required to amend your Statement of Financial Interests last year, we encourage you to carefully review your 2020 filing before submitting.